



Exploring the Invisible Universe

Wright  
Laboratory

# Probing jet substructure with 2 and projected 3-point energy correlators in pp collisions at ALICE at $\sqrt{s} = 13\text{TeV}$

Ananya Rai  
([ananya.raai@cern.ch](mailto:ananya.raai@cern.ch))

for the ALICE Collaboration

2<sup>nd</sup> workshop on advancing the understanding of  
non-perturbative QCD using energy flow

9<sup>th</sup> November 2023

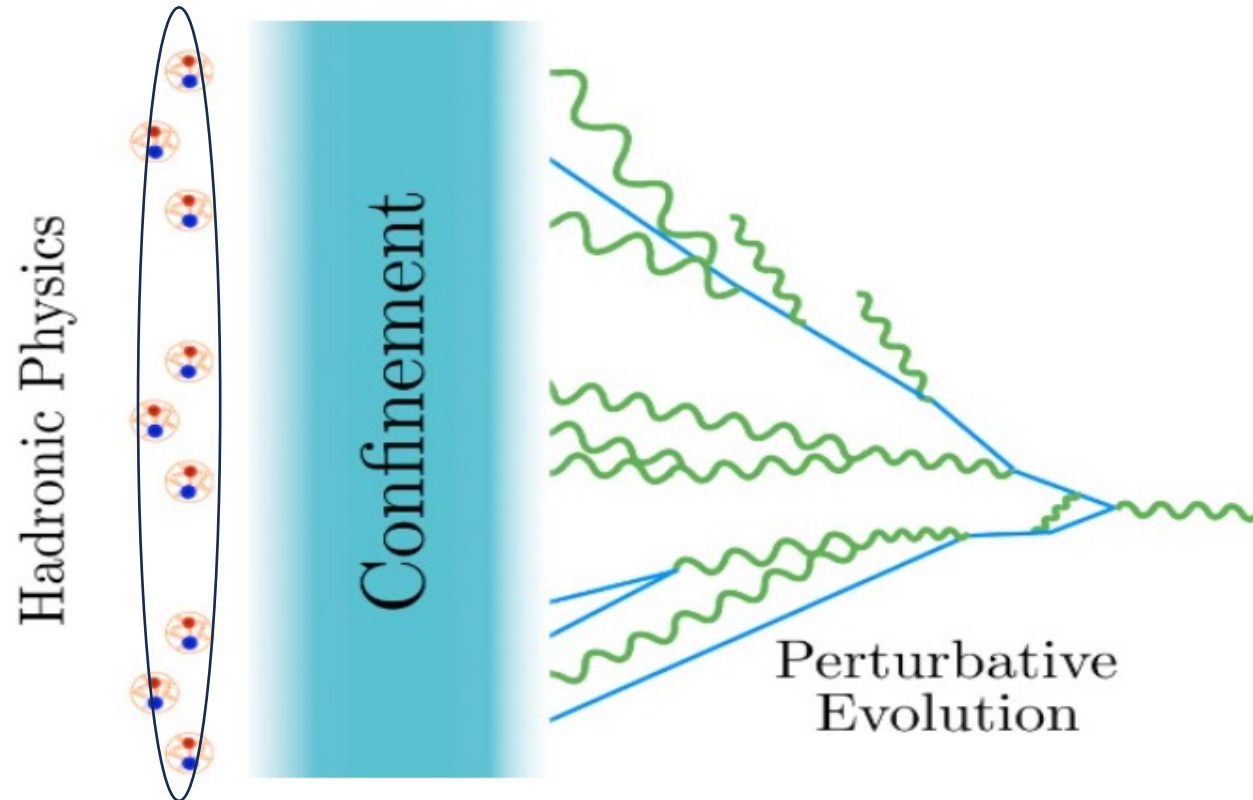


ALICE



# Looking inside jets with N-point Energy Correlators

- Jets are an important tool to probe QCD experimentally.
- IRC safe jet-finding algorithms offer access to partons from initial hard scatterings – useful probe of pQCD.
- **Multi-scale objects: feature highlighted by energy correlators.**

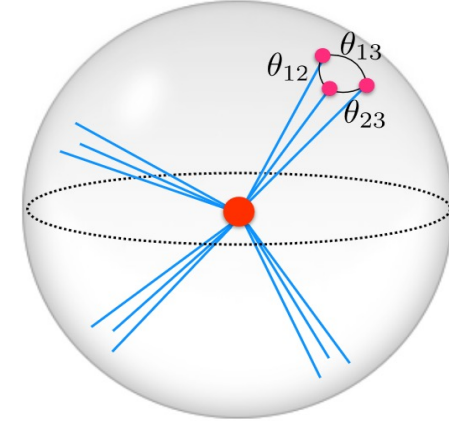


# Introduction: N-point Energy Correlators

- Theoretical definition:**

$$\mathcal{E}(\vec{n}) = \int_0^\infty dt \lim_{r \rightarrow \infty} r^2 n^i T_{0i}(t, r\vec{n})$$

$$\text{ENC}(R_L) = \left( \prod_{k=1}^N \int d\Omega_{\vec{n}_k} \right) \delta(R_L - \Delta \hat{R}_L) \frac{1}{(E_{\text{jet}})^N} \langle \mathcal{E}(\vec{n}_1) \mathcal{E}(\vec{n}_2) \dots \mathcal{E}(\vec{n}_N) \rangle$$

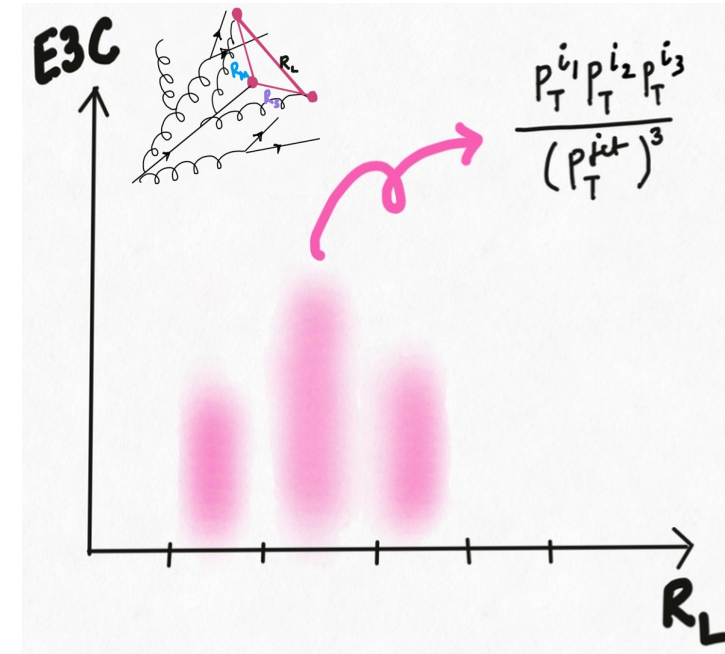
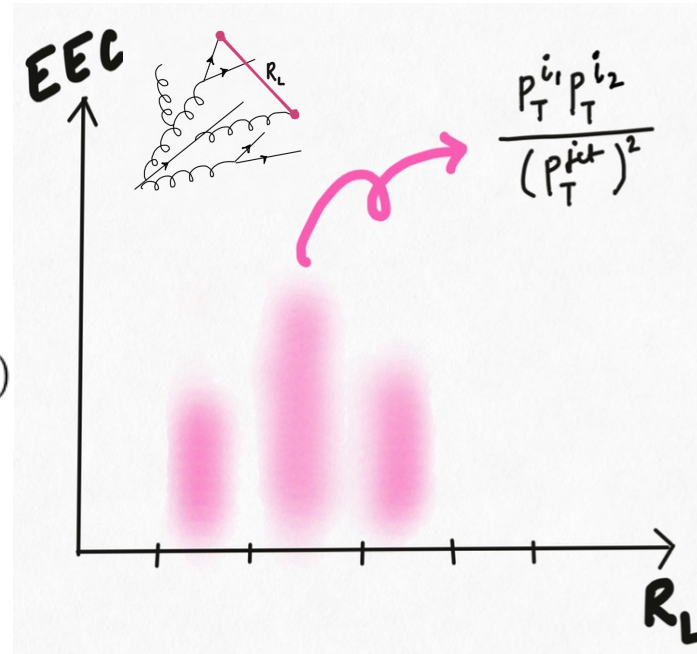


- Experimental definition:**

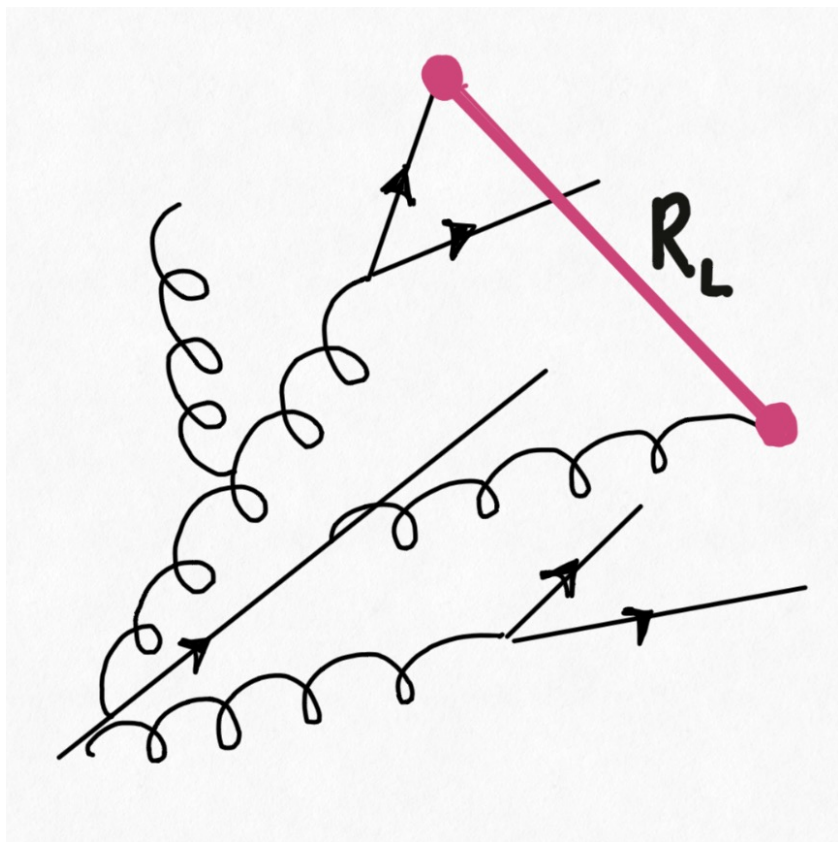
Create a weighted histogram as a function of  $R_L$  (**largest distance between N particles**) with weights

$$\text{ENC}(R_L) = \sum_{i_1, i_2, \dots, i_N} \int dR_L \frac{p_T^{i_1} p_T^{i_2} \dots p_T^{i_N}}{p_{T, \text{jet}}^N} \delta(R_L - \Delta \hat{R}_L)$$

