

Small-x TMD factorization at NLO

$\Delta t \propto 1/\Delta E$

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UC Berkeley -> INT U. Washington

Advancing the understanding of NP QCD using energy flow
Nov 7th, 2023

Center for Frontiers
in Nuclear Science

momentum

Outline

- Color Glass Condensate in a nutshell
- Dijet production in DIS in the CGC at NLO

P. Caucal, FS, R. Venugopalan. [2108.06347](#) [*JHEP 11 (2021) 222*]

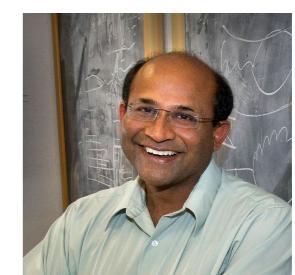
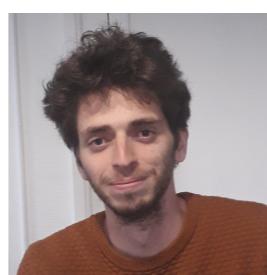
- The back-to-back limit: small-x TMD factorization at NLO

PC, FS, B. Schenke ,RV. [2208.13872](#) [*JHEP 11 (2022) 169*]

PC, FS, BS, T. Stebel, RV. [2304.03304](#) [*JHEP 08 (2023) 062*]

[2308.00022](#) [*preprint*]

- Outlook



Paul Caucal Björn Schenke Tomasz Stebel Raju Venugopalan

Why gluon saturation?

- Search of gluon saturation is one of the major goals of the future EIC.
- The **Color Glass Condensate** is an EFT for this **gluon saturated regime**.
- A wide variety of observables are available to search for gluon saturation: **structure functions, diffractive processes, and semi-inclusive measurements**.
- Competing physical mechanisms might lead to similar signatures. Need sharper predictions (NLO era for gluon saturation).

Outstanding challenges

- Higher-order calculations for precision
- Identification of novel observables
- Modeling of initial conditions
- Spin Physics and saturation
- Event generators and global analysis
- Unification of dilute and dense QCD
(beyond CGC)



recently funded SURGE Topical
Collaboration supported by DOE

Discovery and characterization of gluon saturation principal goals of the future Electron-Ion Collider

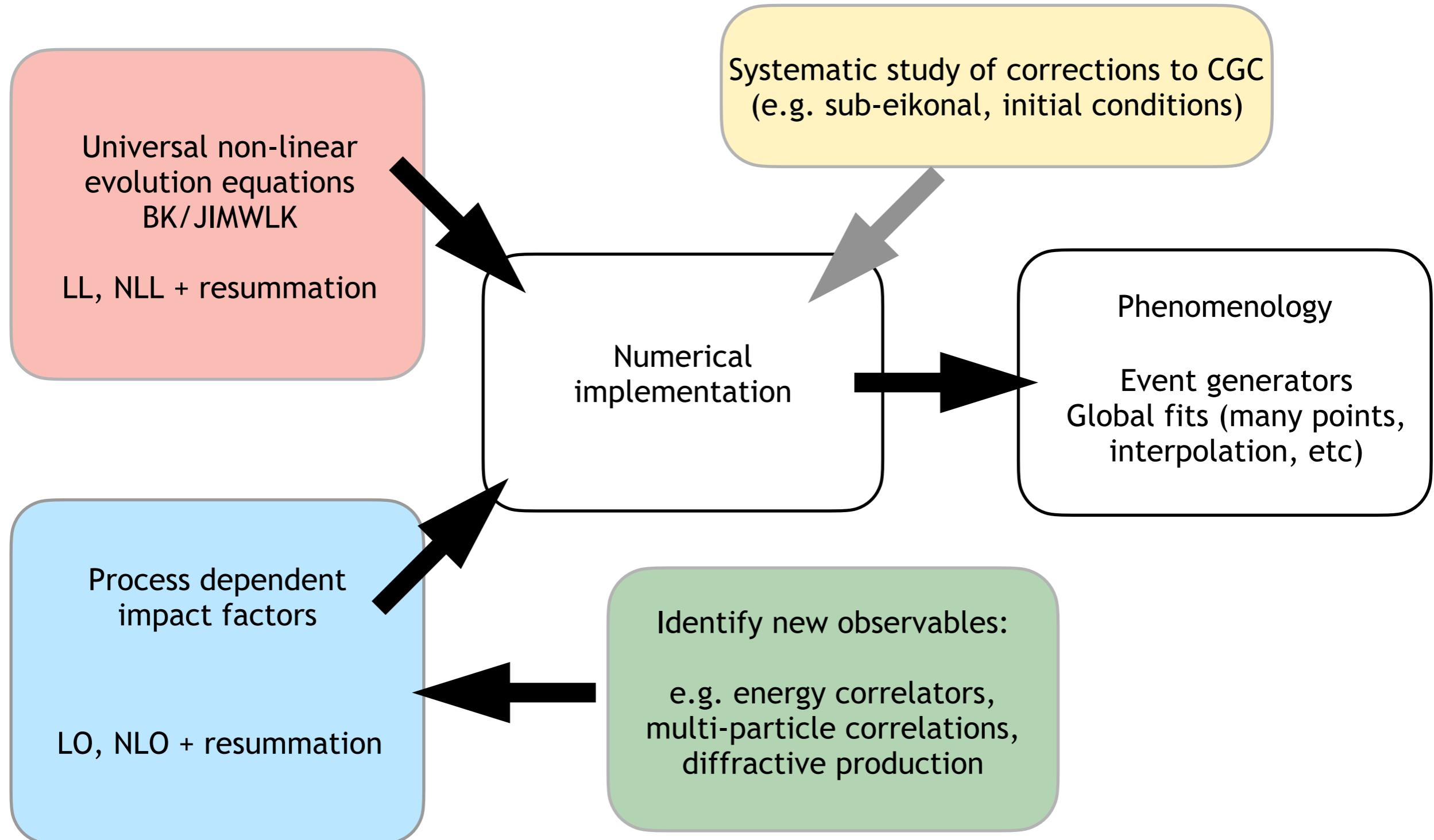
Other novel directions:

- Entanglement entropy and saturation, space-like and time-like correspondence, CGC-blackhole correspondence, color memory effect

For a short review see: *section 6 in Snowmass 2021 White Paper (arXiv: 2203.13199)*

