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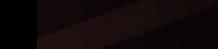
e-Cab12



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The 2024 CFNS Summer School on the Physics of the Electron-Ion Collider

Enter your search term

Al-supported methods for **Real-time data analysis** Part I - HEP/NP data and DAQ

M.Battaglieri (INFN)







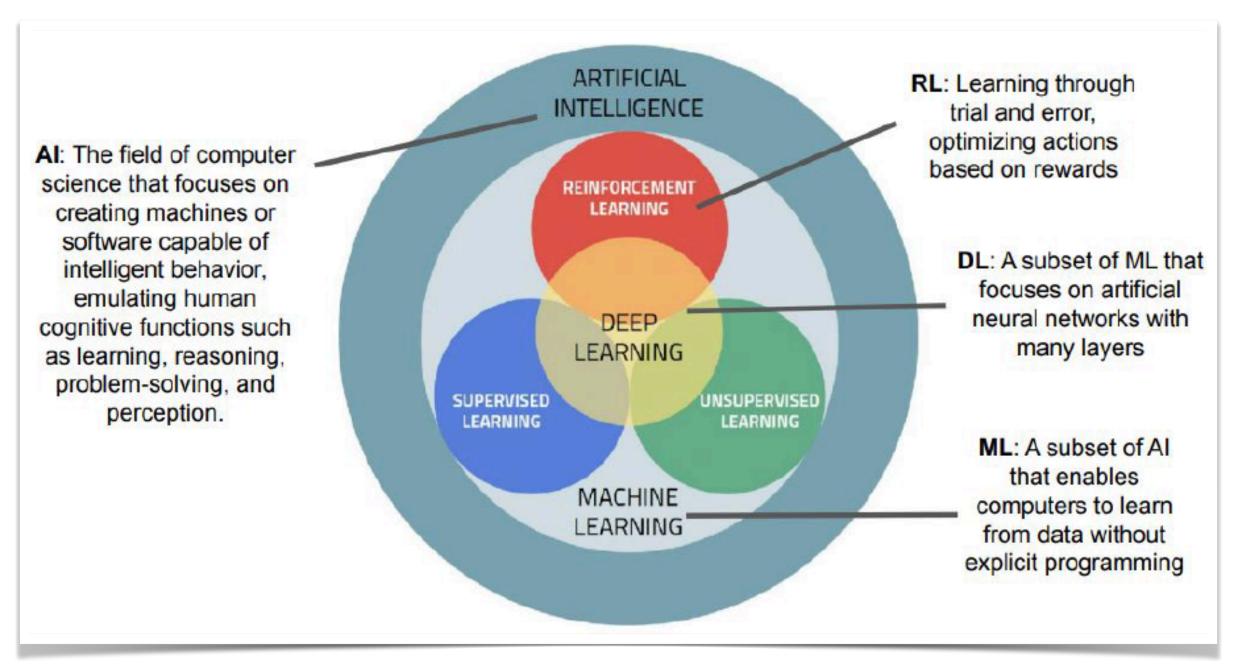


Why AI for real-time data analysis (and why me)?

 \star Streaming readout, the new paradigm in DAQ, calls for sophisticated real-time analysis (including AI/ML)

 \star Al is a large and rapidly evolving field

- data scientists, mathematicians computer nerds,...
- what about physicists (theory, experimental)?



Al is widely used to (try to!) solve (too!) complex problems

- no analytic solutions
- too many data



I'm an experimental physicist with a long experience in detector's design and deployment and streaming readout data acquisition systems. Despite I spent a large time of my life developing software tools for HEP and NP data analysis I'm:

- not particularly expert in computing, languages, coding, ...
- not an expert in AI/ML
- pretty skeptical about AI as a magic wand for everything
- ... so, why me??? What is the advantage for you???
 - unbiased (physicist-like) view of AI/ML uses
 - a simple and basic picture of what AI/ML is
 - a focus on physics (problems): AI/ML is simply a (powerful) tool
 - I see (several!) advantages of using AI vs traditional approaches (and v.v.)
 - far away from technical details (and complications)
 - easy to fill your shoes (assuming you are a beginner in AI/ML!)

Only recently AI peeped out in physics (and it is spreading fast!)

- the *black box* approach is opposite to the scientific method (as articulated so far)
- Al is perpendicular to reductionism (we daily use in physics)
 - take a problem
 - identify the main features (making smart approximations)
 - extract from experimental data the (simple) underlying law
 - make the complexity simple
- Physics is a mature science: hundreds of years are difficult to reach (and beat!)