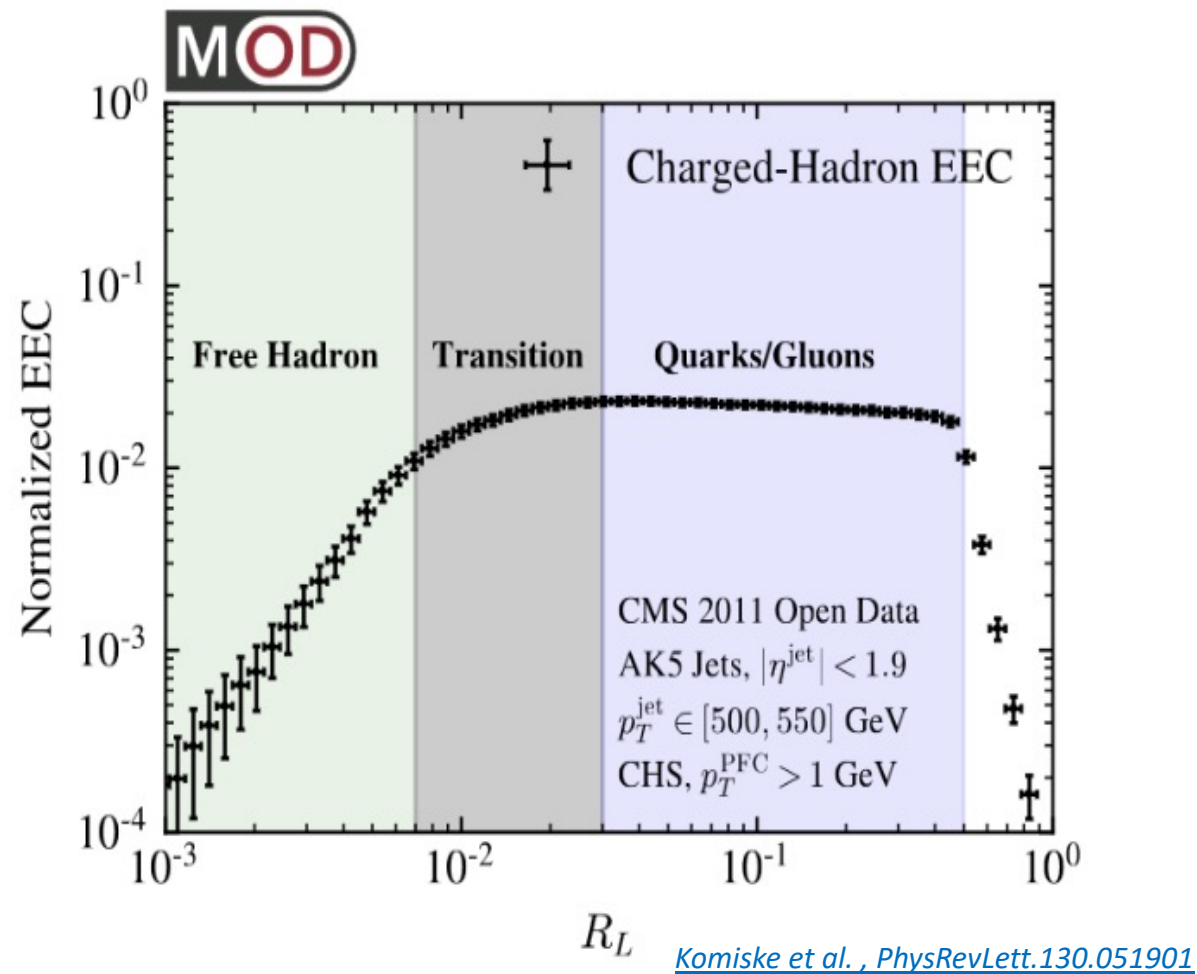
(ensemble averaged over jets)



# 2-point Energy Correlator (EEC)



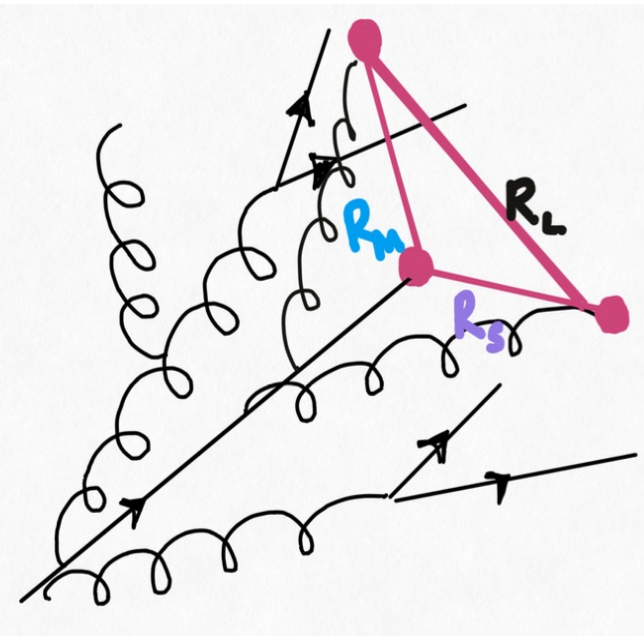
Probes scale dependence of energy flow  
Jet evolution imprinted on **slopes** of the EEC





# Projected 3-point Energy Correlator (E3C)

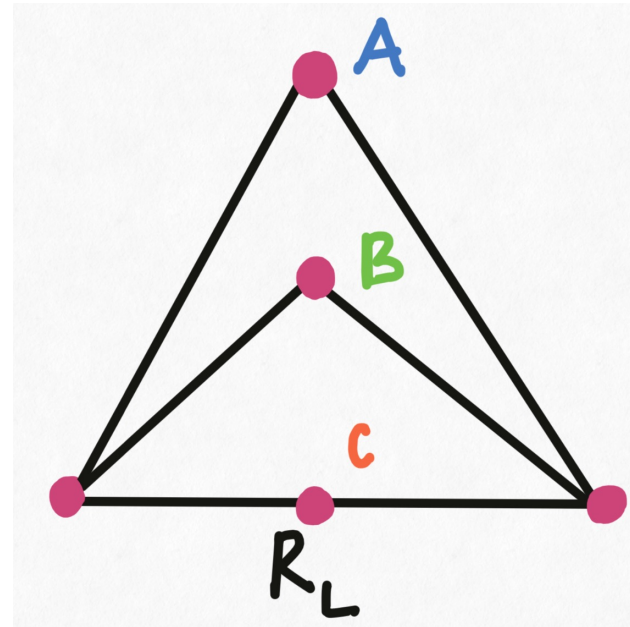
EEEC



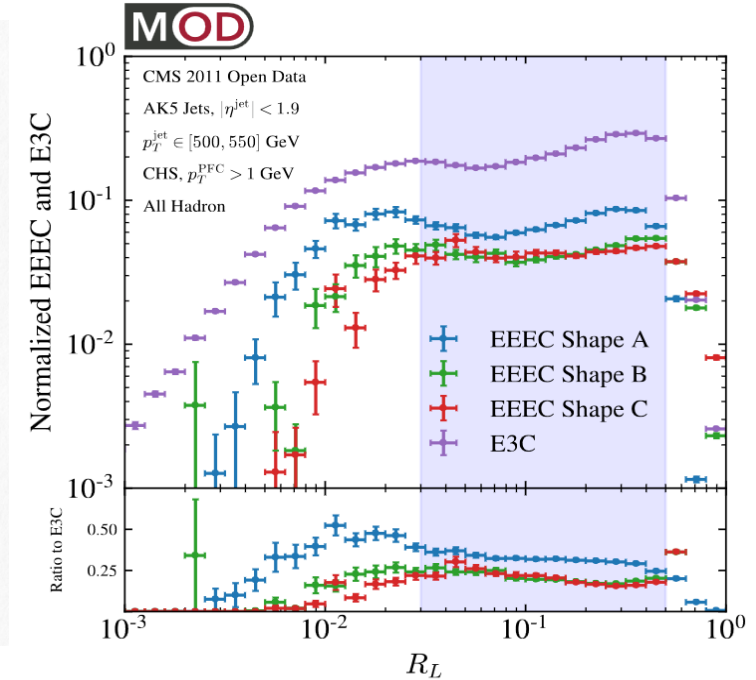
Integrate over different  
configurations of  
 $R_M$  and  $R_S$

Probes shape dependence of  
energy flow  
+ 1  $\longrightarrow$  3 splittings

E3C



Preserves the scale of the correlation  
+ slopes encode the scaling behavior  
of EEEC (theory)



[Komiske et al., PhysRevLett.130.051901](#)

# Motivation: ENC

## Theoretical

- Calculable in pQCD using techniques from Conformal Field Theory

[\*Dixon et. al, PhysRevD.100.014009\*](#)

[\*Kologlu et. al, JHEP01\(2021\)128\*](#)

- Allows for a clear separation of pQCD and npQCD effects

## Experimental

- Grooming and reclustering not necessary

- Allows for a clear separation of pQCD and npQCD effects

# Motivation: E3C/EEC ratios

## Theoretical

- Access to **anomalous dimensions**

*QFT operators have a scaling/mass dimension  $\Delta_{\mathbb{O}}$ .*

*For e.g., in 3+1D, scalar field  $[\phi] = 1$ , fermion field  $[\psi] = 3/2$ .*

*Quantum mechanical effects  $\rightarrow \Delta_{\mathbb{O}}$  gets shifted by “anomalous dimensions”,  $\gamma_{\mathbb{O}}$ :*

$$\Delta_{\mathbb{O}} = \Delta_{\mathbb{O}, \text{classical}} + \gamma_{\mathbb{O}}$$

- Access to the strong coupling constant\*



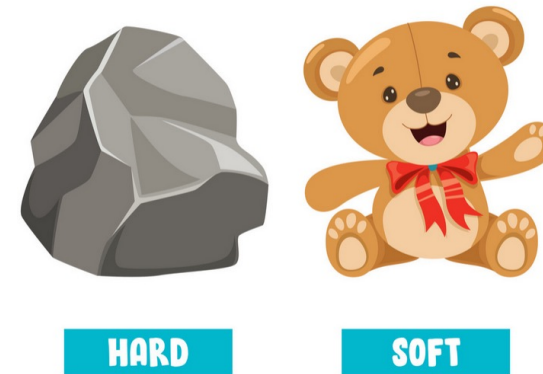
*\*Chen et al. PhysRevD.102.054012*

*Recently measured by CMS (BOOST – 2023)*

## Experimental

- Minimal detector effects

- Isolation of pQCD & npQCD effects



# Analysis Method/Overview

- Compute ENCs on **charged anti- $k_T$  jets,  $R = 0.4$**
- Bin-by-bin correction: ALICE has great angular resolution ( $\approx 1\text{mrad}$  for  $p_T^{\text{track}} \approx 1\text{GeV}$ )

$$f_{\text{corr}}(R_L^{\text{det}}, p_{\text{T,jet}}^{\text{det}}) = \text{ENC}_{\text{det}} / \text{ENC}_{\text{true}}$$
$$\text{ENC}_{\text{true}}(p_{\text{T,jet}}^{\text{true}}) = (1/f_{\text{corr}}) \text{ENC}_{\text{det}}(p_{\text{T,jet}}^{\text{det}})$$