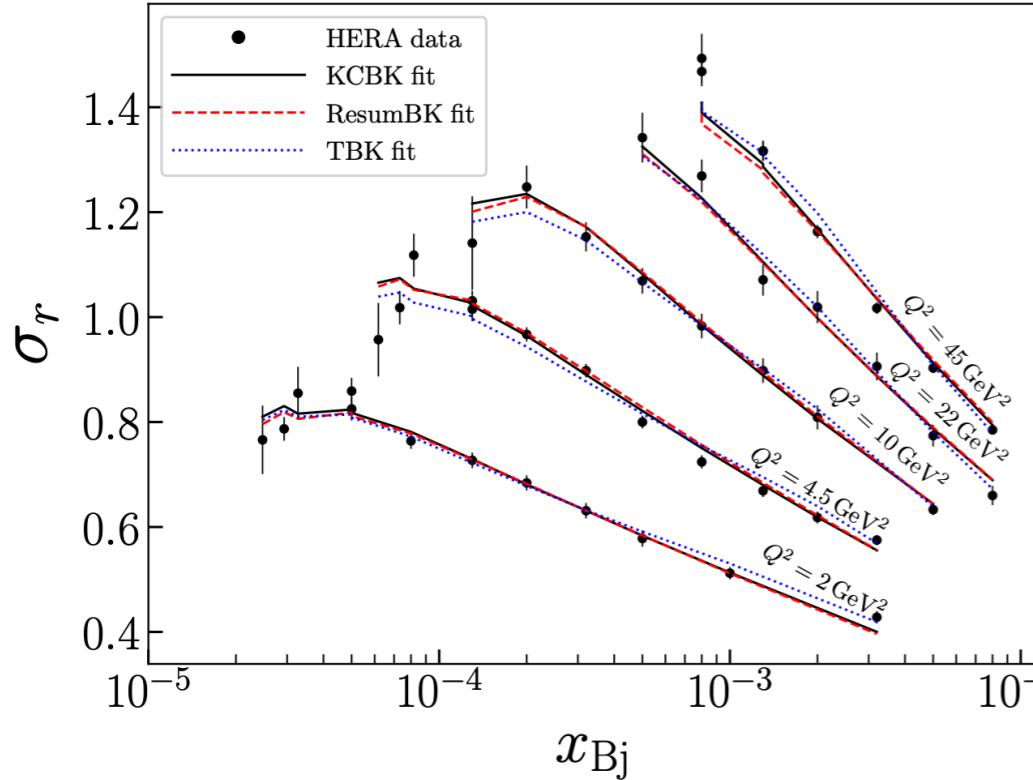
Precision frontier for gluon saturation

End-to-end precision analysis for saturation physics

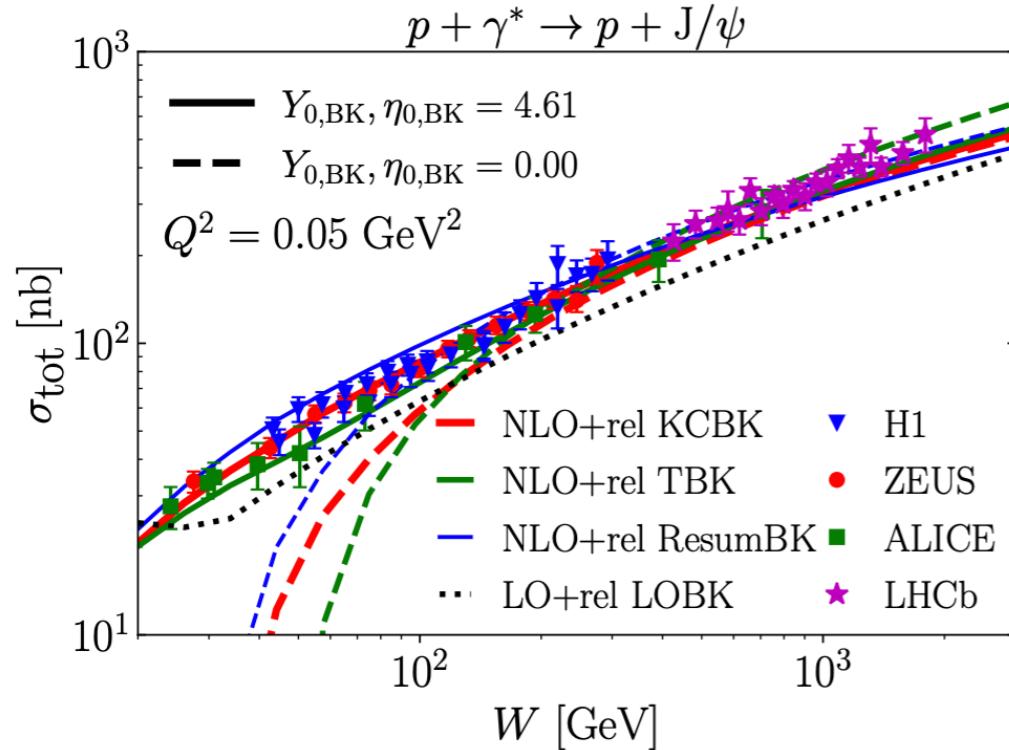


Precision frontier for gluon saturation

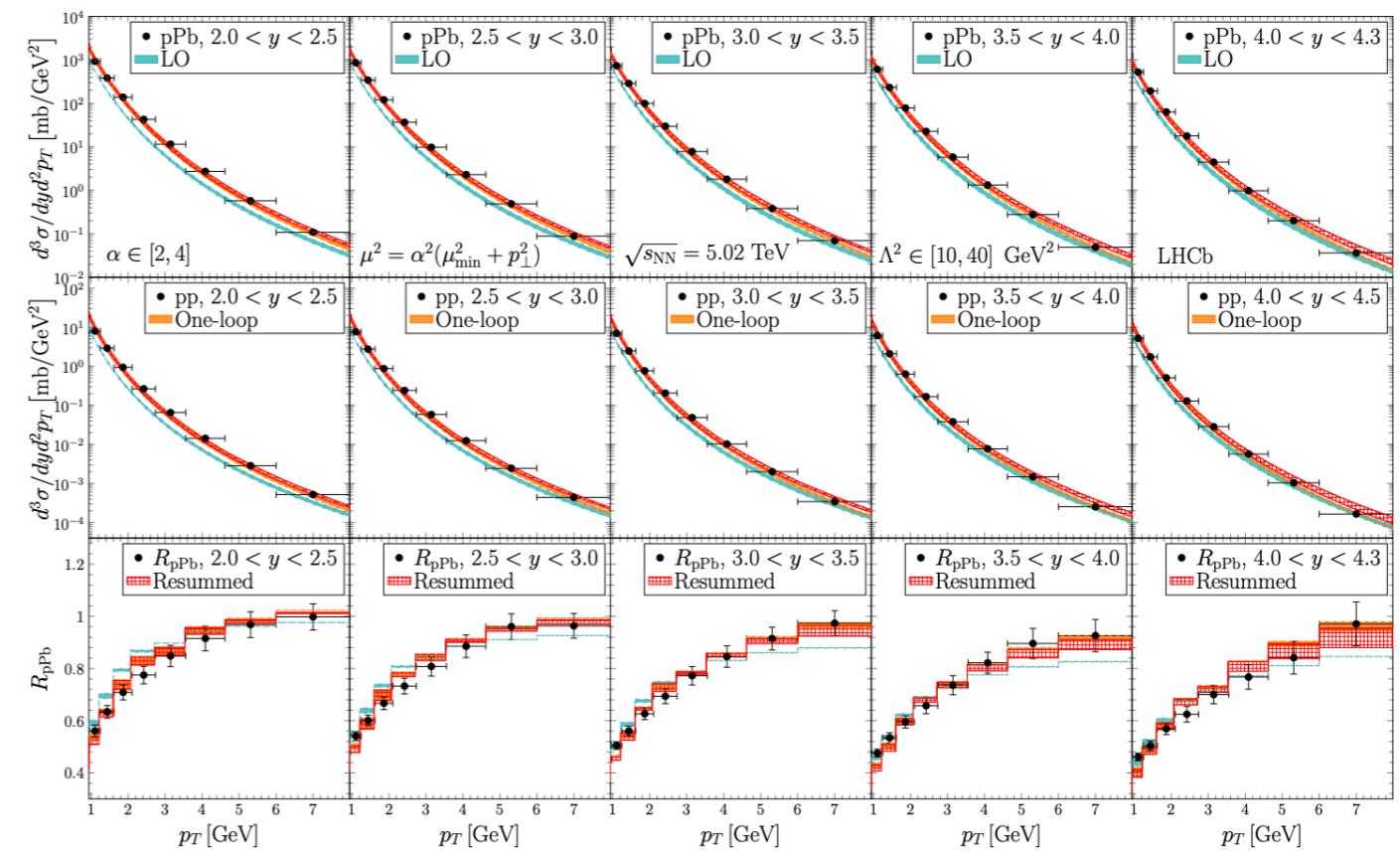
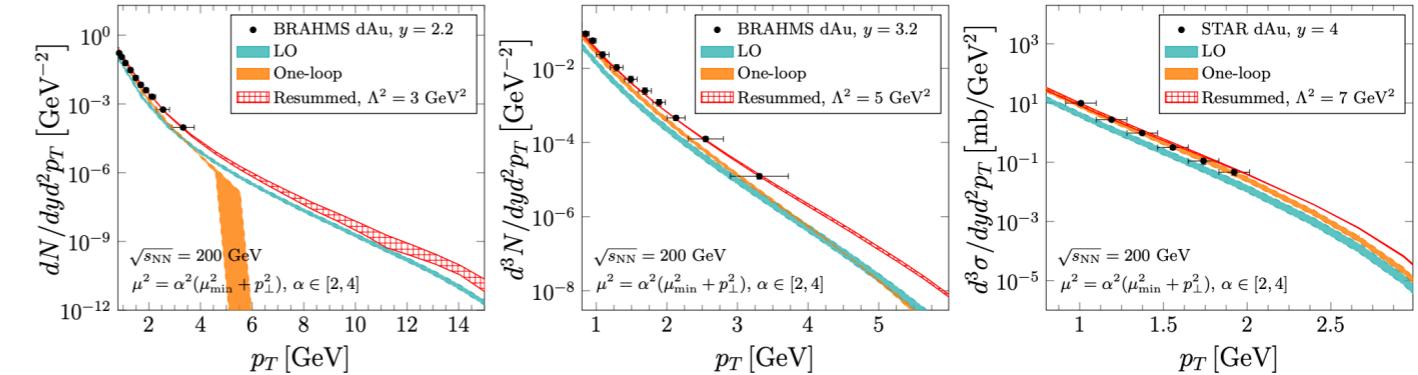
Saturation physics at NLO



Beuf, Lappi, Hänninen, Mäntysaari (2020)



Mäntysaari, Penttala (2022)



Shi, Wang, Wei, Xiao (2021)

Precision frontier for gluon saturation

Lots of recent progress in understanding saturation physics in the precision era (NLO) with focus on fully inclusive process or one-particle production

The more differential the process (e.g. two-particle correlations) the harder the calculations (both analytically and numerically)

Our goal:

Promote two-particle observables in saturation to NLO

Observable:

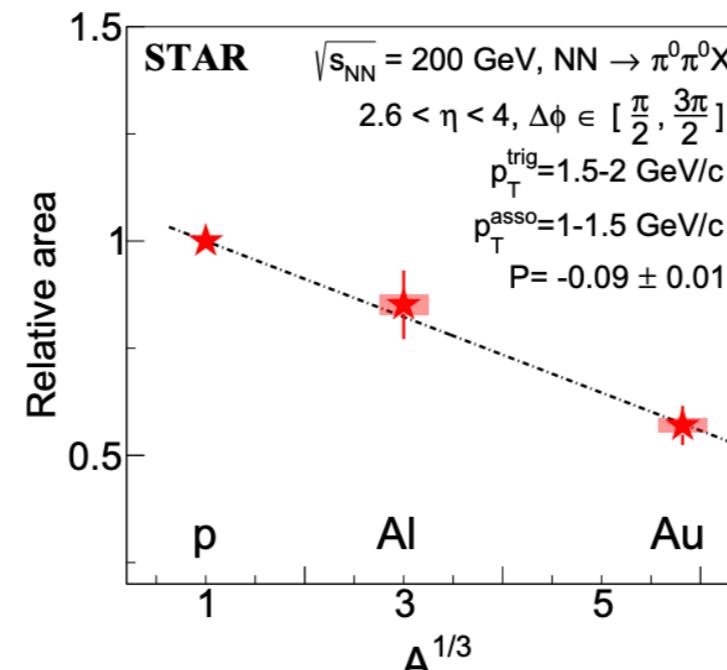
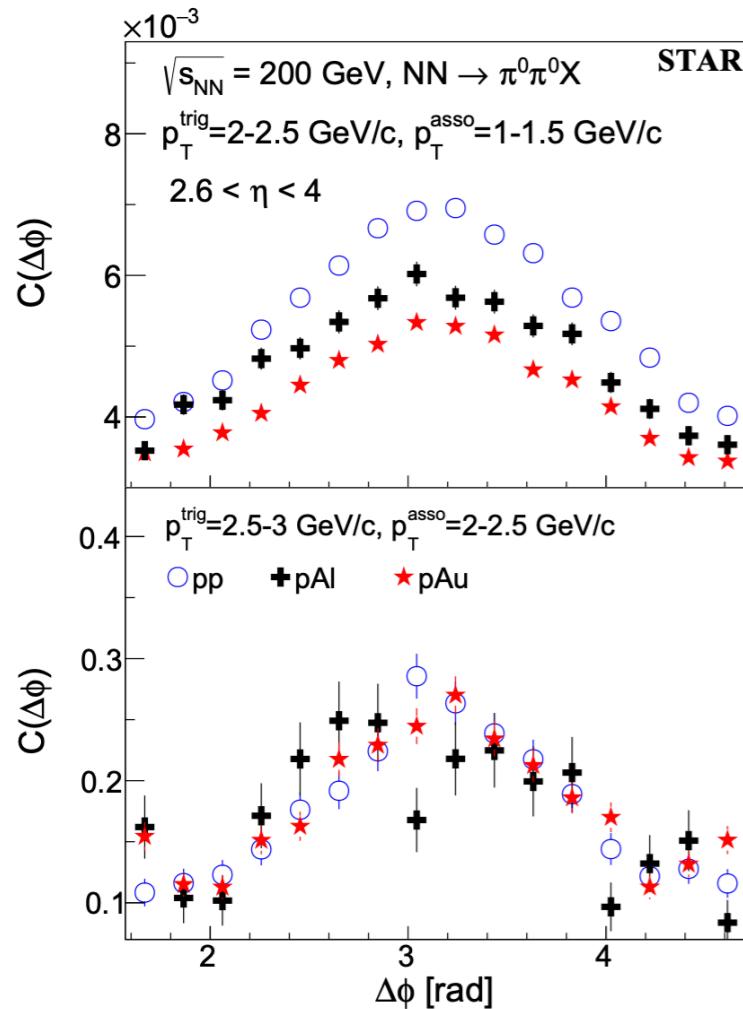
Inclusive Dijet production in DIS