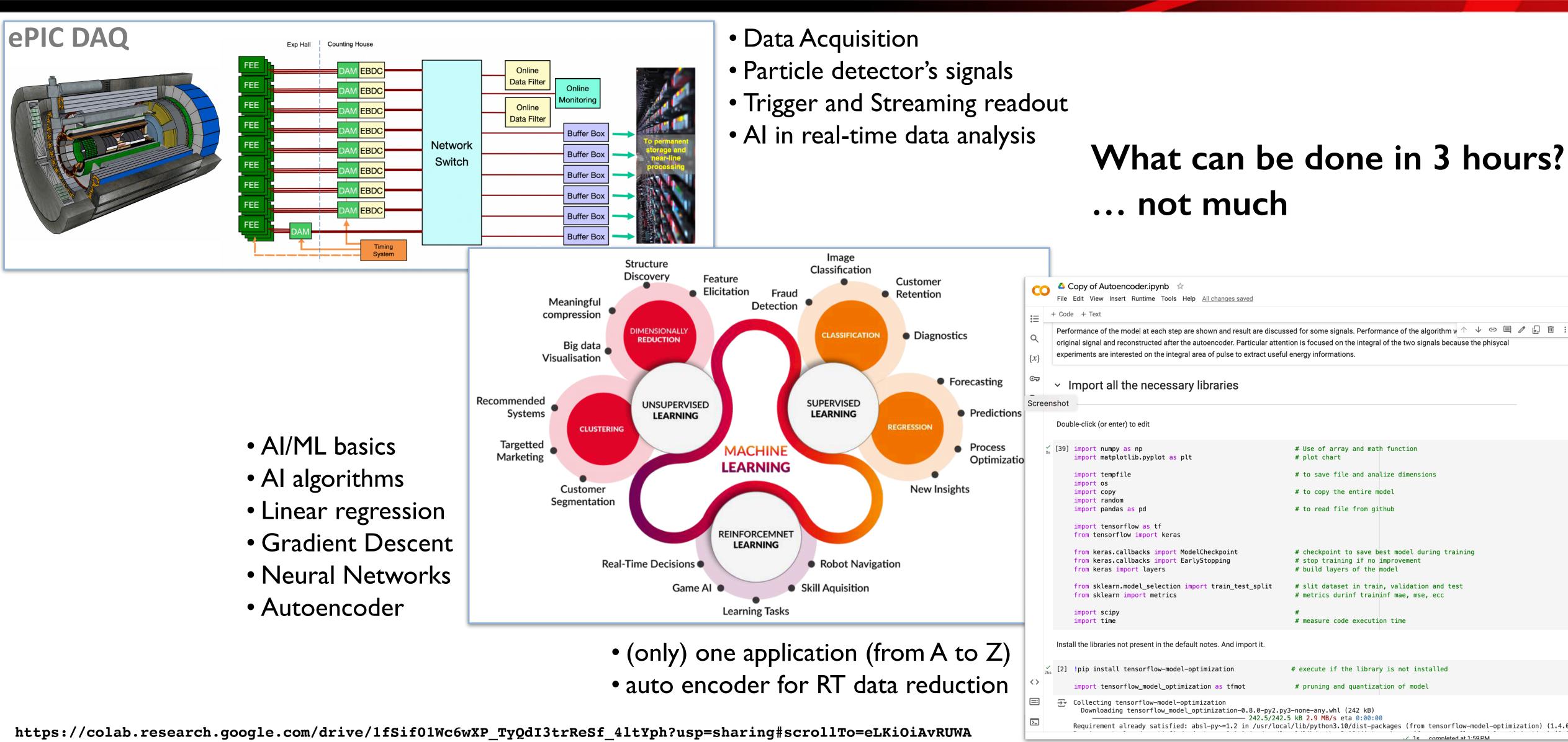








Al for Real time data analysis: CFNS lectures



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Resources

W.R. Leo

Techniques for Nuclear and **Particle Physics** Experiments

A How-to Approach

Second Revised Edition



Springer-Verlag

ePIC Software & Computing Report

The ePIC Streaming Computing Model

Marco Battaglieri¹, Wouter Deconinck², Markus Diefenthaler³, Jin Huang⁴, Sylvester Joosten⁵, Jeffery Landgraf⁴, David Lawrence³ and Torre Wenaus⁴ for the ePIC Collaboration

¹Istituto Nazionale di Fisica Nucleare - Sezione di Genova, Genova, Liguria, Italy. ²University of Manitoba, Winnipeg, Manitoba, Canada. ³Jefferson Lab, Newport News, VA, USA. ⁴Brookhaven National Laboratory, Upton, NY, USA.

⁵Argonne National Laboratory, Lemont, IL, USA.

Abstract

This document provides a current view of the ePIC Streaming Computing Model. With datataking a decade in the future, the majority of the content should be seen largely as a proposed plan. The primary drivers for the document at this time are to establish a common understanding within the ePIC Collaboration on the streaming computing model, to provide input to the October 2023 ePIC Software & Computing review, and to the December 2023 EIC Resource Review Board meeting. The material should be regarded as a snapshot of an evolving document

Credits:

- Jin Huang, Jeff Landgraf, Markus Diefenthaler for ePIC SRO
- Fabio Rossi: (INFN-GE): author of JupyterNotebook exercise
- Cristiano Fanelli (W&M): material and pictures

arXiv:1803.08823

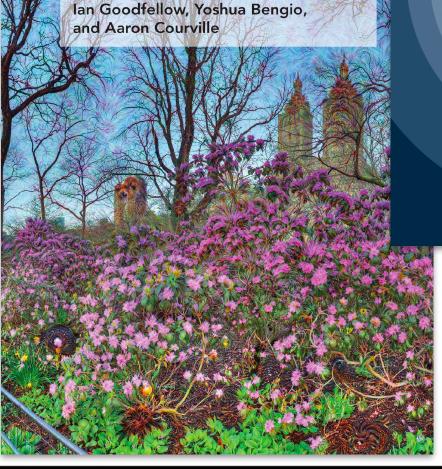
Physics > Computational Physics

[Submitted on 23 Mar 2018 (v1), last revised 27 May 2019 (this version, v3)]

A high-bias, low-variance introduction to Machine Learning for physicists

Pankaj Mehta, Marin Bukov, Ching-Hao Wang, Alexandre G.R. Day, Clint Richardson, Charles K. Fisher, David J. Schwab

Machine Learning (ML) is one of the most exciting and dynamic areas of modern research and application. The purpose of this review is to provide an introduction concepts and tools of machine learning in a manner easily understood and intuitive to physicists. The review begins by covering fundamental concepts in ML and such as the bias-variance tradeoff, overfitting, regularization, generalization, and gradient descent before moving on to more advanced topics in both supervise learning. Topics covered in the review include ensemble models, deep learning and neural networks, clustering and data visualization, energy-based models (in models and Restricted Boltzmann Machines), and variational methods. Throughout, we emphasize the many natural connections between ML and statistical physical physica of the review is the use of Python Jupyter notebooks to introduce modern ML/statistical packages to readers using physics-inspired datasets (the Ising Model an simulations of supersymmetric decays of proton-proton collisions). We conclude with an extended outlook discussing possible uses of machine learning for furt understanding of the physical world as well as open problems in ML where physicists may be able to contribute. (Notebooks are available at this https URL)



DEEP LEARNING

Machine Learning

A Probabilistic Perspective

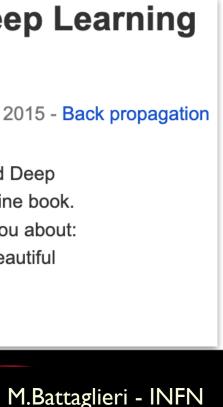
Kevin P. Murphy

Neural Networks and Deep Learning



Michael A. Nielsen Determination Press, 2015 - Back propagation (Artificial intelligence)

"Neural Networks and Deep Learning is a free online book. The book will teach you about: Neural networks, a beautiful More »