- Dominant systematic:  $p_T$  migration effects (unfolding checks – ongoing)

Systematics
$p_T$ migration
Single particle tracking efficiency
Pair efficiency
Generator dependence



# EEC at 5.02 TeV and 13 TeV at ALICE

Shift in peak with jet  $p_T$



Higher  $p_T$  jets move to the left

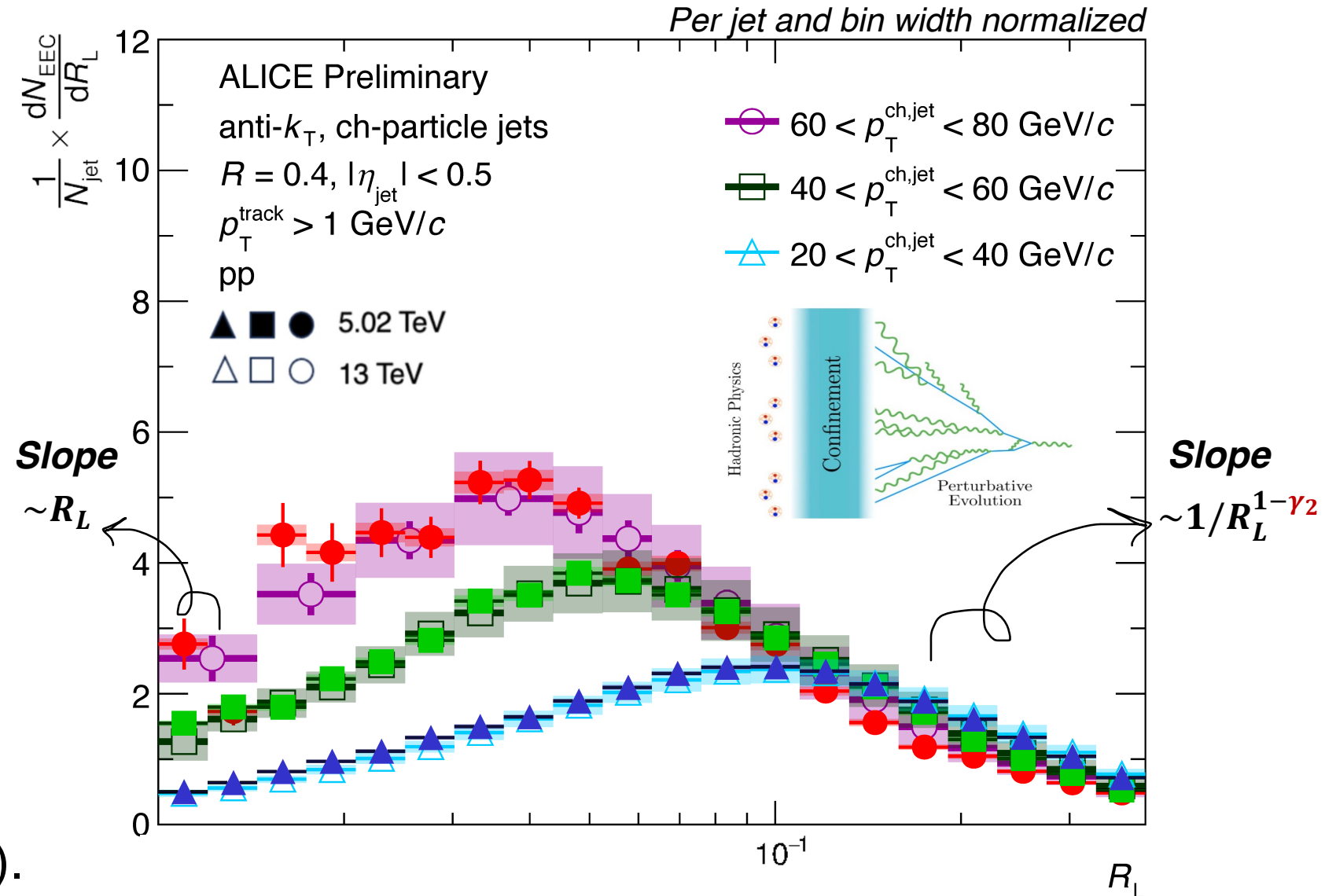


Depicts jet evolution through different stages:  
**angular ordering** of QCD.

**Small** dependence on  $\sqrt{s}$  !



**q/g fractions** will modify the slope (see Andrew's talk).



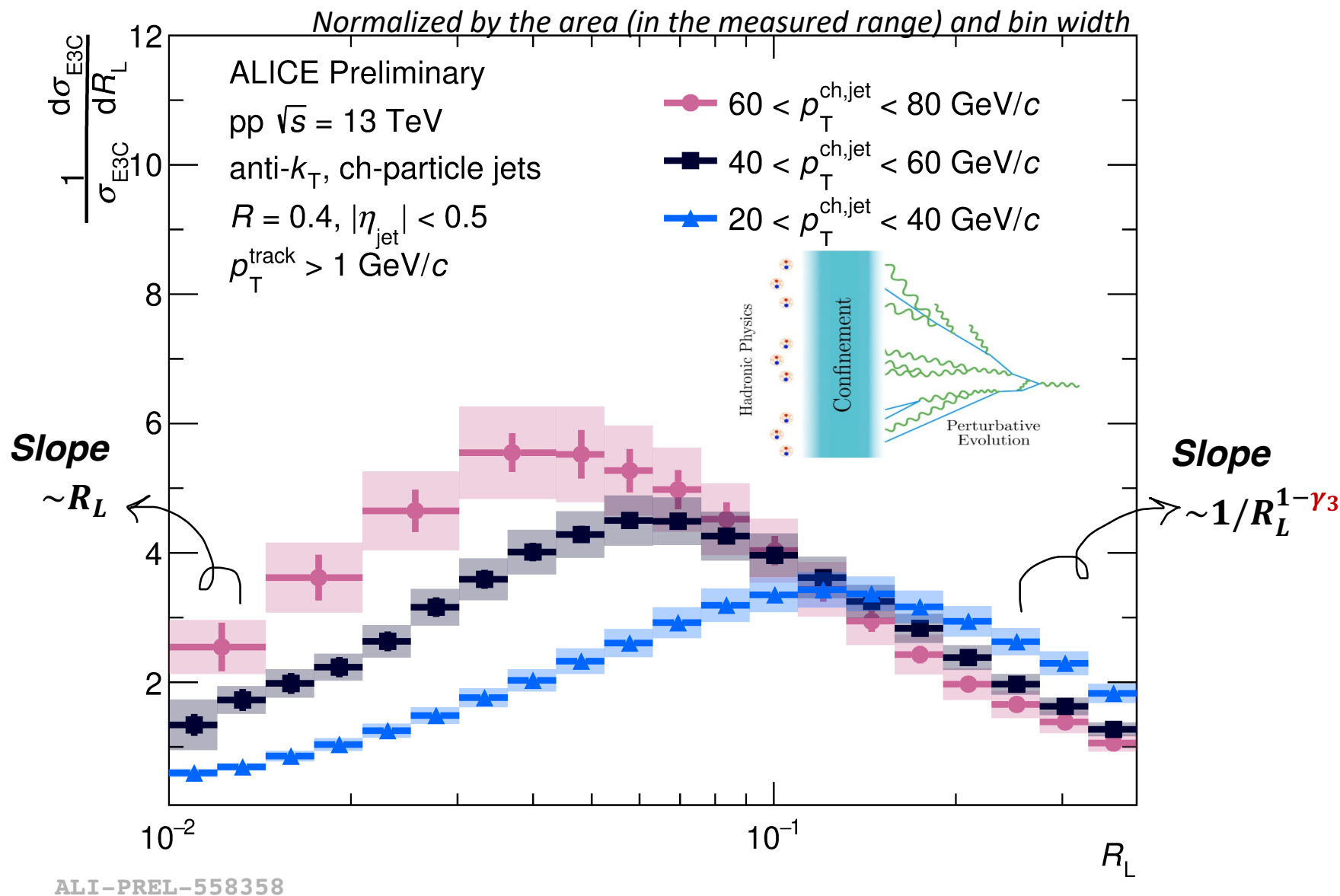
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# E3C at 13 TeV at ALICE