Why?

Will be measured at EIC and theoretically clean

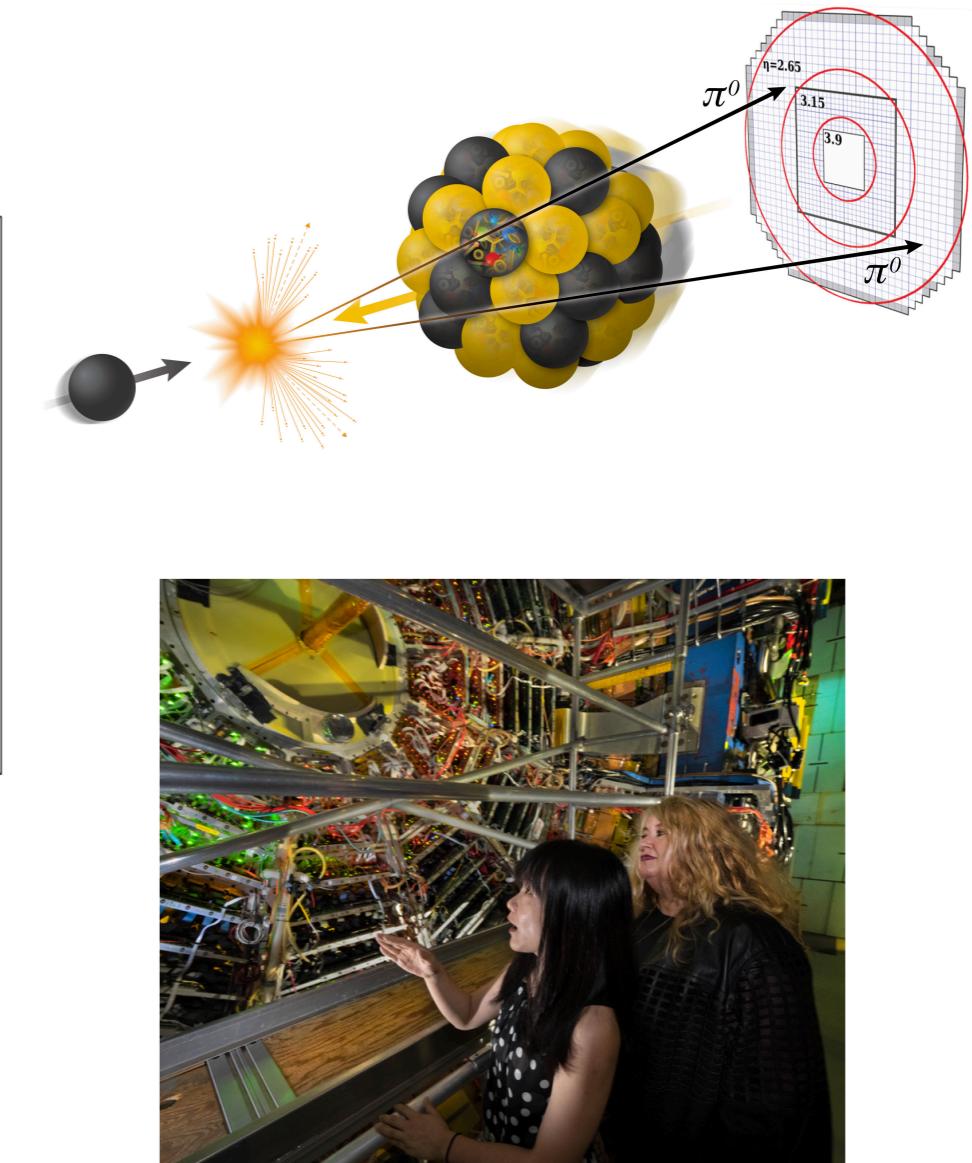
Azimuthal correlations a window to gluon saturation

Evidence for Nonlinear Gluon Effects in QCD and Their Mass Number Dependence at STAR



Suppression characteristic
of saturation

$$Q_s^2 \propto A^{1/3}$$



STAR Collaboration
Phys. Rev. Lett. 129, 092501 (2022)

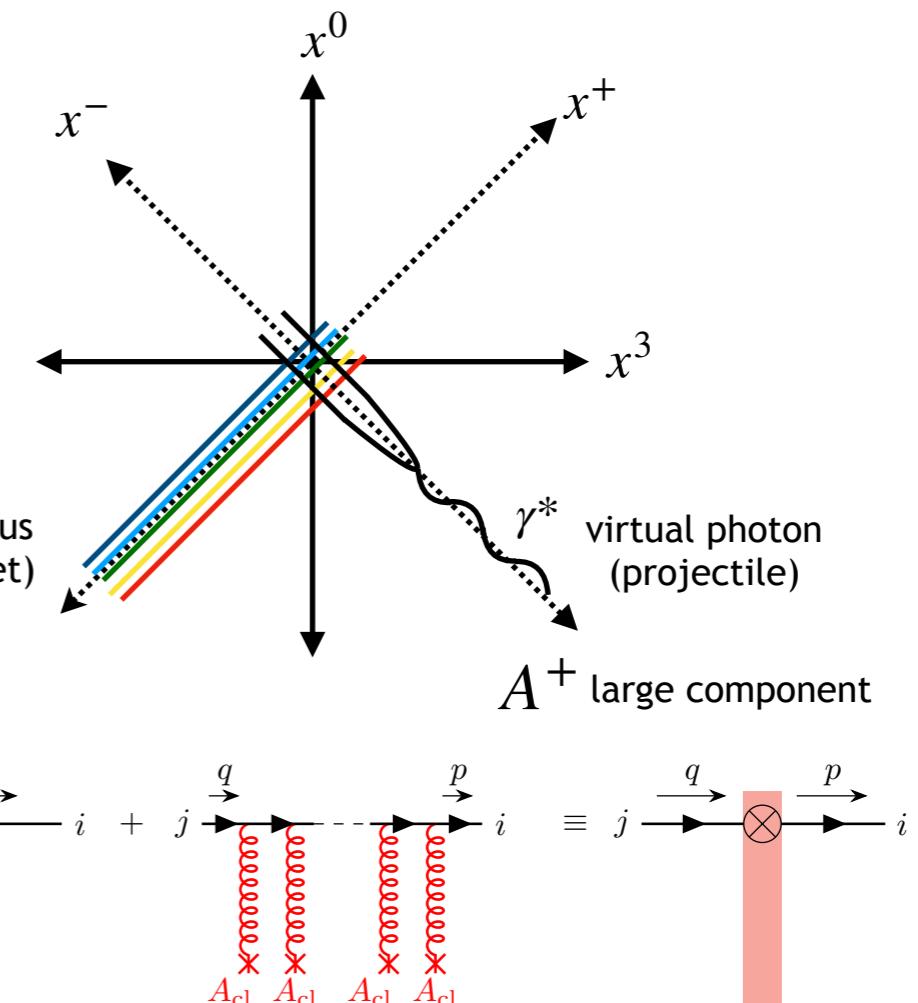
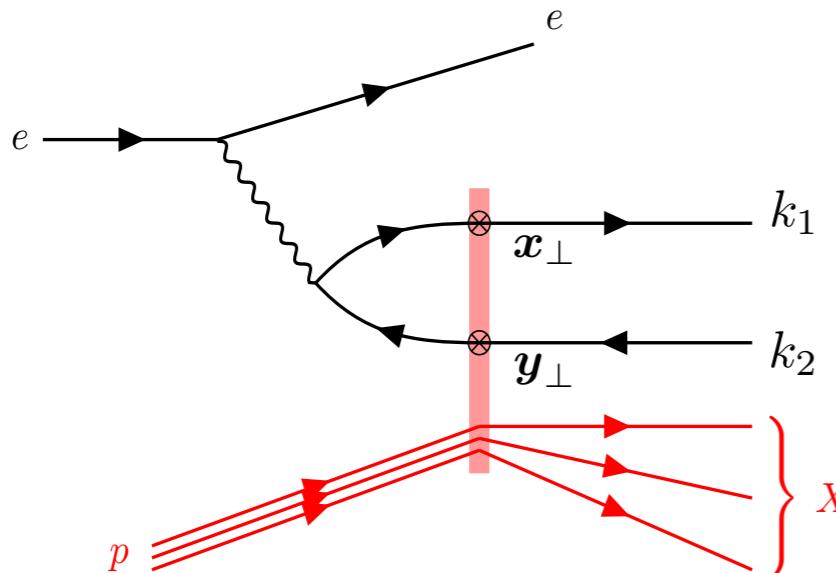
Xiaoxuan Chu and Elke Aschenauer

What about dihadron production at the EIC?