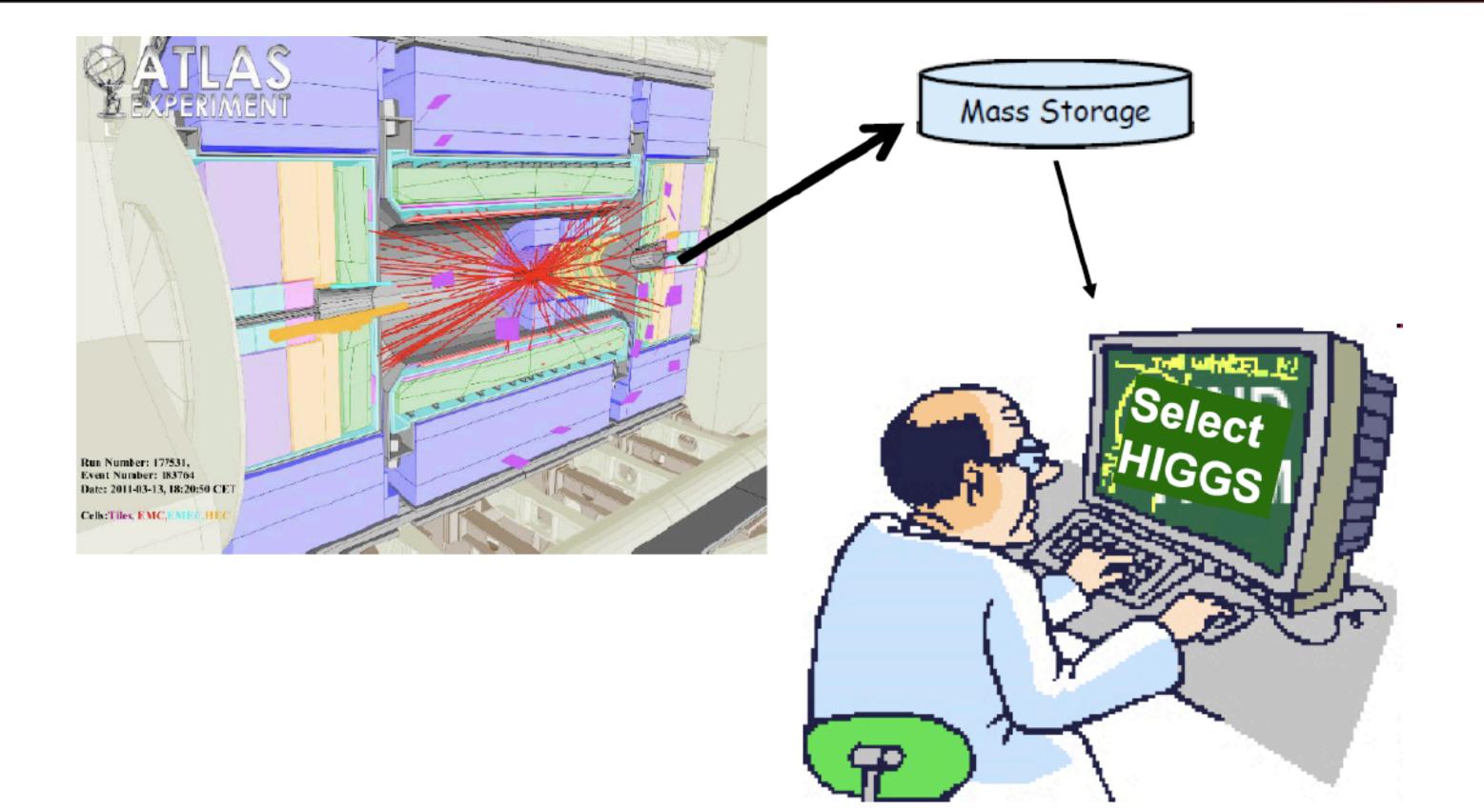
Outline

Part I HEP/NP data and DAQ

- Analog vs. digital
- Charge and time (features extraction)
- DAQ and streaming readout: triggered vs untriggered
- SRO requirements and opportunities
- An example: (future) ePIC@EIC (BNL) SRO scheme
- Al in real-time data analysis (clustering, tracking, calibration)
- Fast inference
- Data reduction



From detector's signals to physics



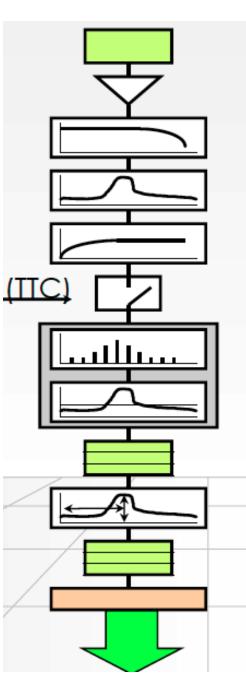
Elementary particle detector

e- Cab 12

Particle

- Position: x, y, z
- Momentum: px, py, pz
- Energy: $E^2 = (M^2 + p_x^2 + p_y^2 + p_z^2)$
- Electric charge

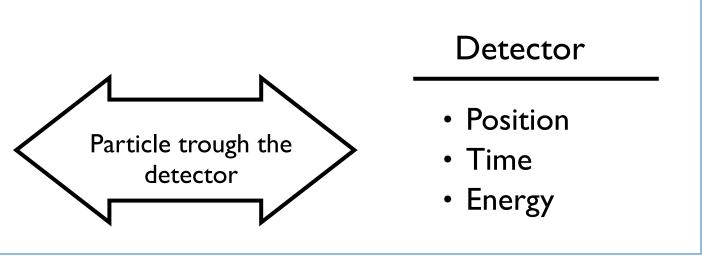




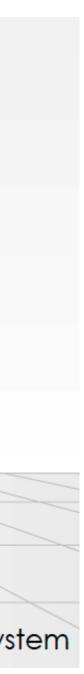
DAQ chain

Detector

Amplifier Filter Shaper Range compression Sampling Digital filter Zero suppression Buffer Feature extraction Buffer Format & Readout to Data Acquisition System

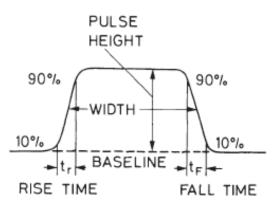


- \star Interaction TIME
- ★ Interaction POSITION
- ★ Deposited ENERGY



Signals in HEP and NP physics: analog vs. digital





"RINGING'