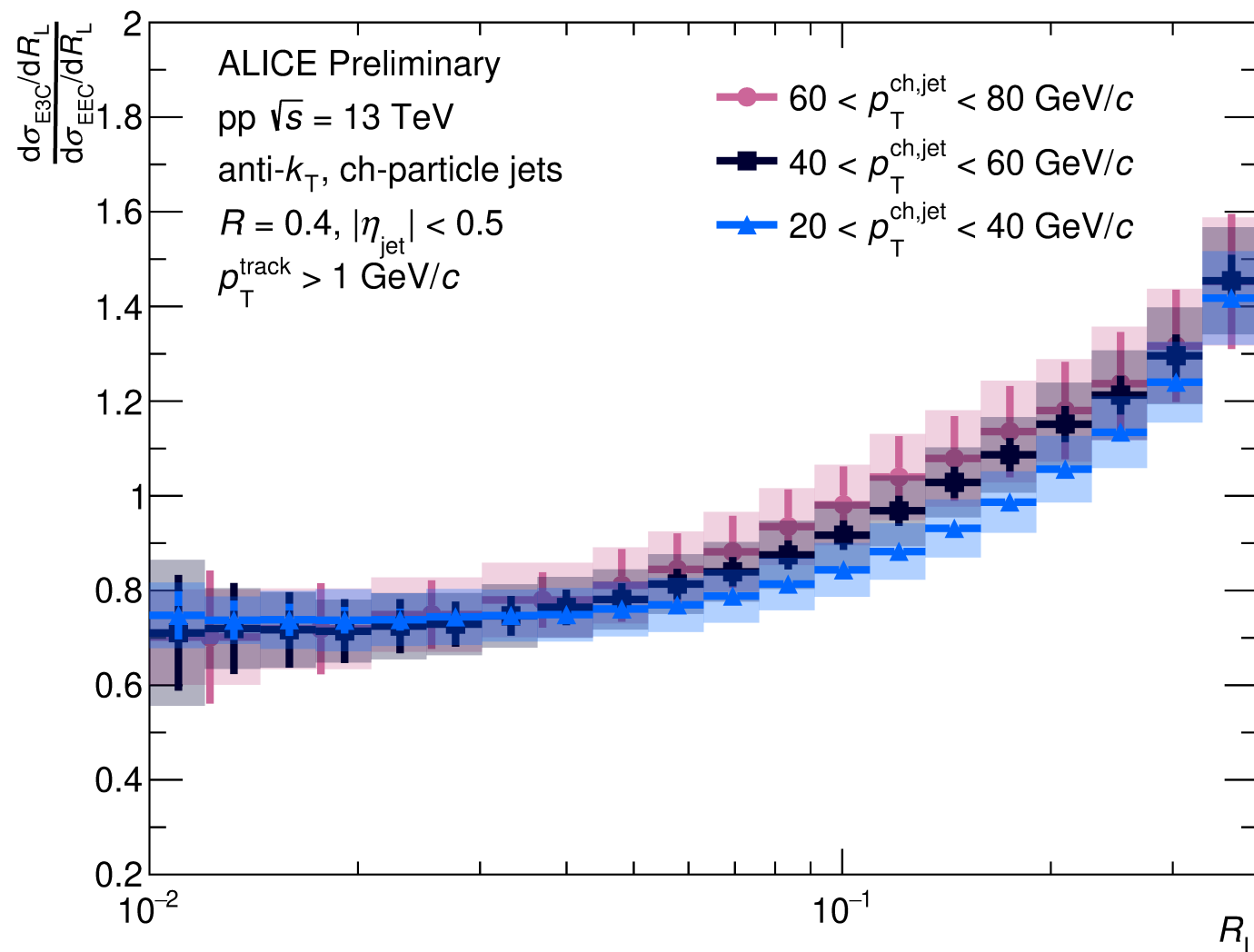
Same features as the EEC.

Slopes in the partonic regime **different** from EEC.

Anomalous dimension of E3C ( $\gamma_3$ ) different from EEC ( $\gamma_2$ ).



# E3C/EEC at 13 TeV at ALICE



The change in slope with jet  $p_T$  indicates running of the coupling,  $\alpha_S$ !

Slope ( $\sim R_L^{\gamma_3 - \gamma_2}$ ) verifies quantum mechanical corrections!

Perturbative regime:

$\gamma_3 > \gamma_2$  (theory) reproduced in data (slope  $> 1$ ).

Non-Perturbative regime:

*Flat region* indicates npQCD effects – Hadronization & trivial correlation between hadrons.

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Both E3C & EEC are normalized by the area (in the measured range) and bin width

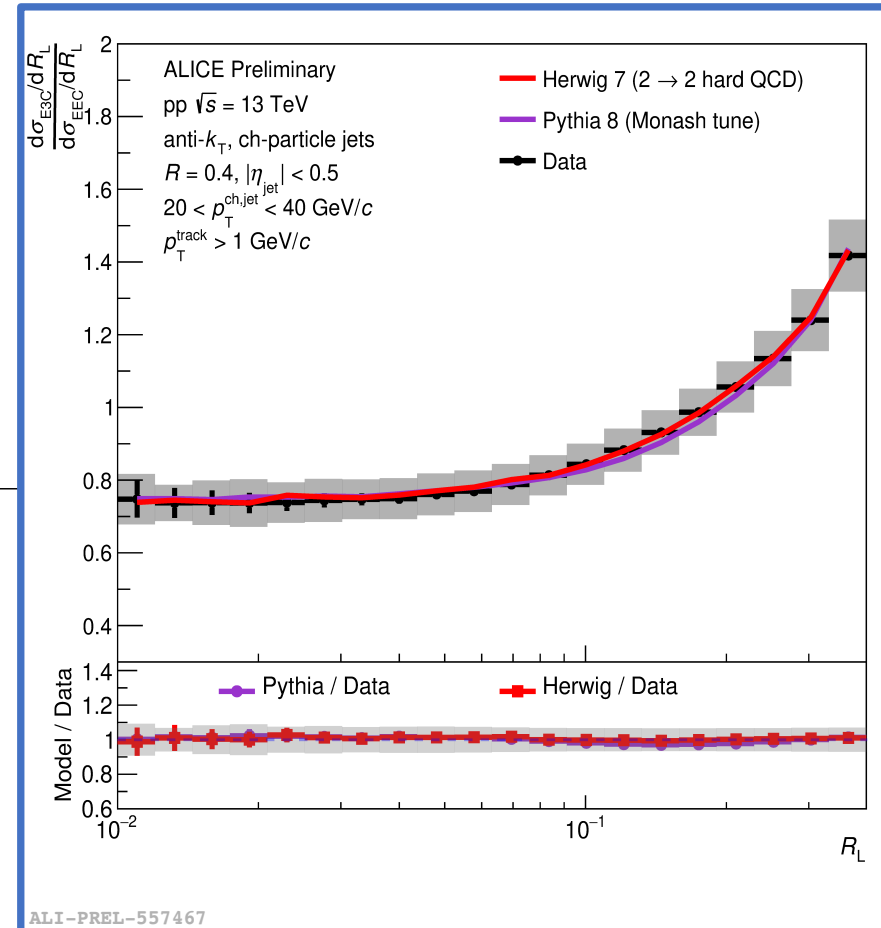
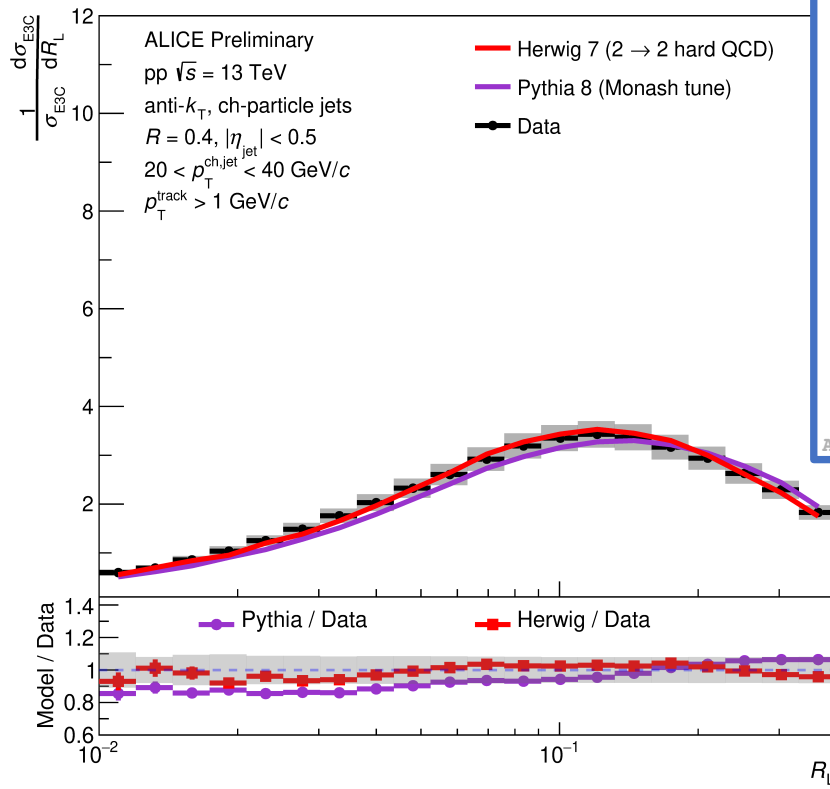
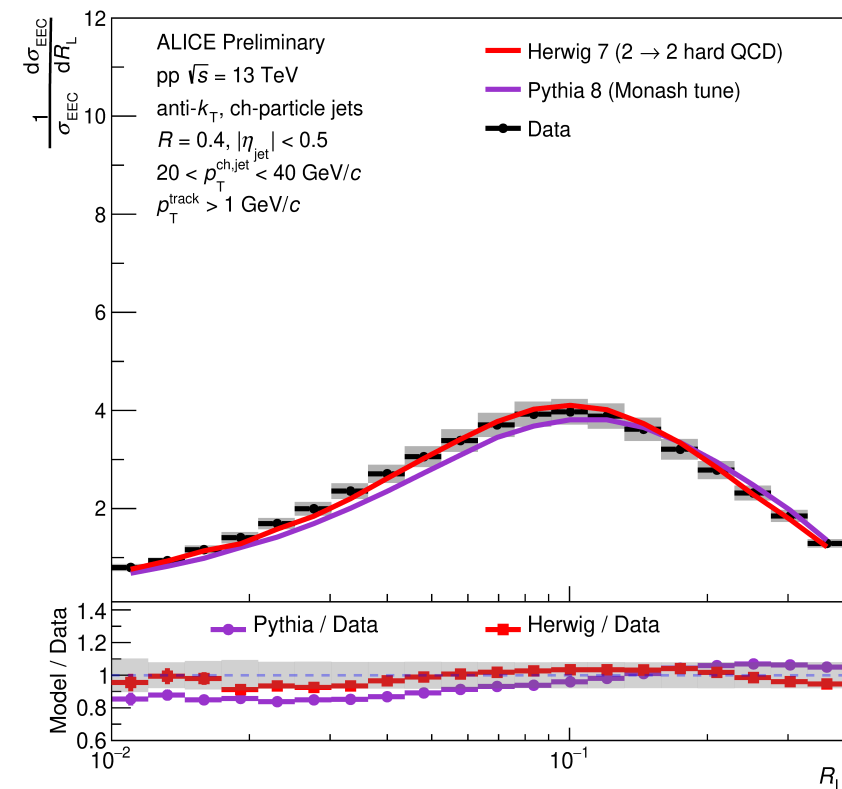
# Model Comparison

EEC & E3C

Herwig shows better agreement

Differences more pronounced in the hadronic region –

Possible due to different hadronization mechanisms? Normalization choice?