Dijet production in DIS at LO

Dijet azimuthal correlations in DIS

Jalilian-Marian, Gelis (2003)



Unpolarized differential cross-section:

$$d\sigma_{\text{LO}} = \boxed{\mathcal{H}(Q, P_\perp; l_\perp, l'_\perp)} \otimes_{l_\perp, l'_\perp} \boxed{\mathcal{G}(q_\perp; l_\perp, l'_\perp)}$$

Perturbatively calculable

$q\bar{q}$ interaction with nucleus

First numerical evaluation of dijet production in DIS within CGC:

PHYSICAL REVIEW LETTERS 124, 112301 (2020)

Multigluon Correlations and Evidence of Saturation from Dijet Measurements at an Electron-Ion Collider

Heikki Mäntysaari^{1,2,*}, Niklas Mueller,^{3,†}, Farid Salazar^{1,3,‡}, and Björn Schenke^{3,§}

Dijet production in DIS at NLO



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Dijet impact factor in DIS at next-to-leading order in the Color Glass Condensate

Paul Caucal,^a Farid Salazar^{a,b,c} and Raju Venugopalan^a

- Divergences: UV, soft and collinear

Dimensional regularization + longitudinal momentum cut-off + small-R cone algorithm

$$\int_{\Lambda^-} \frac{dk_g^-}{k_g^-} \mu^\varepsilon \int \frac{d^{2-\varepsilon} k_{g\perp}}{(2\pi)^{2-\varepsilon}} f_{\Lambda^-}(k_g^-, k_{g\perp})$$

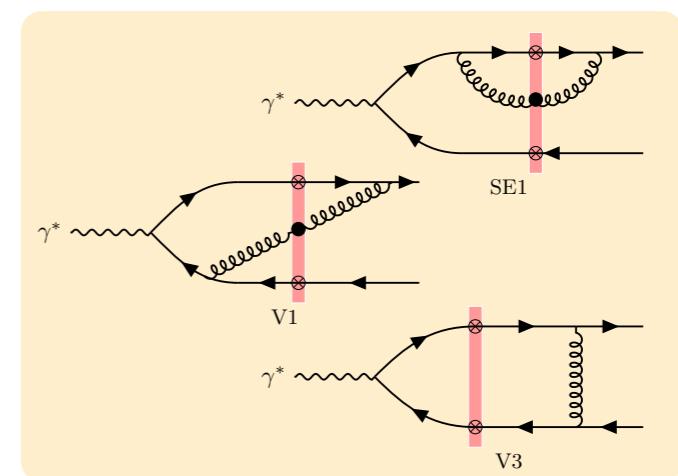
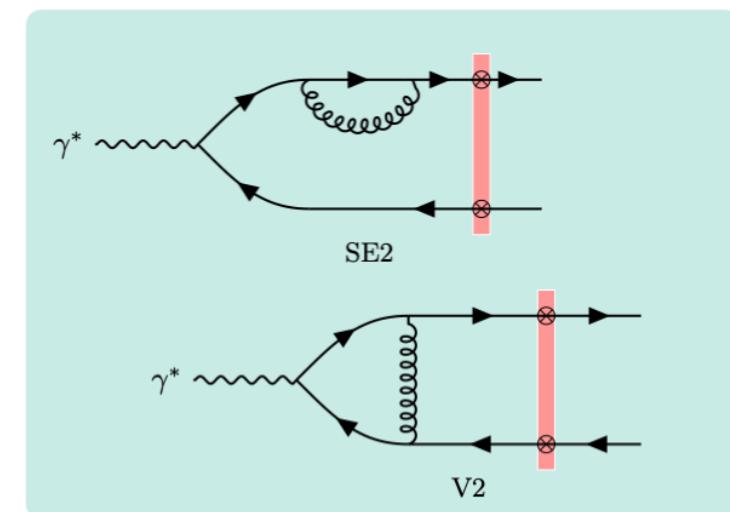
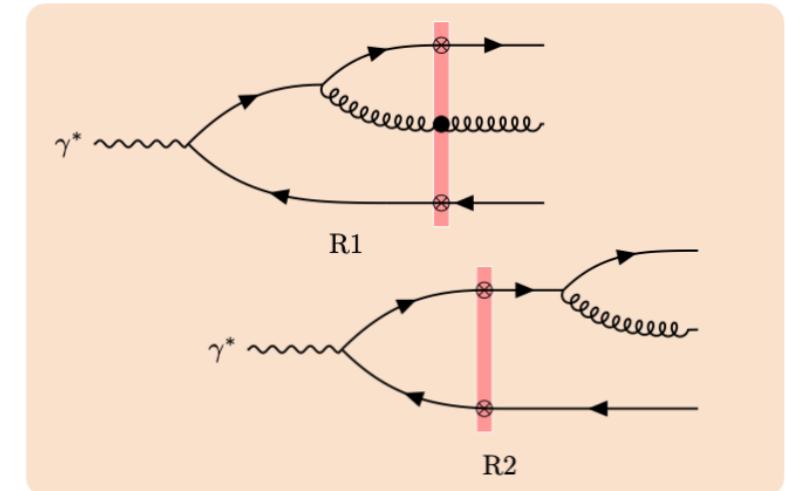
- Large rapidity (high-energy) logs

Resummed via JIMWLK renormalization

- Impact factor

Finite piece (free of large rapidity logs), but might contain other (potentially) large logs!

- We showed cancellation of UV, soft and collinear divergences
- Absorbed large energy/rapidity logs into JIMWLK resummation
- Isolated genuine $\mathcal{O}(\alpha_s)$ contributions (aka impact factor)



Dijet production in DIS at NLO

Evolution and impact factor

$$d\sigma_{\text{NLO}} = \int_{z_0} \frac{dz_g}{z_g} d\tilde{\sigma}_{\text{NLO}}(z_g)$$

$$d\sigma_{\text{NLO}} = \boxed{\int_{z_0} \frac{dz_g}{z_g} d\tilde{\sigma}_{\text{NLO}}(0)} + \boxed{\int_{z_0} \frac{dz_g}{z_g} [d\tilde{\sigma}_{\text{NLO}}(z_g) - d\tilde{\sigma}_{\text{NLO}}(0)]}$$

Impact factor

JIMWLK factorization

$$d\tilde{\sigma}_{\text{NLO}}(0) = H_{\text{LL}} d\sigma_{\text{LO}} = \mathcal{H} \otimes H_{\text{LL}} \mathcal{G}$$

$$d\sigma_{\text{LO+LL}} = \mathcal{H}(Q, P_\perp; \mathbf{l}_\perp, \mathbf{l}'_\perp) \otimes_{\mathbf{l}_\perp, \mathbf{l}'_\perp} \mathcal{G}_Y(\mathbf{q}_\perp; \mathbf{l}_\perp, \mathbf{l}'_\perp)$$

$$Y = \ln(z_g) \quad \frac{\partial \mathcal{G}}{\partial Y} = H_{\text{LL}} \mathcal{G} \quad \text{JIMWLK RG evolution}$$

Explicit expression for impact factor provided in Caucal, Salazar, Venugopalan (2021)

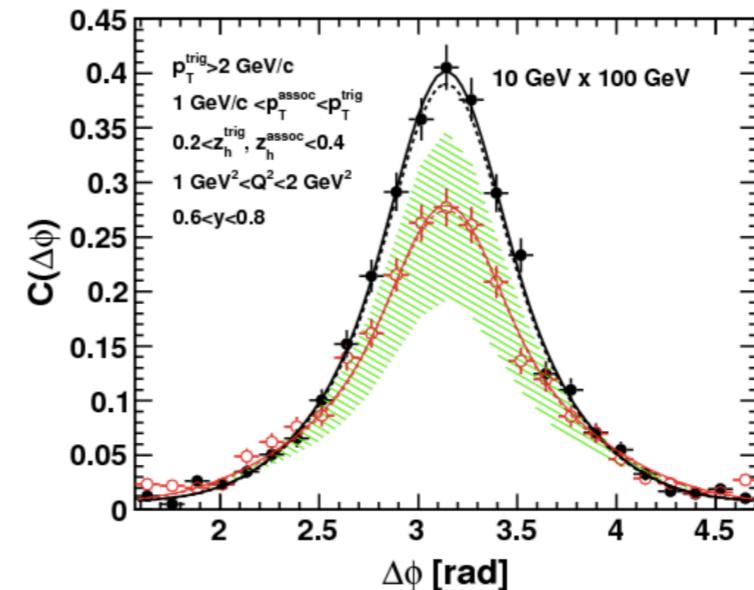
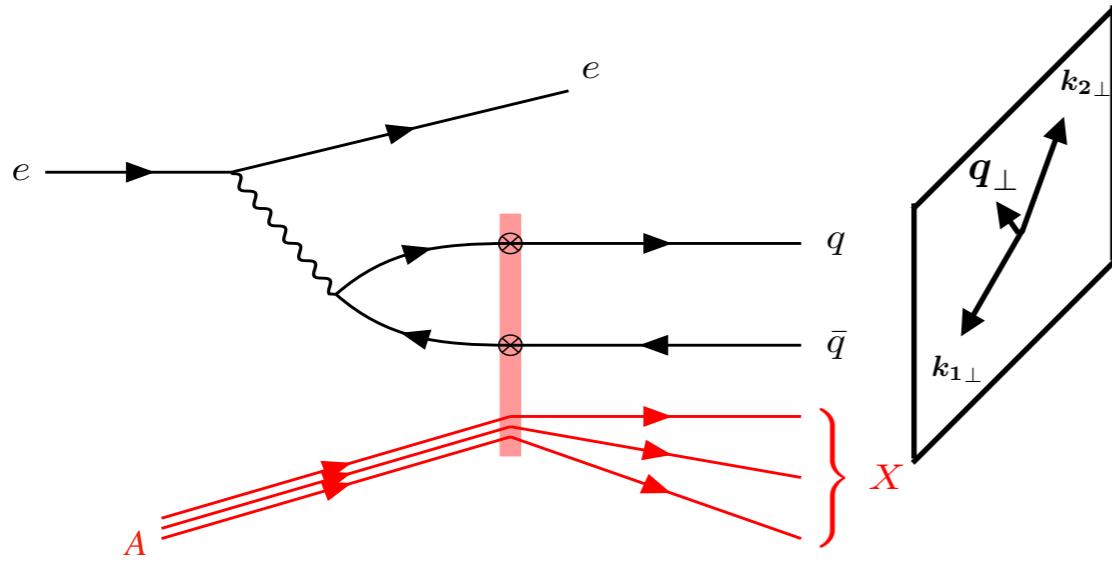
Back-to-back dijets at LO