"TILT

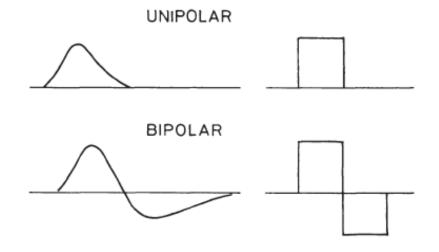
UNDERSH001

 \star The Front End Electronics (FEE) produces analog signals. The information is coded into the signal shape

- PROS
 - coded info: height, length, shape ...
- CONS
 - distortions imply a loss



"OVERSHOOT



 \star Coding the info in a conventional 'pulse' provides a simpler and effective manipulation of signals

- PROS
 - distortion is not an issue
- CONS
 - coding requires a more complex elaboration and (often) a loss of information

 \star The 'pulse' is the precursor of digital coding (Analog-to-digital)

* Many different formats of 'standard pulse' with well-defined characteristics (within a certain range)

* Each element in the data manipulation chain 'knows' about the input signal, and produces a well-defined (similar) output



* Analog signals could be fast (rise time 10ps - 1ns) requiring a fast processing electronics (bandwidth > IGHz)

 \star CRATE (with a bus) + BOARDS for systems with <10k channels (NIM and VME) standards are still in use)

 \star Dedicated ASICS for large-scale experiments

 \star Nowadays data acquisition is heading to streaming readout mode (the border between online and offline is less and less defined)

* Modern Analog-to-Digital Converters (ADCs) convert analog signals to digitals at the FE





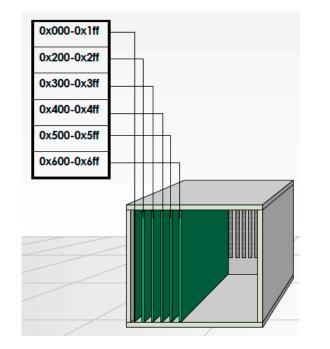
NIM

VME

| | Output must deliver | Input must accept |
|---------|------------------------|----------------------|
| Logic 1 | -14 mA to -18 mA | -12 mA to |
| Logic 0 | -1 mA to +1 mA | -4 mA to |

Current into 50Ω Neither risetime nor width is defined

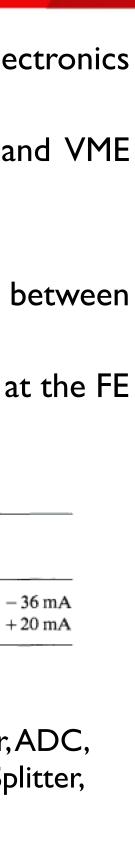
• Preamplifiers, Shaping amplifier, Pulse-stracher, Fan-in fan-out, Dealy line, Discriminator, ADC, Logic unit, Scaler Gate and Delay generator, Time-to-Amplitude converter, Attenuator, Splitter, Converter, Filter, ...



• Bus-based architecture

- •Three different devices: controller, master (write), slave (stream out)
- Addressing hardwired on each board
- Inverted logic (active-low)
- Max speed: ~200 MB/s





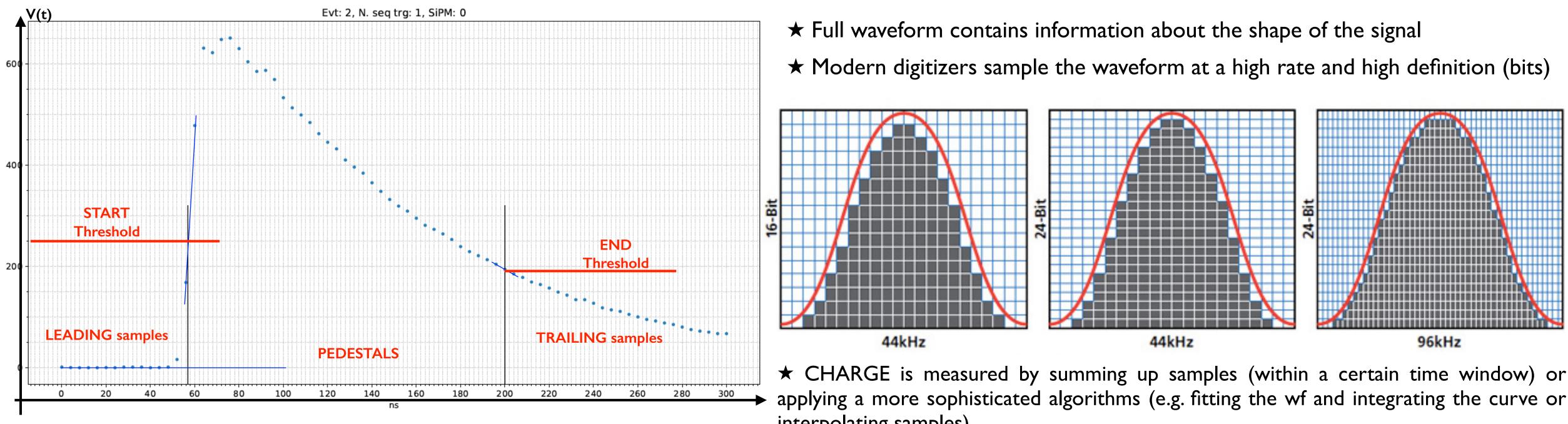


Particle detector's signals

Elementary particle detector

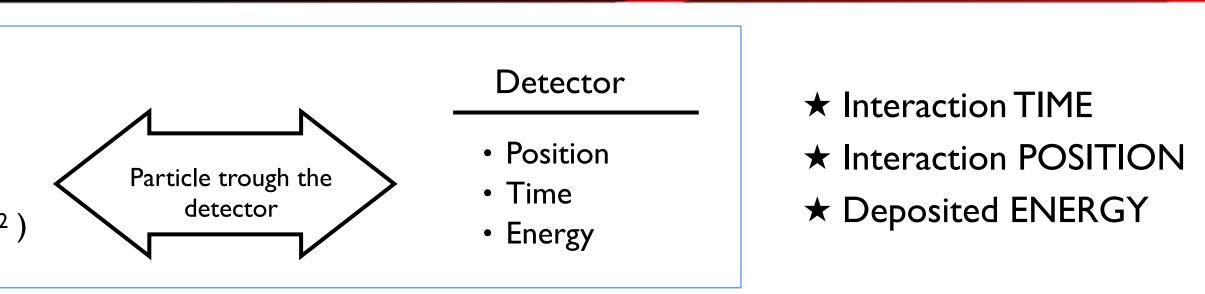
Particle

- Position: x, y, z
- Momentum: px, py, pz
- Energy: $E^2 = (M^2 + p_x^2 + p_y^2 + p_z^2)$
- Electric charge