E3C/EEC

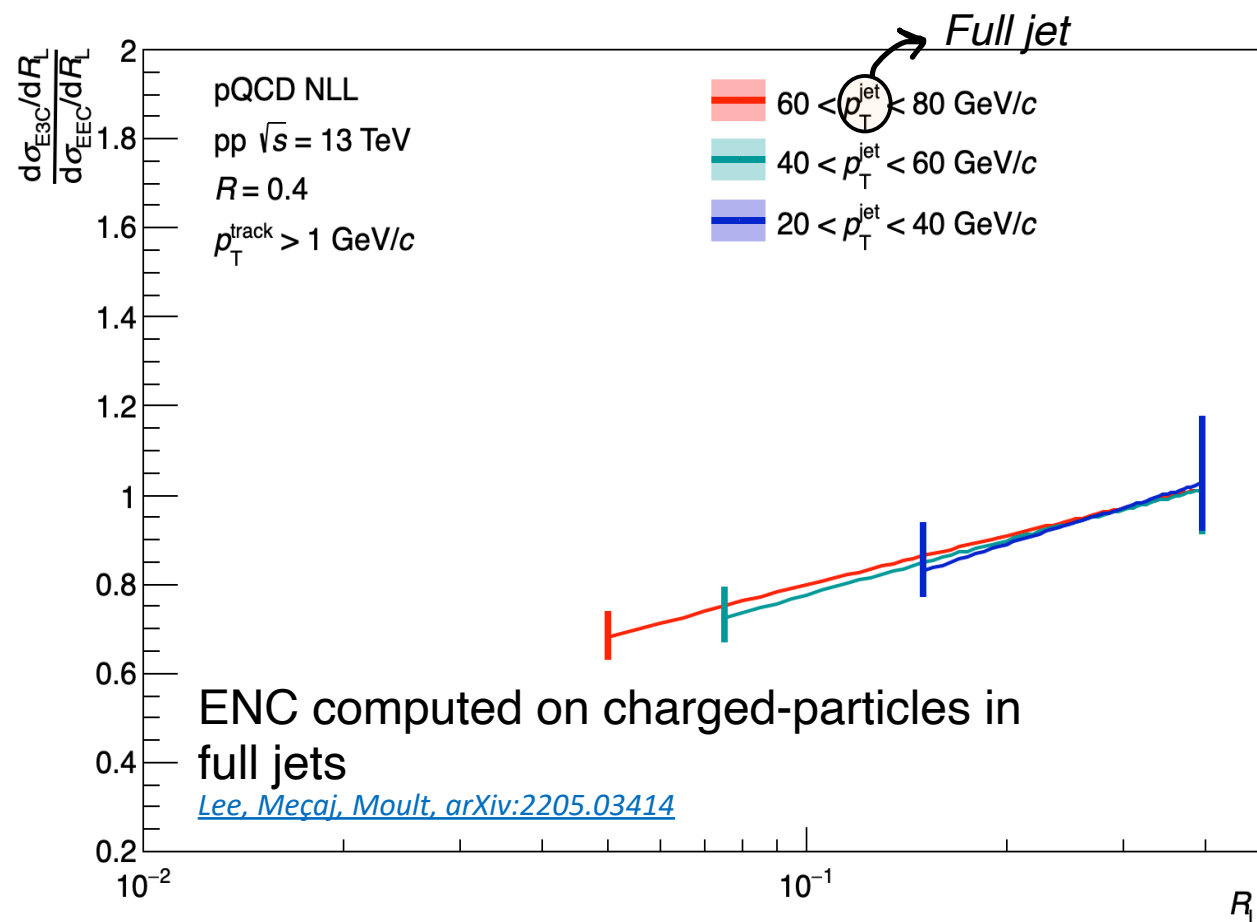
Pythia and Herwig both have ratio  $\approx 1$

Models capture perturbative dynamics well.

Isolates perturbative physics!



# Comparison of E3C/EEC with pQCD

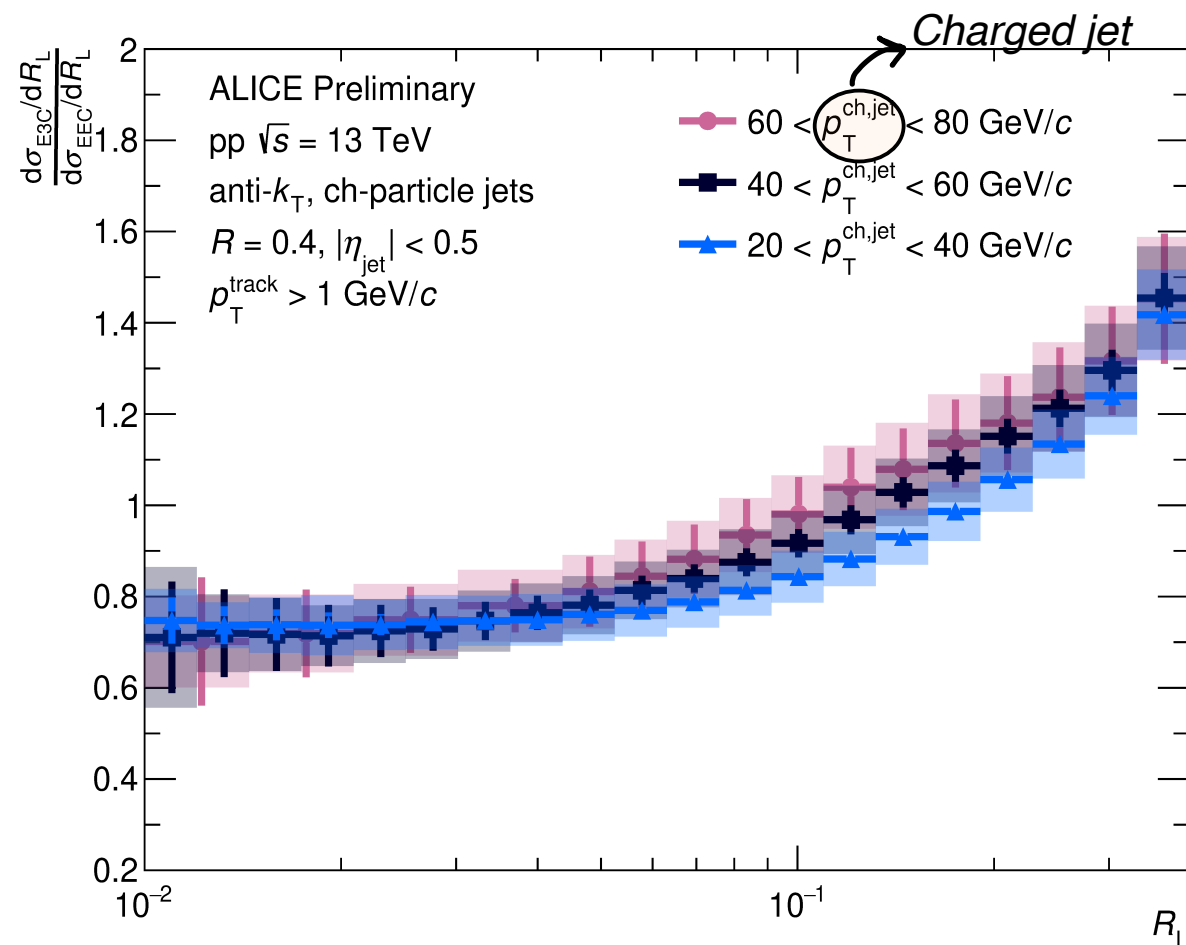


At LL collinear in pQCD

$$ENC(R_L) = -\frac{d}{dR_L} \left[ (1, 1) \exp \left( \frac{-\gamma^{(0)}(N+1)}{\beta_0} \ln \frac{\alpha_S(R_L \mu)}{\alpha_S(\mu)} \right) (x_q, x_g) \right] H_J(\mu)$$

Jet  $p_T$  scale  
 q/g fraction  
 QCD beta function (1 loop)  
 Jet production crossx

[Komiske et al., PhysRevLett.130.051901](https://arxiv.org/abs/1305.1901)



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Change in slope with jet  $p_T$  → Trends between theory and data agree.

Work is ongoing to make this comparison robust.

# Outlook

- ENCs allow us to probe **both** soft and hard physics!
- Full 3-point correlator (EEEC):  
study full shape dependence of energy flow in pp collisions.

[Komiske et al., PhysRevLett.130.051901](#)

- Move to more complex systems:

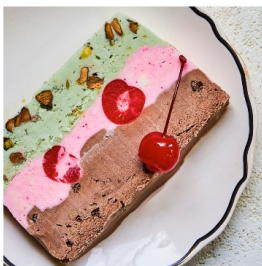
A scale sensitive probe is great for studying more complex systems with inherent scales

**EIC physics** – modification due to cold nuclear matter

[Deveraux et al., arXiv:2303.08143](#)

**QGP physics** – isolate effects of the medium, access to soft physics  
color coherence effects

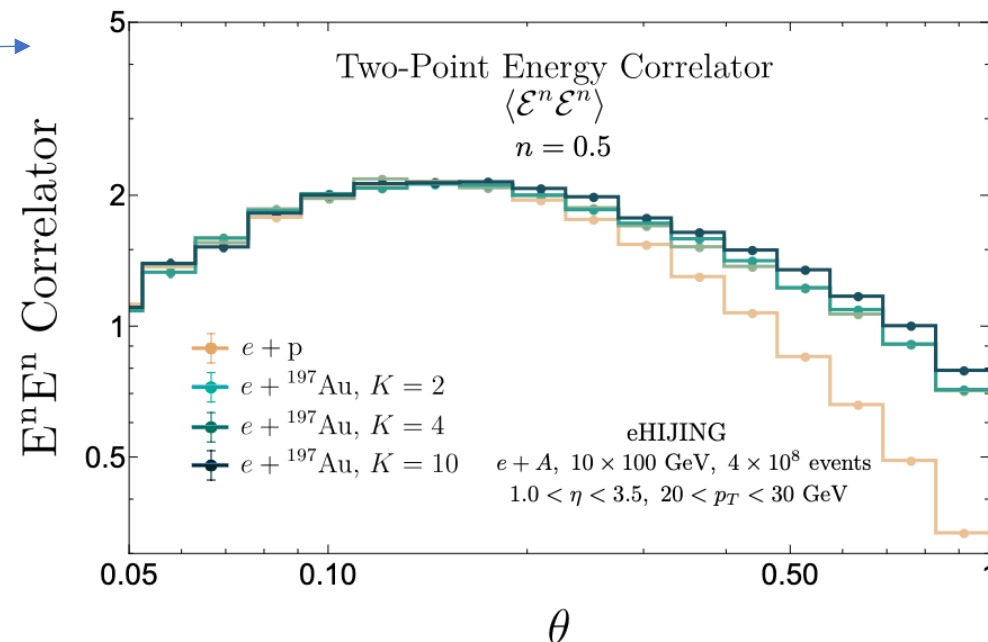
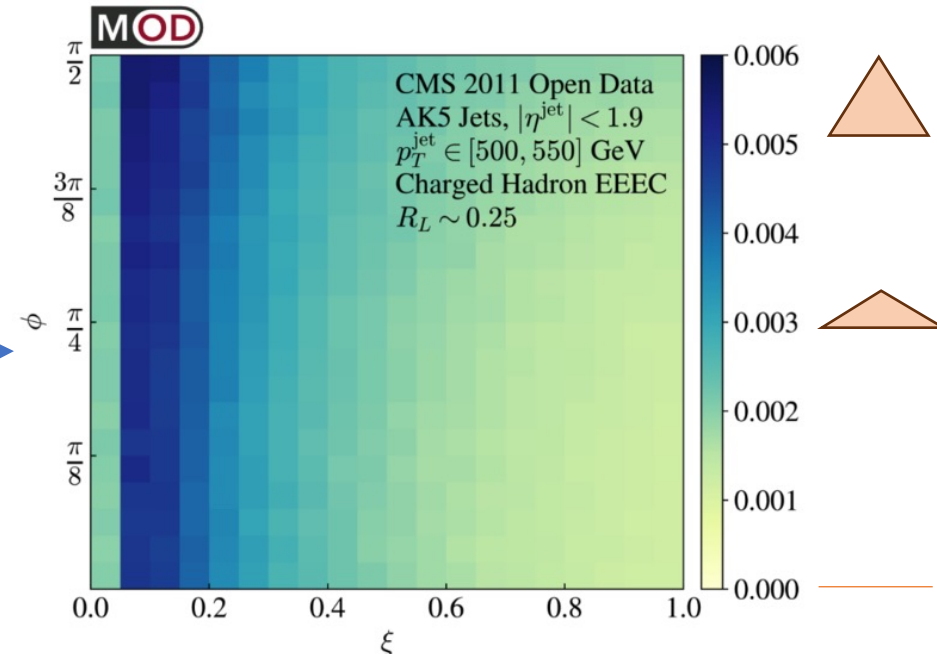
transverse momentum broadening [Yang et al., arxiv:2310.01500](#)