Small-x TMD factorization from CGC

F. Dominguez, C. Marquet, B-W. Xiao, F. Yuan (2011)

In the “correlation limit” $q_\perp, Q_s \ll P_\perp$ and high-energy limit $P_\perp \ll W$

↳ Forward-jets (photon direction) but close to back-to-back in the transverse plane



Zheng, Aschenauer,
Lee, Xiao (2014)

Perturbatively calculable

$$d\sigma_{\text{LO}} = \mathcal{H}^{ij}(Q, P_\perp) G_Y^{ij}(q_\perp)$$

$$G_Y^{ij}(q_\perp) = \frac{-2}{\alpha_s} \int \frac{d^2 b_\perp d^2 b'_\perp}{(2\pi)^4} e^{-i q_\perp \cdot (b_\perp - b'_\perp)} \left\langle \text{Tr} \left[V(b_\perp) \partial_\perp^i V^\dagger(b_\perp) V(b'_\perp) \partial_\perp^j V^\dagger(b'_\perp) \right] \right\rangle_Y$$

“Bare” TMD built from Wilson lines correlators, saturation scale Q_s implicitly built-in

Nuclear dependence $Q_s^2 \propto A^{1/3}$

Back-to-back dijets at NLO

Interplay of small- x & soft gluon resummation

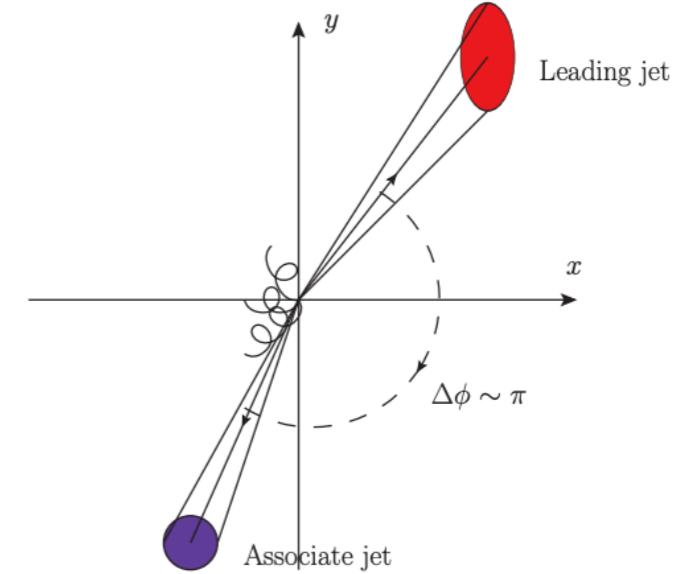
A.H. Mueller, B-W. Xiao, F. Yuan (2013)

$$q_\perp^2 \ll P_\perp^2 \ll s$$

$$\ln(s/P_\perp^2)$$

$$\ln^2(P_\perp^2/q_\perp^2)$$

Joint small- x + Sudakov resummation



$$d\sigma = \mathcal{H}(Q, \mathbf{P}_\perp) \int \frac{d^2 \mathbf{r}_{bb'}}{(2\pi)^2} e^{-i\mathbf{q}_\perp \cdot \mathbf{r}_{bb'}} \tilde{G}_Y^0(\mathbf{r}_{bb'}) e^{-S_{\text{Sud}}(\mathbf{r}_{bb'}, \mathbf{P}_\perp)}$$

Sudakov factor:

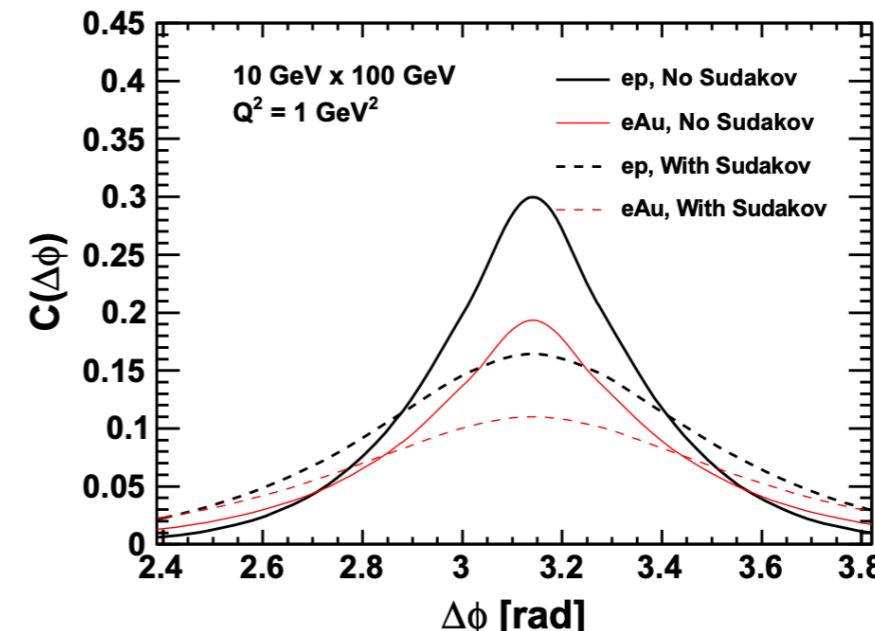
$$S_{\text{Sud}}(\mathbf{r}_{bb'}, P_\perp) = \frac{\alpha_s N_c}{\pi} \int_{c_0^2/\mathbf{r}_{bb'}^2}^{P_\perp^2} \frac{1}{2} \ln \left(\frac{P_\perp^2}{\mu^2} \right)$$

\tilde{G}_Y obeys JIMWLK equation (non-linear)

(see also Dominguez, Mueller, Munier, Xiao 2013)

Soft gluon emissions change profile
of azimuthal correlations

Zheng, Aschenauer, Lee, Xiao 2013



Our goal:

Does the CGC/TMD correspondence hold at NLO?

$$d\sigma_{\text{NLO}} = \mathcal{H}_{\text{LO+NLO}}^{ij}(Q, \mathbf{P}_\perp) \int \frac{d^2 b_\perp}{(2\pi)^2} e^{-i \mathbf{q}_\perp \cdot \mathbf{r}_{bb'}} \tilde{G}_Y^{ij}(\mathbf{r}_{bb'}) e^{-S_{\text{Sud}}(\mathbf{r}_{bb'}, \mathbf{P}_\perp)}$$

- i) Is this evolution equation related to non-local RG equation known in small-x literature?
- ii) Is it possible to pin down the Sudakov double and single logs?
- iii) Is it possible to express the finite pieces in terms of a factorizable NLO coefficient function and the WW gluon TMD? Or do we expect factorization-breaking contributions?
- iv) Can we account both for unpolarized and linearly polarized contributions?