Typical signal

e- Cab 12



interpolating samples) $Q = Sum_{[i=1,Nsample]}$ (Ampl_i x 4ns) / 50 Ohm



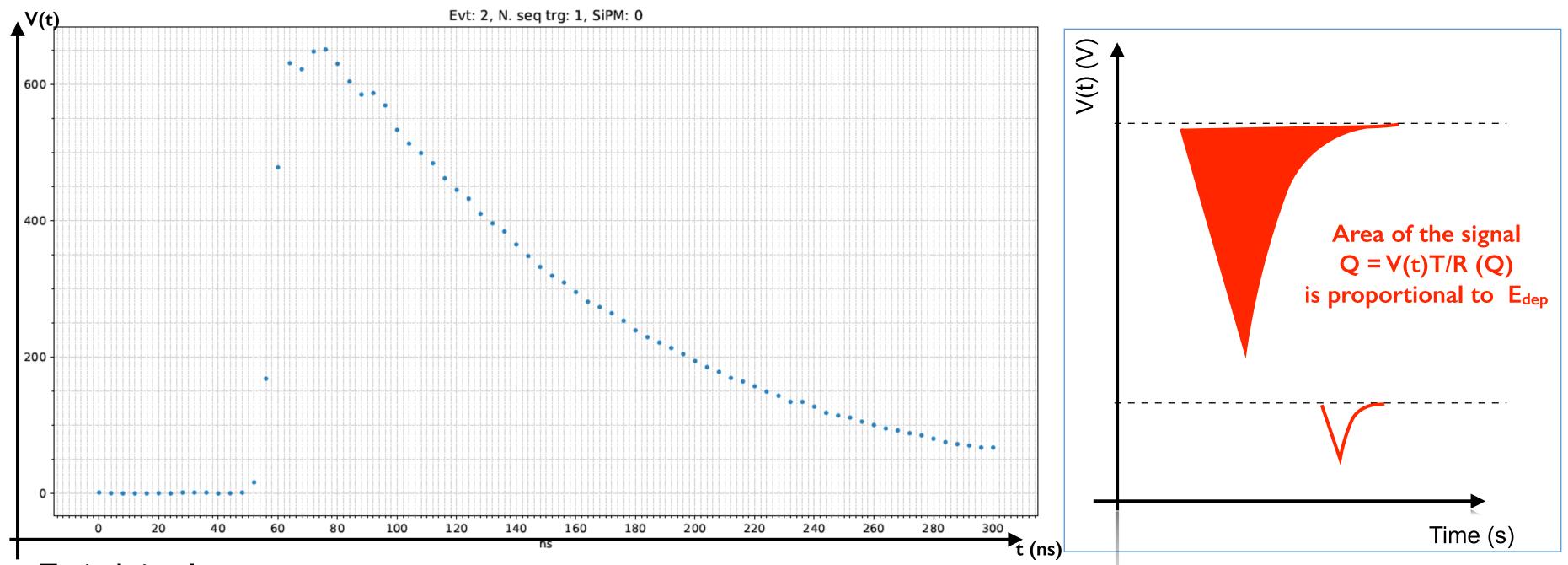
Particle detector's signals

Elementary particle detector

Particle

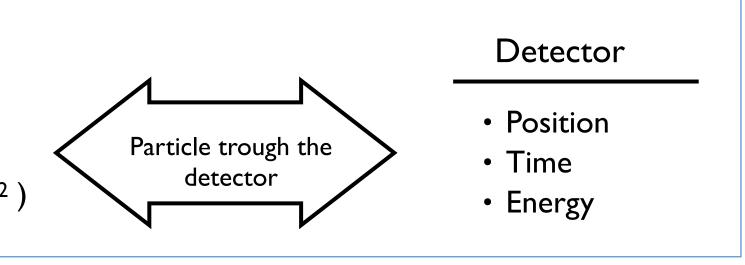
- Position: x, y, z
- Momentum: px, py, pz
- Energy: $E^2 = (M^2 + p_x^2 + p_y^2 + p_z^2)$
- Electric charge