Energy Correlators

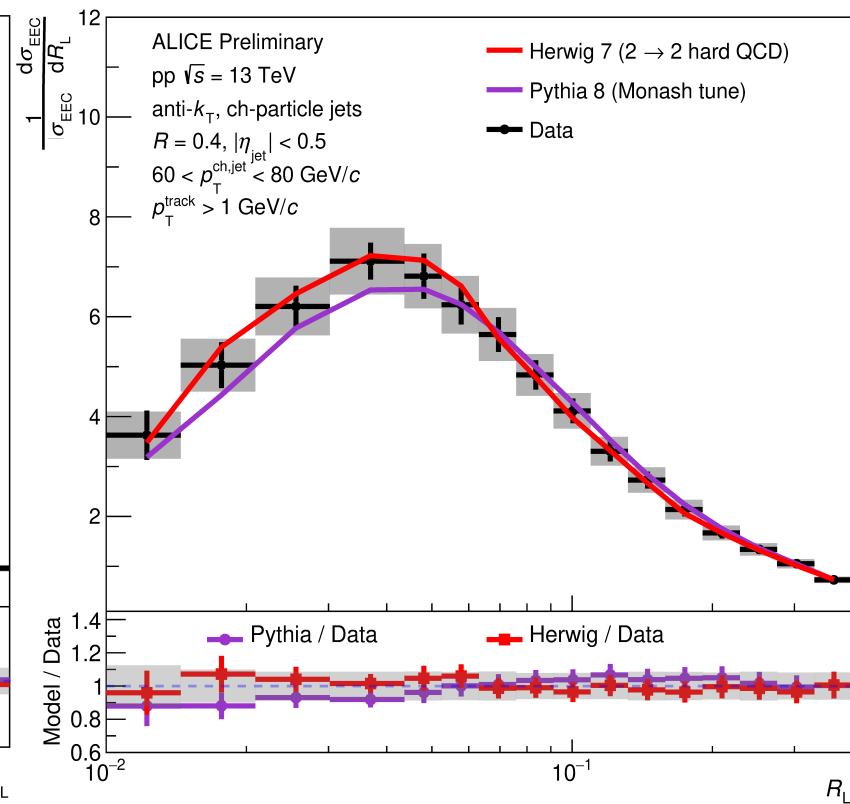
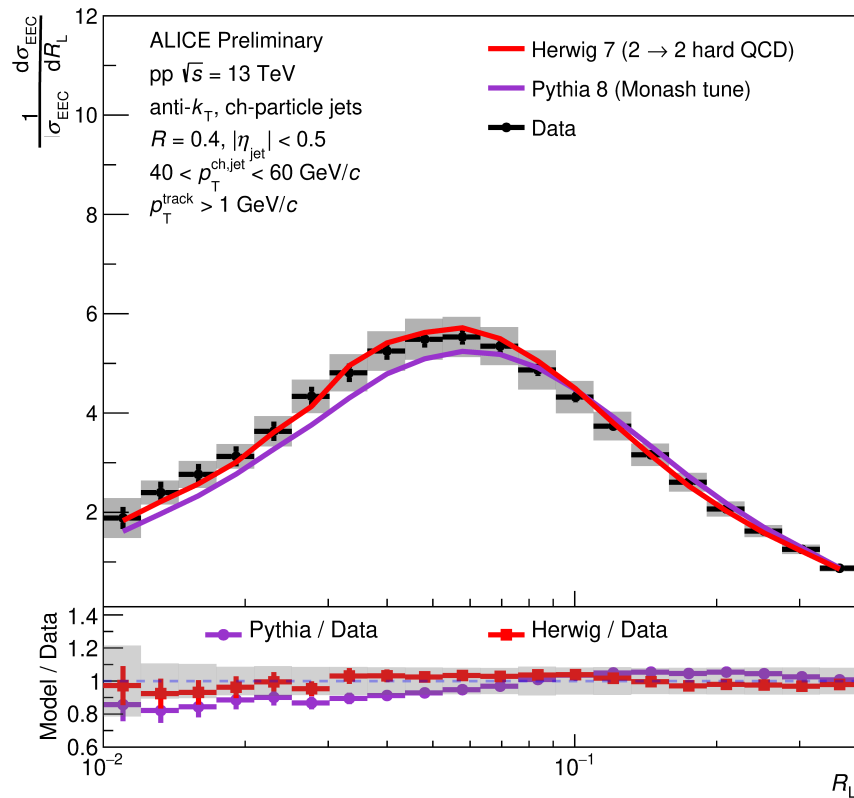
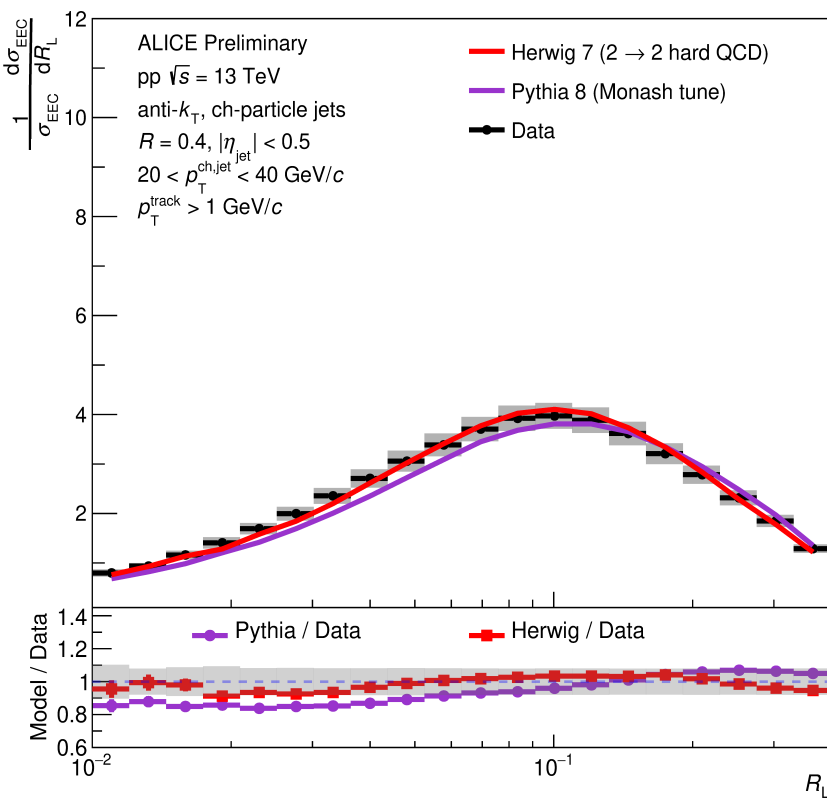


Other substructure observables



BACKUP

# Model Comparison EEC



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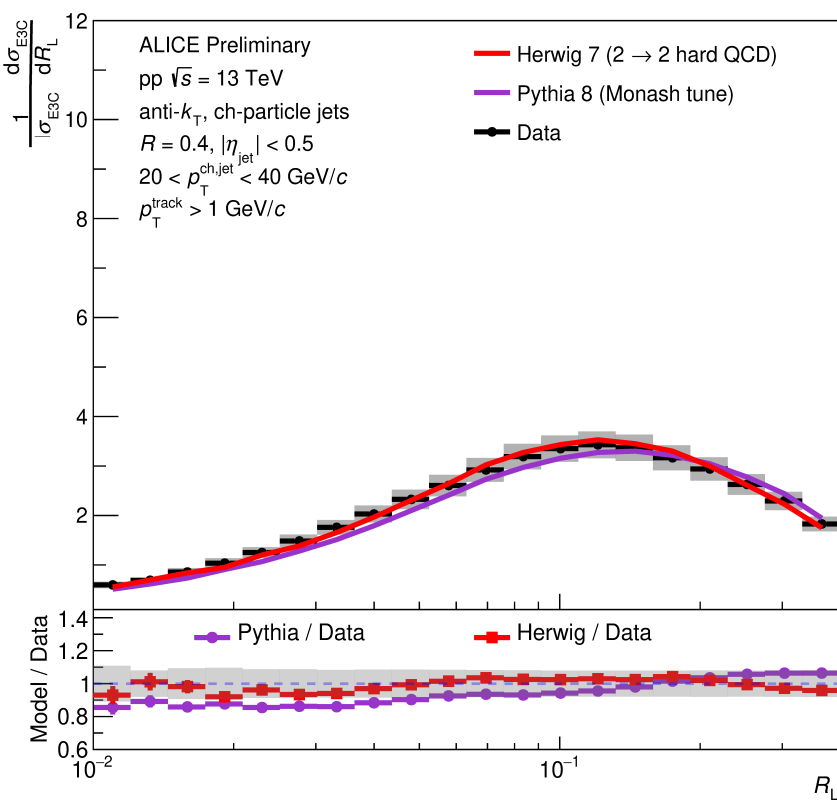
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ALI-PREL-557447