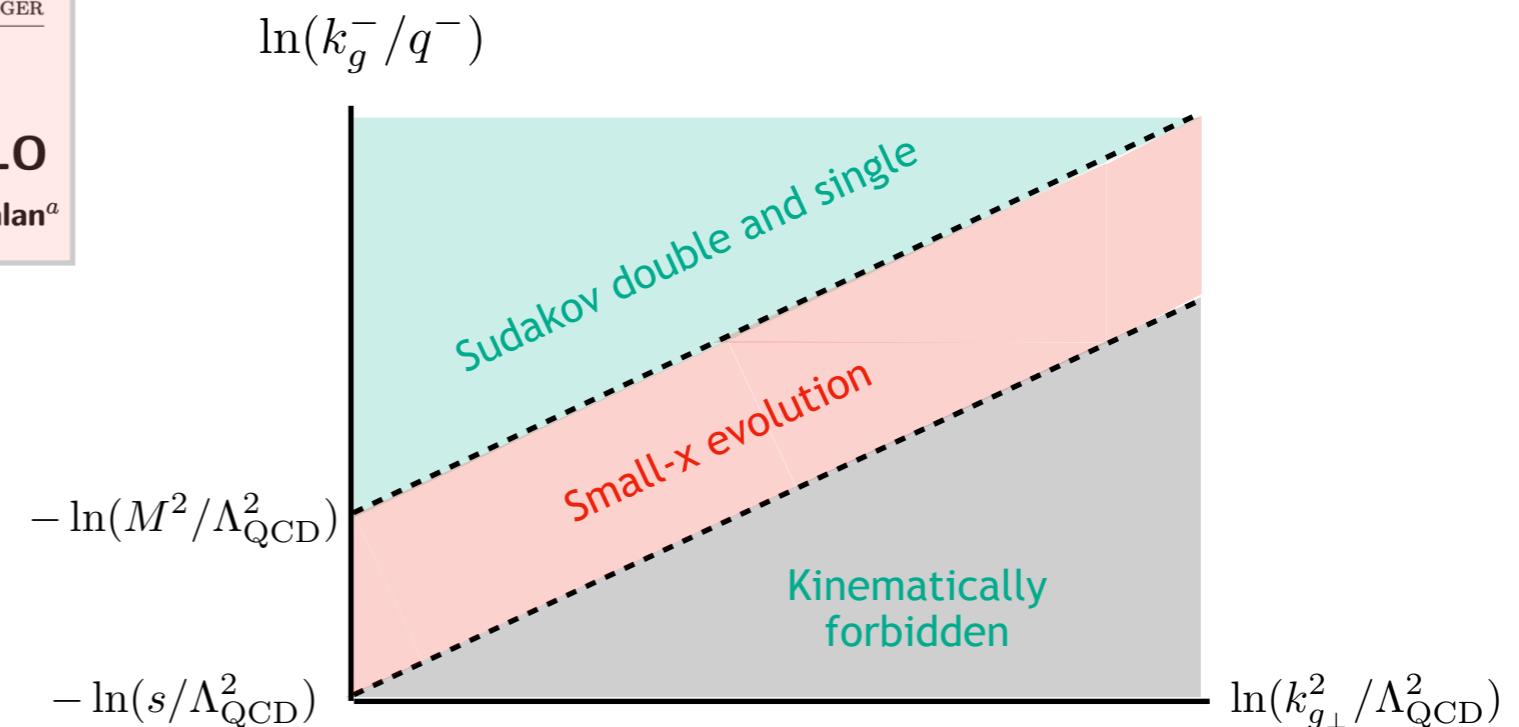
Sudakov and small-x resummation I



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**Back-to-back inclusive dijets in DIS at small x :
Sudakov suppression and gluon saturation at NLO**
Paul Caucal,^a Farid Salazar,^{b,c,d,e} Björn Schenke^a and Raju Venugopalan^a

Small-x evolution for WW
follows well-known BK-JIMWLK
equations amended with a
kinematic constrain to separate
small-x and **soft gluons**



$$d\sigma_{\text{NLO}} = \mathcal{H}(Q, \mathbf{P}_\perp) \int \frac{d^2 \mathbf{r}_{bb'}}{(2\pi)^2} e^{-i \mathbf{q}_\perp \cdot \mathbf{r}_{bb'}} \tilde{G}_Y^0(\mathbf{r}_{bb'}) [1 - S_{\text{Sud}}(\mathbf{r}_{bb'}, \mathbf{P}_\perp)] + \mathcal{O}(\alpha_s^2)$$

$$\frac{\partial G}{\partial Y} = H_{\text{LL}} \Theta \left(1/(z_g Q_f^2) - r_<^2 \right) G$$

$$S_{\text{Sud}}(\mathbf{r}_{bb'}, P_\perp) = \frac{\alpha_s N_c}{\pi} \int_{c_0^2/\mathbf{r}_{bb'}^2}^{P_\perp^2} \frac{d\mu^2}{\mu^2} \left[\frac{1}{2} \ln \left(\frac{P_\perp^2}{\mu^2} \right) + B_0 \right]$$

$$B_0 = \frac{C_F}{N_c} \ln \left(\frac{1}{z_1 z_2 R^2} \right) + \ln \left(\frac{P_\perp^2 + z_1 z_2 Q^2}{P_\perp^2} \right) - 1$$

Finite results apparently involve complicated convolution including operators beyond WW,
but **needed for precision!**

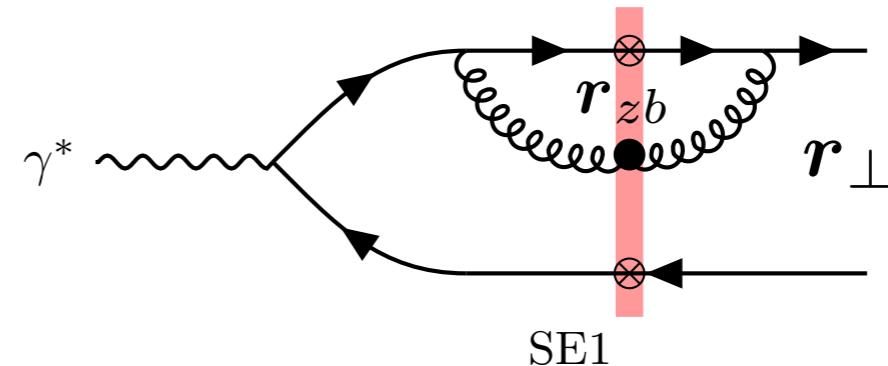
Discrepancy with expected single log from CSS

Factorization of finite pieces



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Back-to-back inclusive dijets in DIS at small x : gluon Weizsäcker-Williams distribution at NLO
 Paul Caucal,^a Farid Salazar,^{b,c,d,e} Björn Schenke,^f Tomasz Stelbel^g
 and Raju Venugopalan^f



Leading power Expansion of all finite pieces
 can be cast in terms of the WW gluon TMD

Finite contributions can be absorbed into NLO impact factor

$$d\sigma_{\text{NLO}} = \mathcal{H}_{\text{NLO}}(Q, P_\perp; Y, R) \int \frac{d^2 b_\perp}{(2\pi)^2} e^{-i q_\perp \cdot b_\perp} \tilde{G}_Y^0(b_\perp) [1 - S_{\text{Sud}}(b_\perp, P_\perp)] + \mathcal{O}(\alpha_s^3)$$

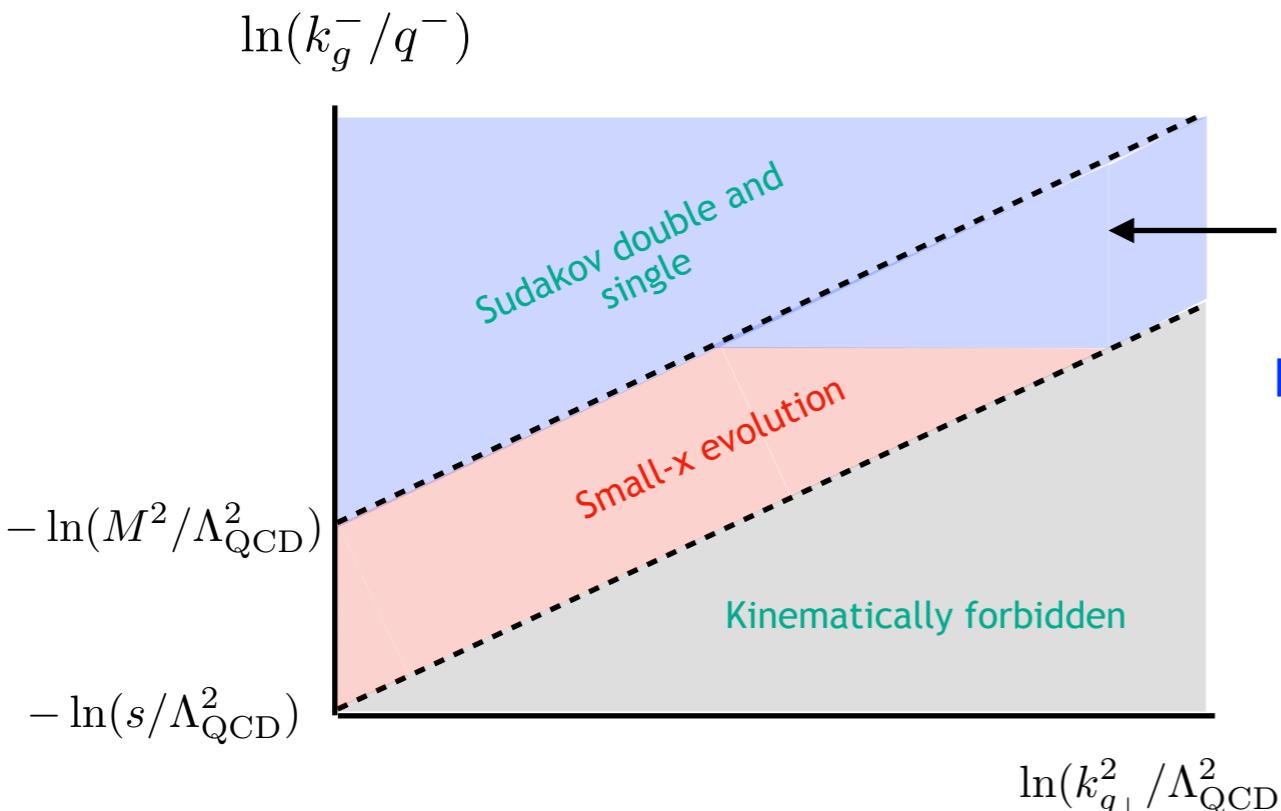
fully analytic result

- TMD-like factorization at NLO in small- x kinematics

Paves the road for

- Efficient numerical implementation and phenomenology

Sudakov and small-x resummation II



This region contains a Sudakov single log, which exactly cancels the term that caused discrepancy with CSS

Furthermore, it is convenient to formulate the evolution in terms of “target momentum fraction” $\eta = \ln(k_g^+/P^+)$