★ Deposited ENERGY ⇔ CHARGE



Typical signal

e-Cab12



 \star Interaction TIME

- ★ Interaction POSITION
- ★ Deposited ENERGY

$E_{dep} \sim Q = \int V(t) dt / R (50\Omega)$





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Particle detector's signals

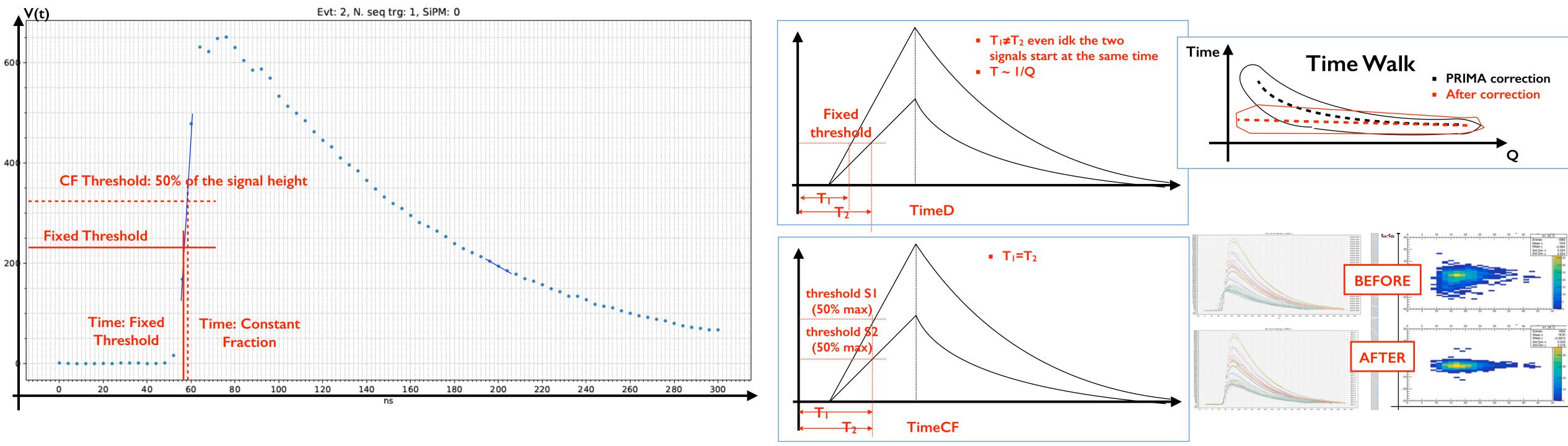
Elementary particle detector

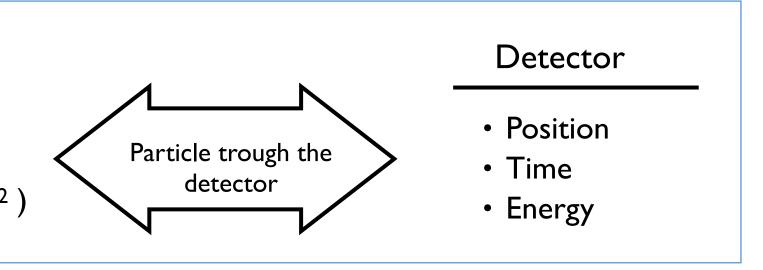
Particle

- Position: x, y, z
- Momentum: px, py, pz
- Energy: $E^2 = (M^2 + p_x^2 + p_y^2 + p_z^2)$
- Electric charge

★ Interaction TIME ⇔ Threshold

e-@Lab12





 \star Interaction TIME

- ★ Interaction POSITION
- ★ Deposited ENERGY





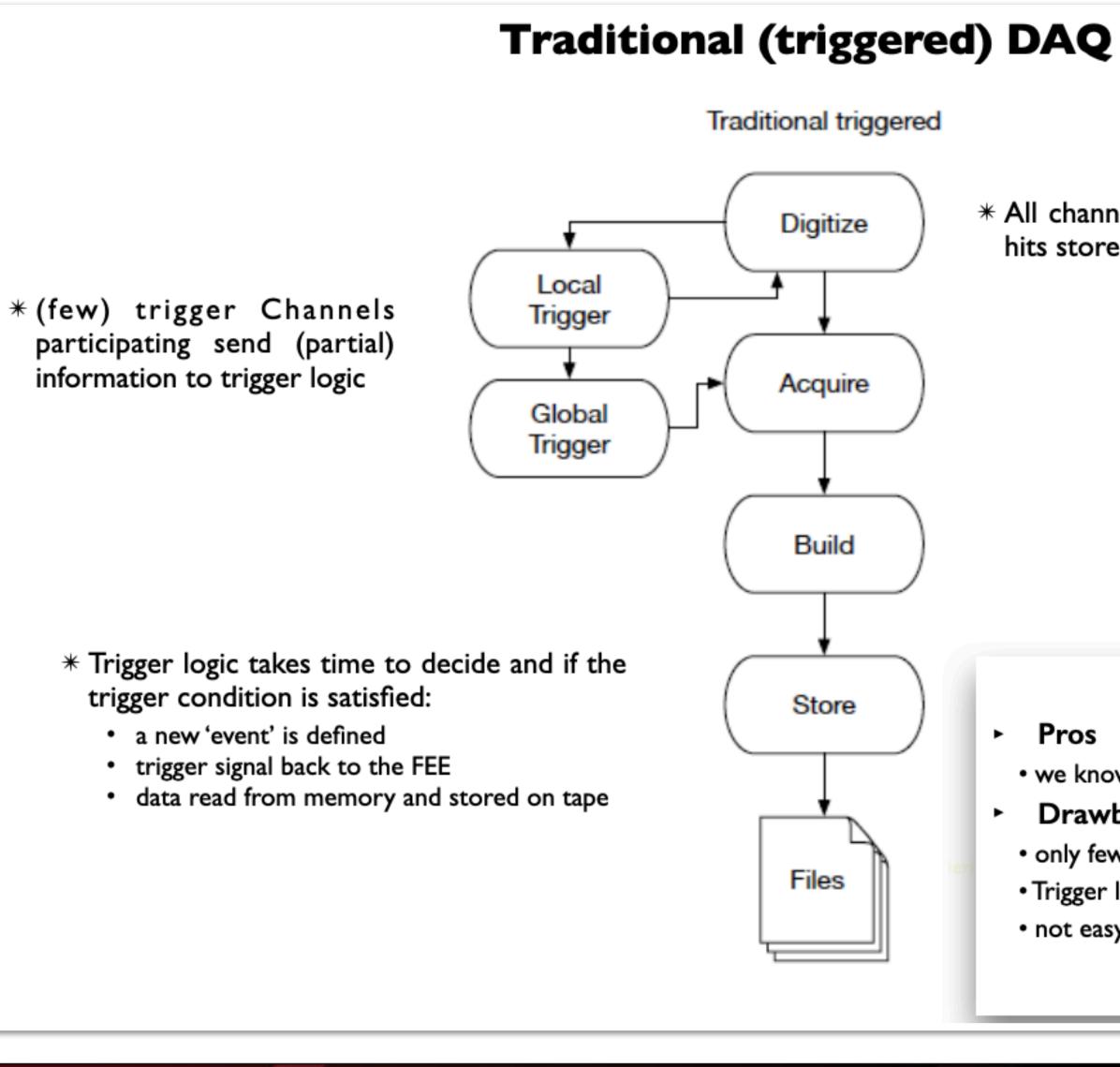








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* All channels continuously measured, hits stored in short term memory

Traditional triggered DAQ

we know it works reliably!