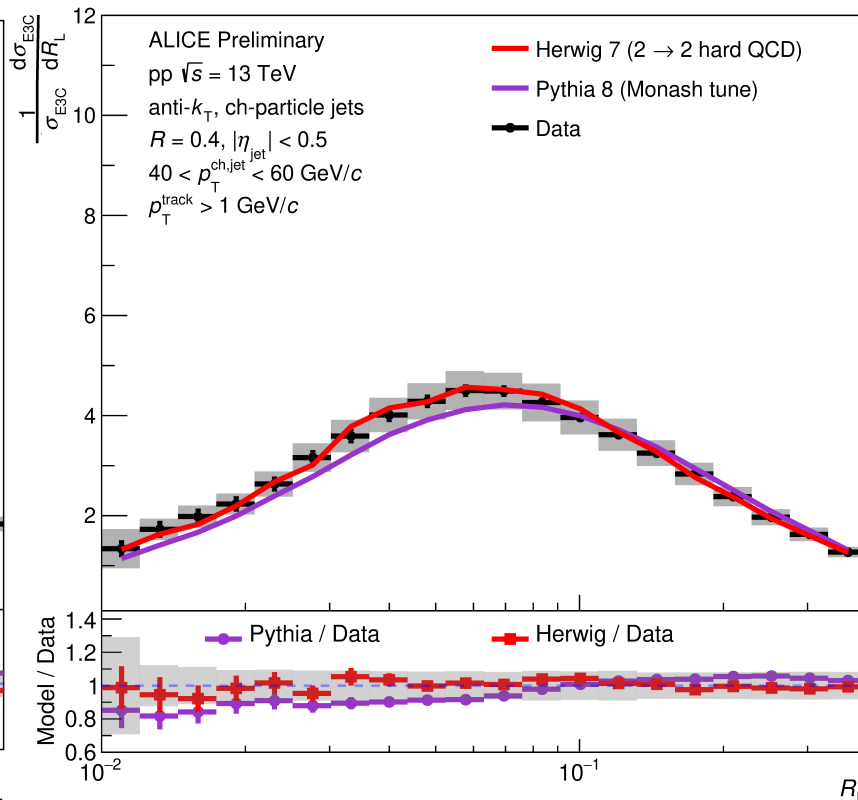
Herwig shows better agreement  
Differences more pronounced in the hadronic region  
Possible due to different hadronization mechanisms?



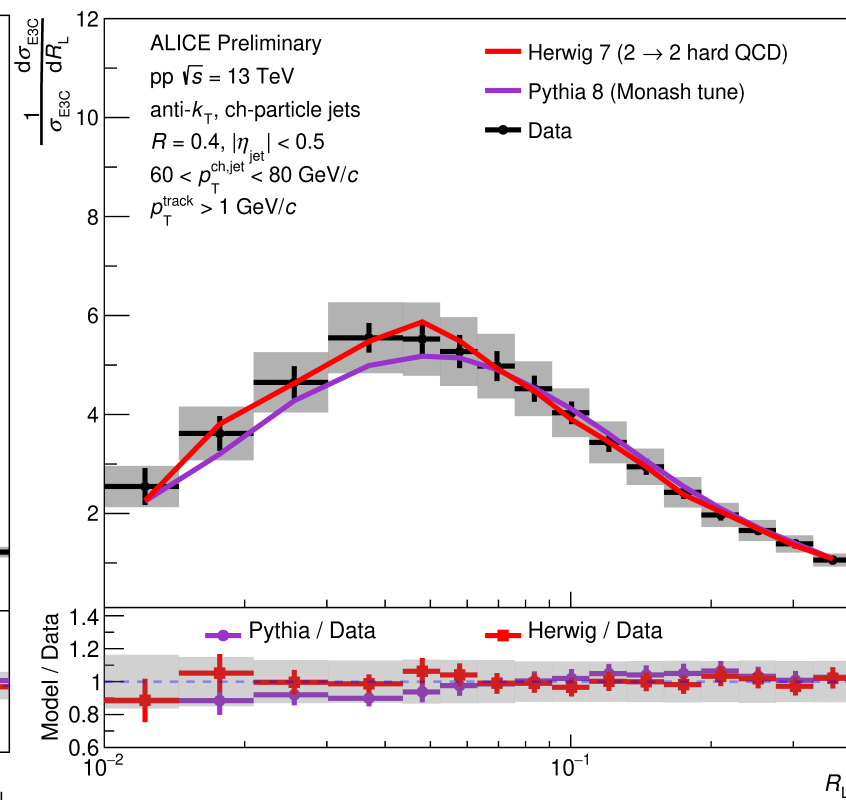
# Model Comparison E3C



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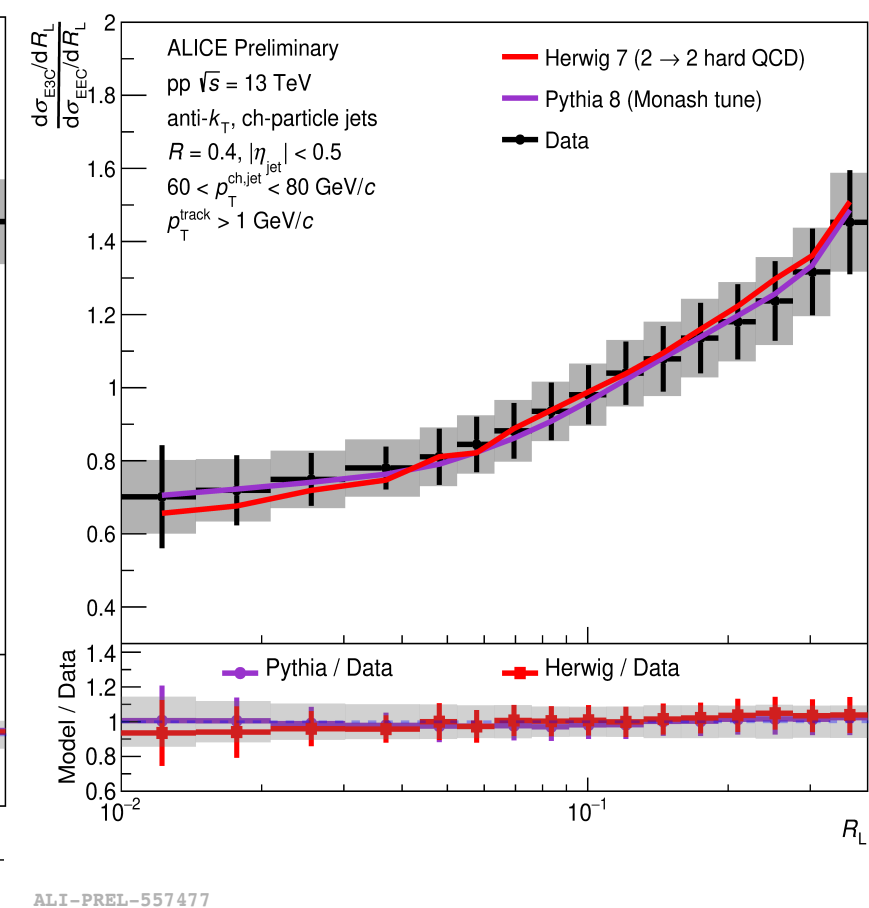
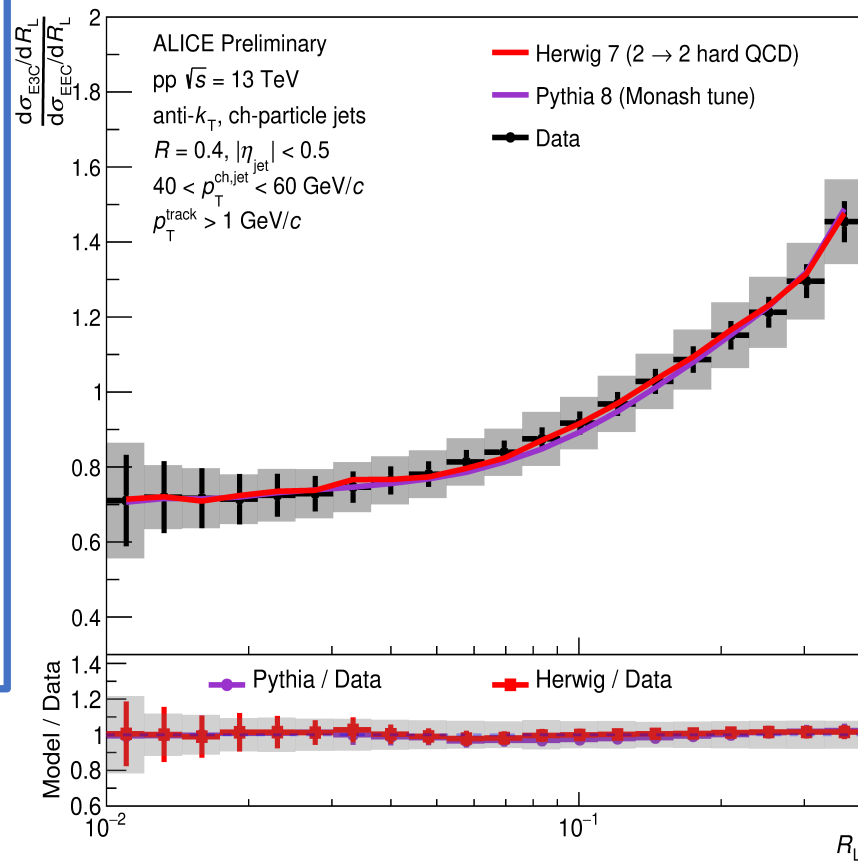
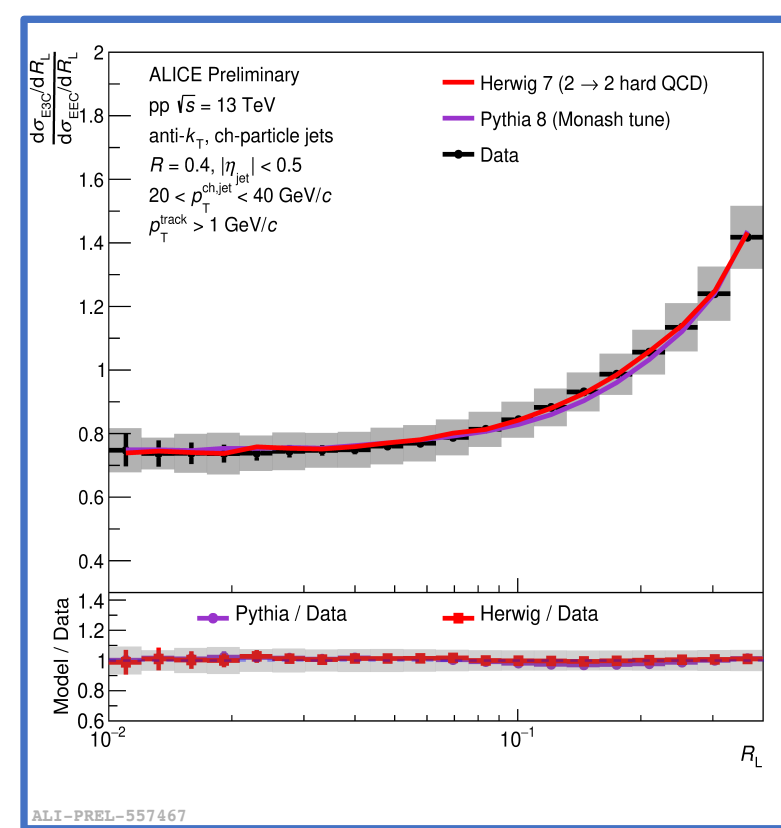