The resulting small-x equation (ordered in η) is the JIMWLK analog of the non-local BK equation found by Ducloué, Ian, Mueller, Soyez, Triantafyllopoulos (2019)

$$d\sigma_{\text{NLO}} = \mathcal{H}_{\text{NLO}}(Q, \mathbf{P}_\perp; R) \int \frac{d^2 \mathbf{b}_\perp}{(2\pi)^2} e^{-i \mathbf{q}_\perp \cdot \mathbf{b}_\perp} \tilde{G}_\eta^0(\mathbf{b}_\perp) [1 - S_{\text{Sud}}(\mathbf{b}_\perp, P_\perp)]$$

$$S_{\text{Sud}}(\mathbf{r}_{bb'}, P_\perp) = \frac{\alpha_s N_c}{\pi} \int_{c_0^2/\mathbf{r}_{bb'}^2}^{P_\perp^2} \frac{d\mu^2}{\mu^2} \left[\frac{1}{2} \ln \left(\frac{P_\perp^2}{\mu^2} \right) + B_0 \right]$$

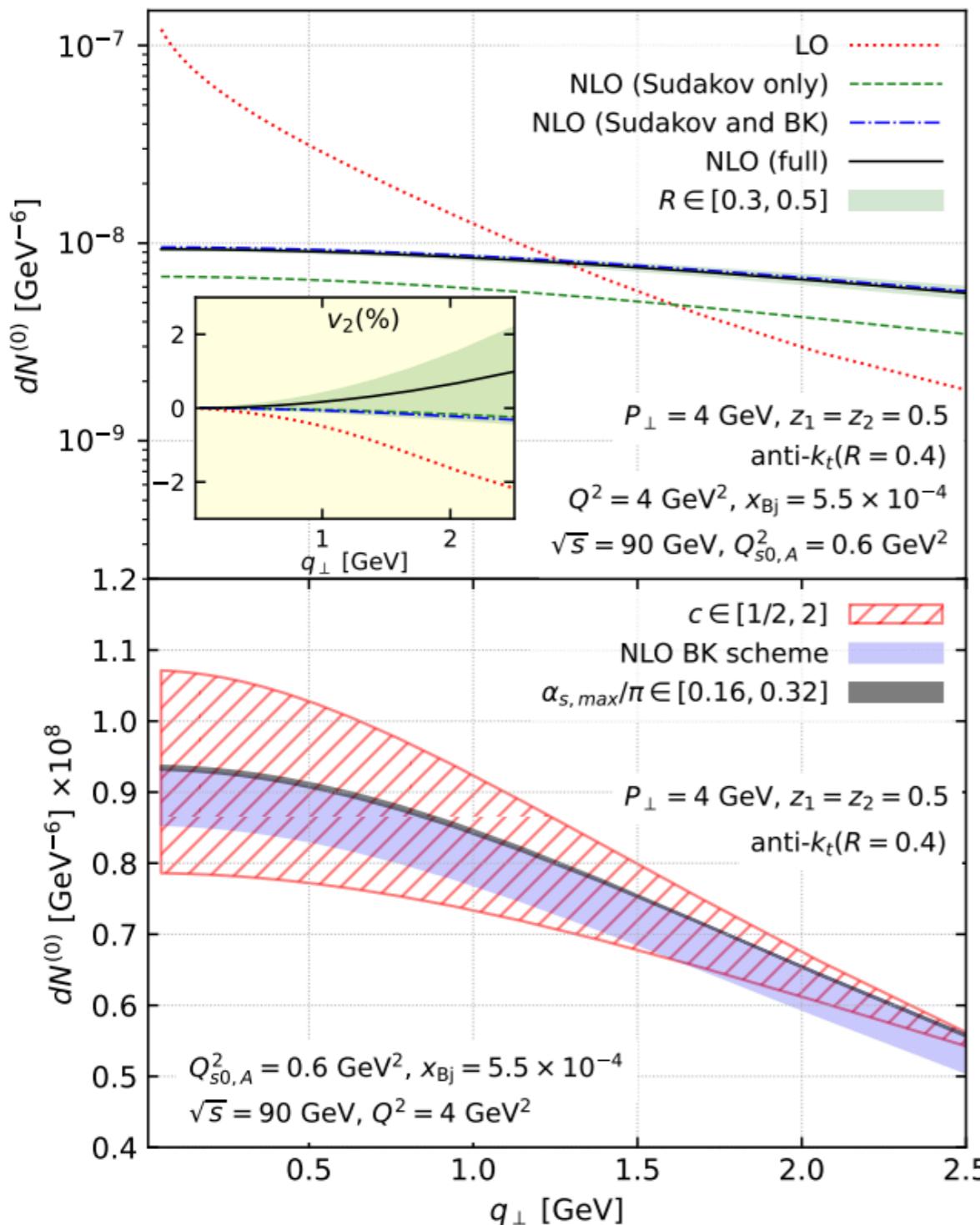
$$B_0 = \frac{C_F}{N_c} \ln \left(\frac{1}{z_1 z_2 R^2} \right) + \ln \left(\frac{P_\perp^2 + z_1 z_2 Q^2}{P_\perp^2} \right) - \frac{\pi}{N_c} \beta_0$$

For phenomenology we will exponentiate Sudakov logs ala CSS

$$[1 - S_{\text{Sud}}(\mathbf{b}_\perp, P_\perp)] \rightarrow \exp(-S_{\text{Sud}}(\mathbf{b}_\perp, P_\perp))$$

Caucal, FS, Schenke, Stebel, Venugopalan
(preprint 2308.00022)

Numerical results for the differential cross-section



dN min-bias differential yield

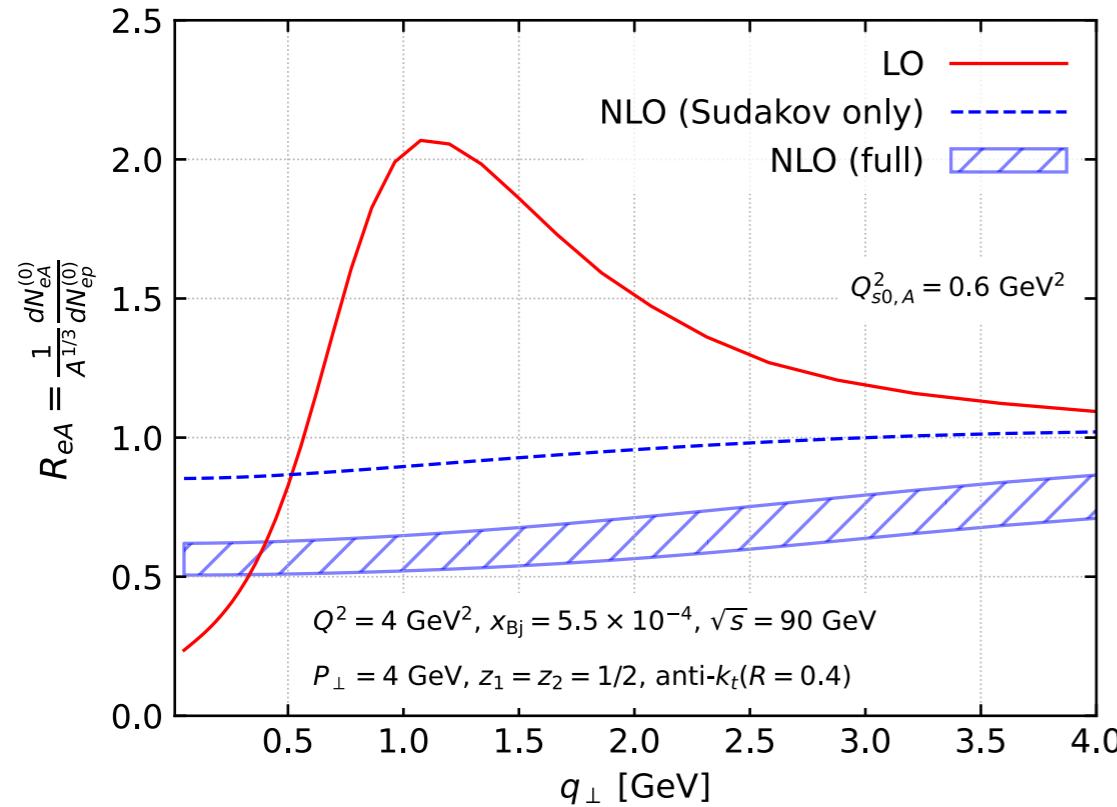
- Sudakov significantly suppresses the yield when q_\perp is small (back-to-back)
- Small- x evolution results in the growth of the yield (slower at smaller q_\perp \rightarrow saturation)
- NLO corrections to hard function have a very small effect (at this kinematics)
- Uncertainties:
Running of the coupling in hard function and Sudakov factor