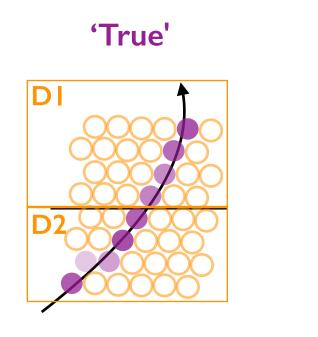
Drawbacks:

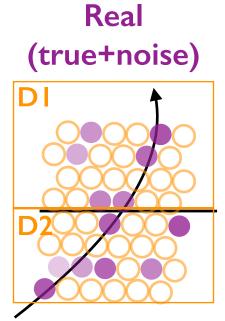
• only few information forms the trigger

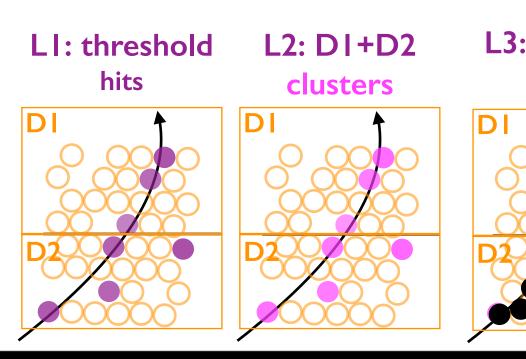
• Trigger logic (FPGA) difficult to implement and debug not easy to change and adapt to different conditions

Trigger logic

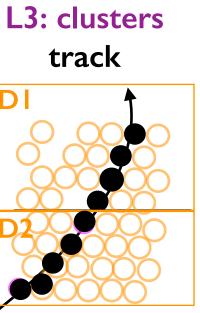
- \star decides if/when to collect detector information
- ★ Select 'events' over 'background'
- \star Save data on disk for further processing
- \star Different levels
 - LI: threshold on FEE
 - L2: combine information from different sub-detector components
 - L3: requires info processing





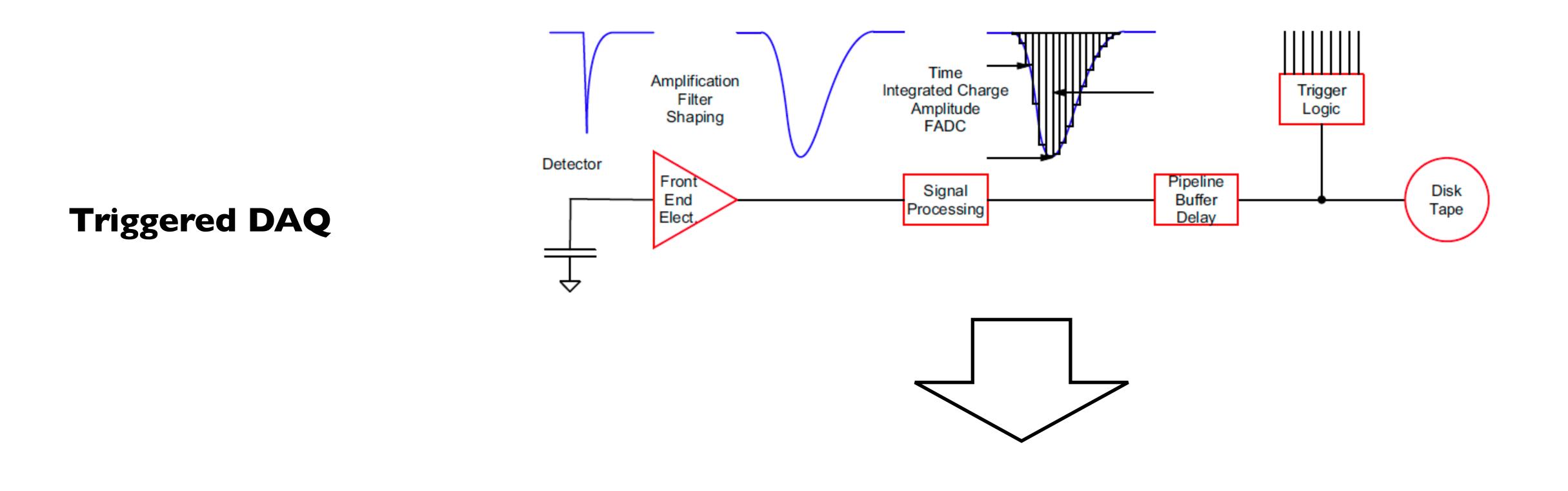








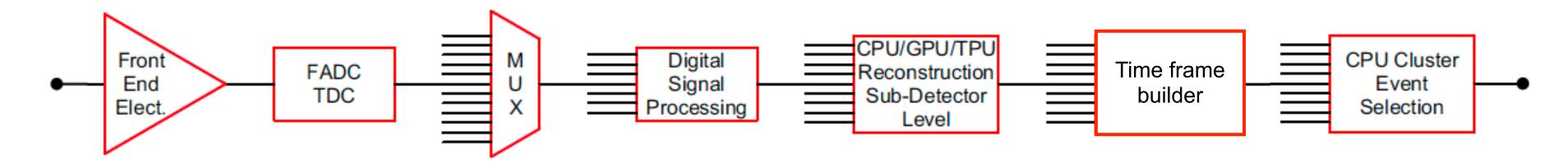
Streaming readout

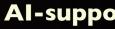


Streaming readout DAQ

e Cab12

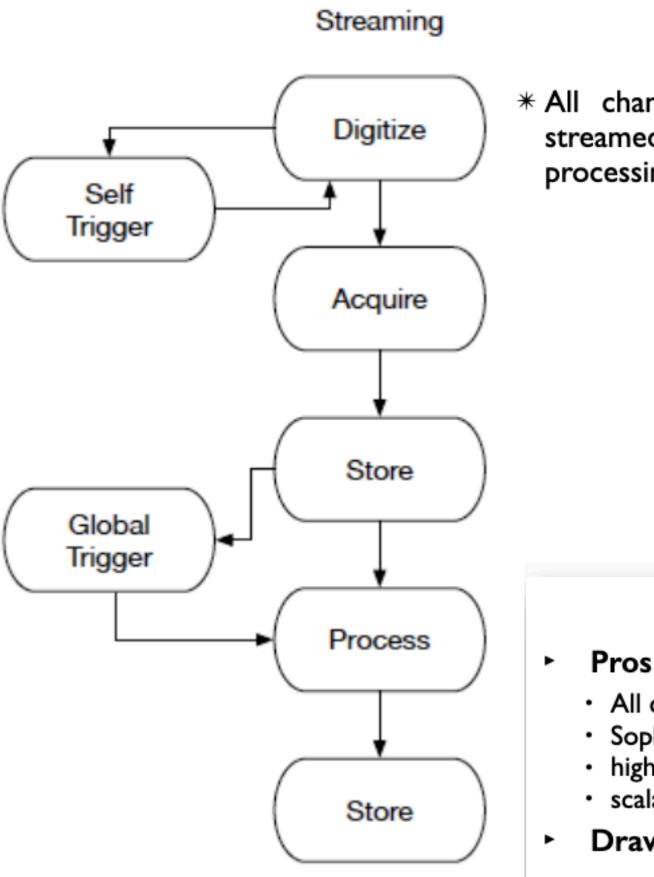
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Streaming RO