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Trends remain similar to EEC. Herwig still agrees better

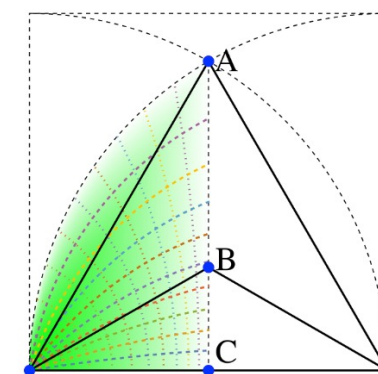
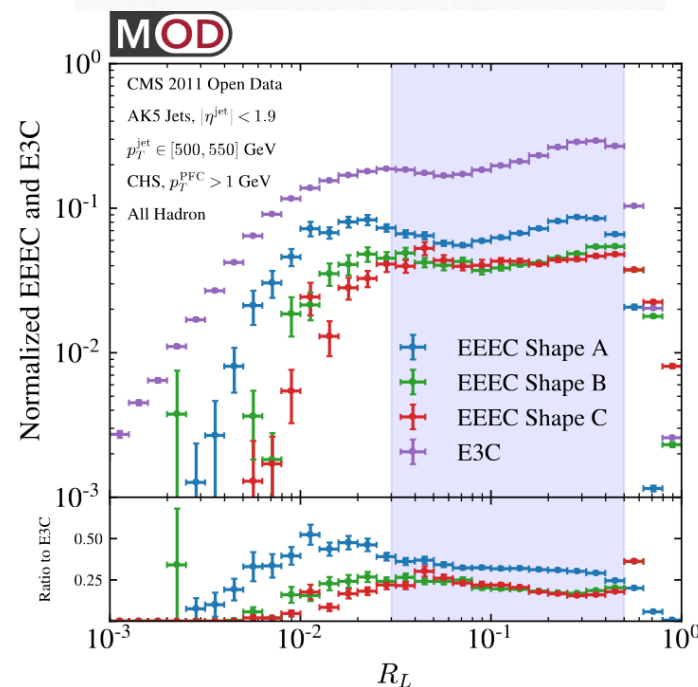
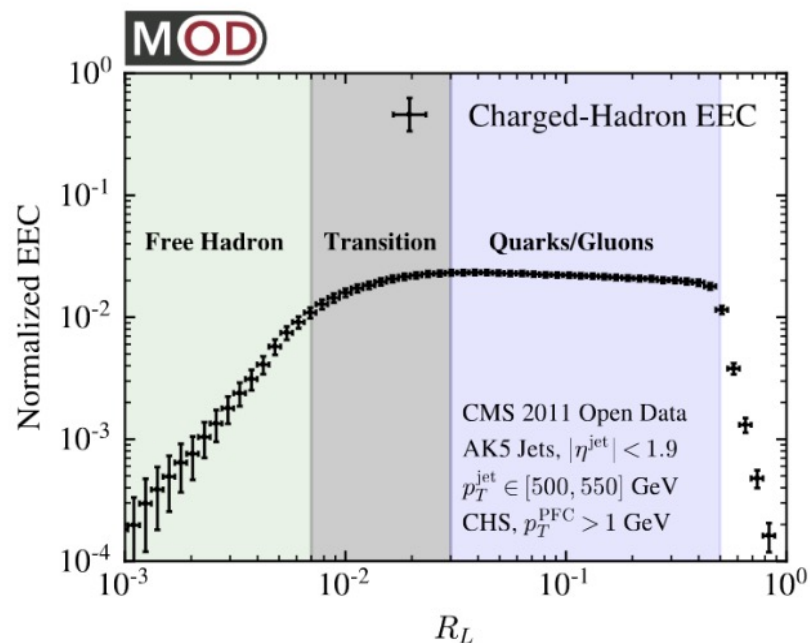
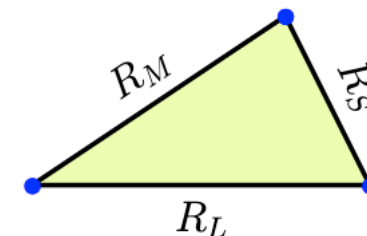
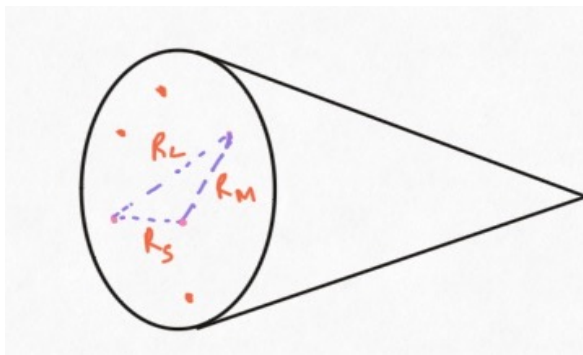
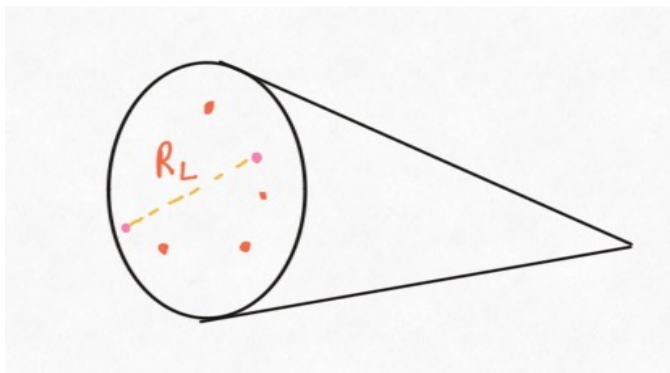


At LL collinear in pQCD

$$\frac{E3C}{EEC} \approx \frac{\gamma_3}{\gamma_2} \exp \left( \frac{\gamma_2 - \gamma_3}{\beta_0} \ln \frac{\alpha_S(R_L \mu)}{\alpha_S(\mu)} \right)$$

# Projected energy-correlators

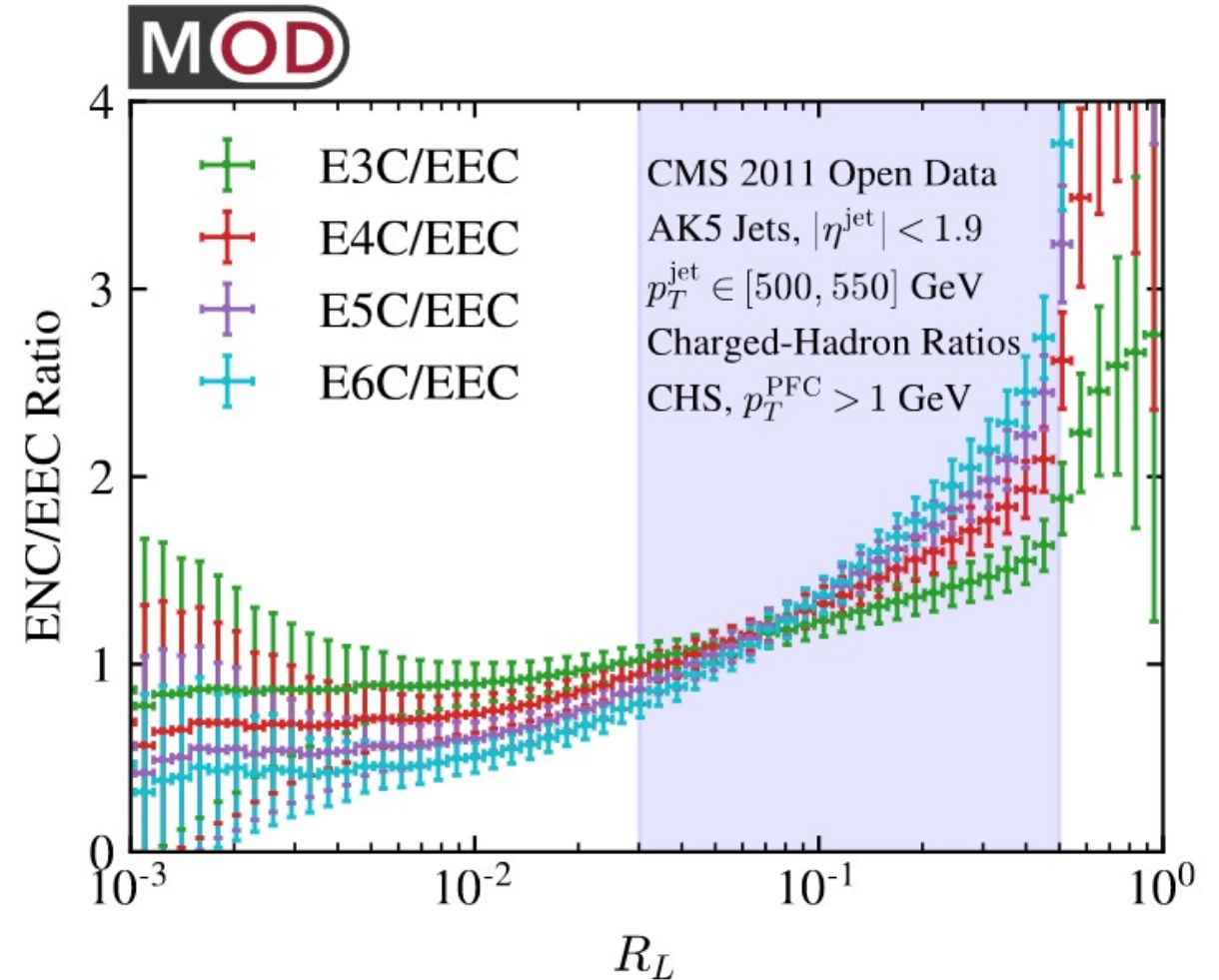
$$ENC(R_L) = -\frac{d}{dR_L} \left[ (1, 1) \exp \left( \frac{-\gamma^{(0)}(N+1)}{\beta_0} \ln \frac{\alpha_S(R_L \mu)}{\alpha_S(\mu)} \right) (x_q, x_g) \right] H_J(\mu)$$



Generators don't capture the scaling behavior of EEE

## ENC/EEC ratios

- Get rid of non-perturbative and detector effects.
- Probe quantum mechanical corrections.



[Komiske et al., PhysRevLett.130.051901](#)



# E3C/EEC ratios: quarks and gluons