$$\alpha_s(cP_\perp) \quad \alpha_s(c\mu)$$

NLO BK scheme with or without collinear single log resummation

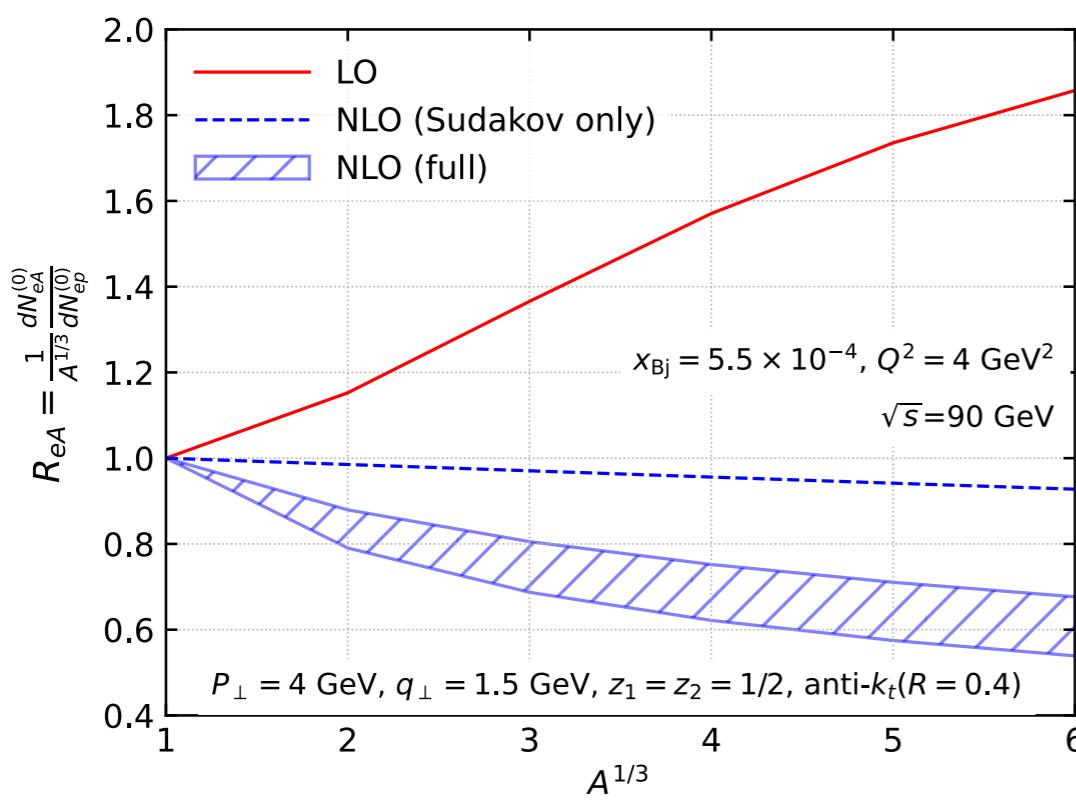
α_{max} : freezing of the coupling

Numerical results for nuclear modification ratio



dN min-bias differential yield

$$R_{eA} = \frac{1}{A^{1/3}} \frac{dN_{eA}}{dN_{ep}}$$



- LO shows suppression and a Cronin peak (broadening)
- NLO (Sudakov only) displays a slight suppression but is close to unity
- Full NLO (Sudakov + small-x evolution) shows a significant A-dependent suppression

Summary

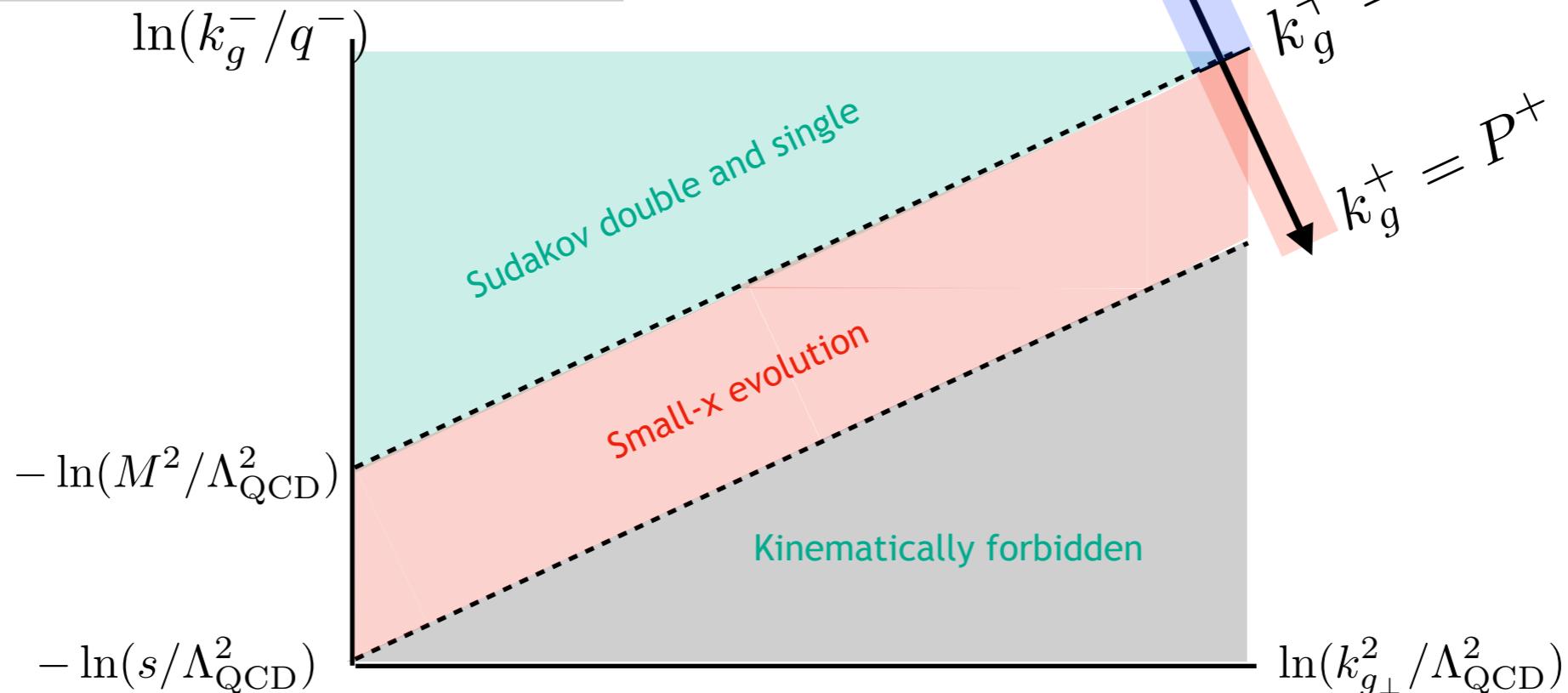
- Search for **gluon saturation** is one of the **major goals** of the future EIC and upcoming upgrades of the LHC (e.g. Focal)
- The **Color Glass Condensate** is an **EFT** for this **saturated regime** that has been applied to calculate a **variety of processes**.
- We performed a **complete NLO calculation** for dijet production in DIS within the CGC
- We showed **TMD factorization (1-loop)** at small-x holds when jets are back-to-back in the transverse plane
- Provide **numerical predictions** for EIC

Phase space for small- x resummation



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**Back-to-back inclusive dijets in DIS at small x :
Sudakov suppression and gluon saturation at NLO**
Paul Caucal,^a Farid Salazar,^{b,c,d,e} Björn Schenke^a and Raju Venugopalan^a

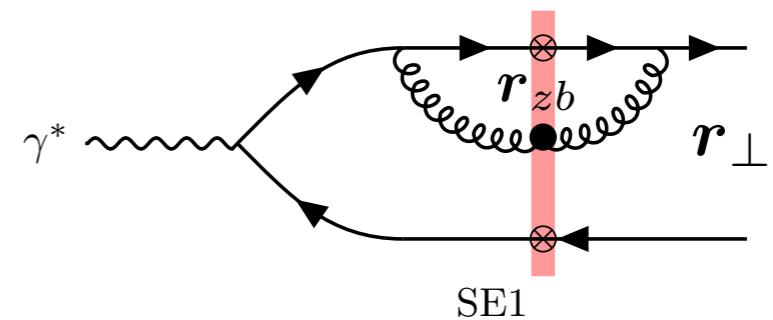


Examining “rapidity divergent term ”more closely

$$d\tilde{\sigma}_{\text{NLO}}(z_g) = H_{\text{LL}} d\sigma_{\text{LO}} \quad \text{if} \quad z_g r_{zb}^2 \lesssim z_1 z_2 r_\perp^2 \longrightarrow k_f^+ = \frac{Q_f^2}{2k_f^-} \sim \frac{M^2}{2q^-}$$

Kinematically constrained JIMWLK

$$\frac{\partial \mathcal{G}}{\partial Y} = H_{\text{LL}} \Theta \left(1/(z_g Q_f^2) - r_<^2 \right) \mathcal{G}$$



Back-to-back dijets at NLO

From projectile to target rapidity evolution

2307.XXXX [work in progress]

Assume Gaussian approximation, then enough to consider dipole evolution

For the BK equation, our kinematic constraint implies

$$\frac{\partial S_Y(\mathbf{r}_{bb'})}{\partial Y} = \frac{\alpha_s N_c}{\pi} \int \frac{d^2 z_\perp}{2\pi} \Theta(-Y - \ln(r_<^2 \mu_\perp^2)) \frac{\mathbf{r}_{bb'}^2}{\mathbf{r}_{zb}^2 \mathbf{r}_{zb'}^2} [S_Y(\mathbf{r}_{zb}) S_Y(\mathbf{r}_{zb'}) - S_Y(\mathbf{r}_{bb'})]$$

Can be cast in terms of target evolution:

$$\frac{\partial \mathcal{S}_\eta(\mathbf{r}_{bb'})}{\partial \eta} = \frac{\alpha_s N_c}{\pi} \int \frac{d^2 z_\perp}{2\pi} \Theta(\eta - \delta_{bb'} z) \frac{\mathbf{r}_{bb'}^2}{\mathbf{r}_{zb}^2 \mathbf{r}_{zb'}^2} [\mathcal{S}_{\eta - \delta_{zb}}(\mathbf{r}_{zb}) \mathcal{S}_{\eta - \delta_{zb'}}(\mathbf{r}_{zb'}) - \mathcal{S}_\eta(\mathbf{r}_{bb'})]$$

Same as non-local equation found by Iancu, Mueller, Soyez, Triantafyllopoulos (2019)

$$\mathcal{S}_{\eta_f} = S_{Y_f} \quad \eta_f = Y_f + \ln(\mathbf{r}_{bb'} Q^2) - \ln(x_{\text{Bj}}/x_0)$$

$$Y_f = -\ln(\mathbf{r}_{bb'}^2 \mu_\perp^2) \longrightarrow \eta_f = \ln(x_0/x_f) \quad x_f = \mu_\perp^2/W^2$$

This choice leads to the cancellation of -1 in the coefficient of the Sudakov single log!

Leads to a natural choice of target rapidity scale