* A HIT MANAGER receives hits from FEE, order them and ship to the software defined trigger

- * Software defined trigger re-aligns in time the whole detector hits applying a selection algorithm to the time-slice
 - the concept of 'event' is lost

e- Cab 12

 time-stamp is provided by a synchronous common clock distributed to each FEE

* All channels continuously measured and hits streamed to a HIT manager (minimal local processing) with a time-stamp

SRO DAQ

- All channels can be part of the trigger
- Sophisticated tagging/filtering algorithms
- high-level programming languages
- scalability

Drawbacks:

 we do not have the same experience as for
CERN: LHCb, ALICE, AMBER TRIGGERED DAQ

Why SRO is so important?

***** High luminosity experiments

- Write out the full DAQ bandwidth
- Reduce stored data size in a smart way (reducing time for off-line processing)

* Shifting data tagging/filtering from the front-end (hw) to the back-end (sw)

- Optimize real-time rare/exclusive channel selection
- Use of high-level programming languages
- Use of existing/ad-hoc CPU/GPU farms
- Use of available AI/ML tools
- (future) use of quantum-computing

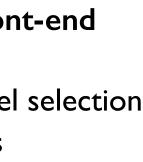
*Scaling

- Easier to add new detectors in the DAQ pipeline
- Easier to scale
- Easier to upgrade

Many NP and HEP experiments adopt a SRO DAQ

- FAIR: CBM
- DESY: TPEX
- FRIBS: GRETA
- BNL: sPHENIX.ePIC
- JLAB: SOLID, BDX, CLAS12, ...







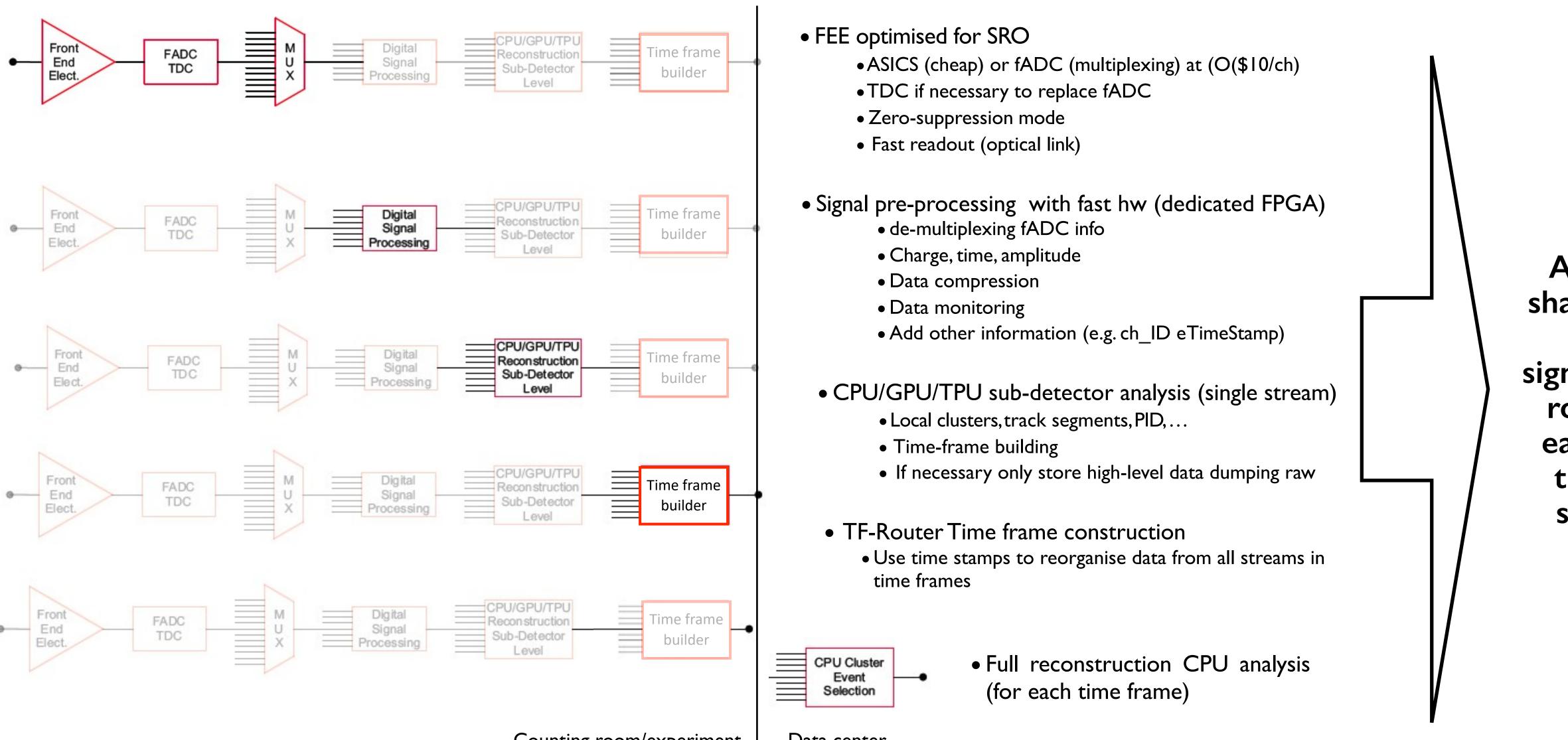




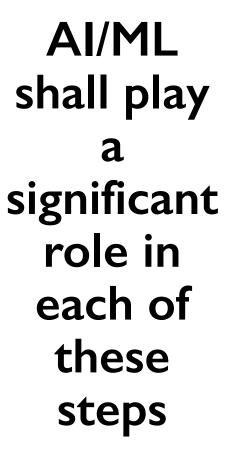


Streaming RO

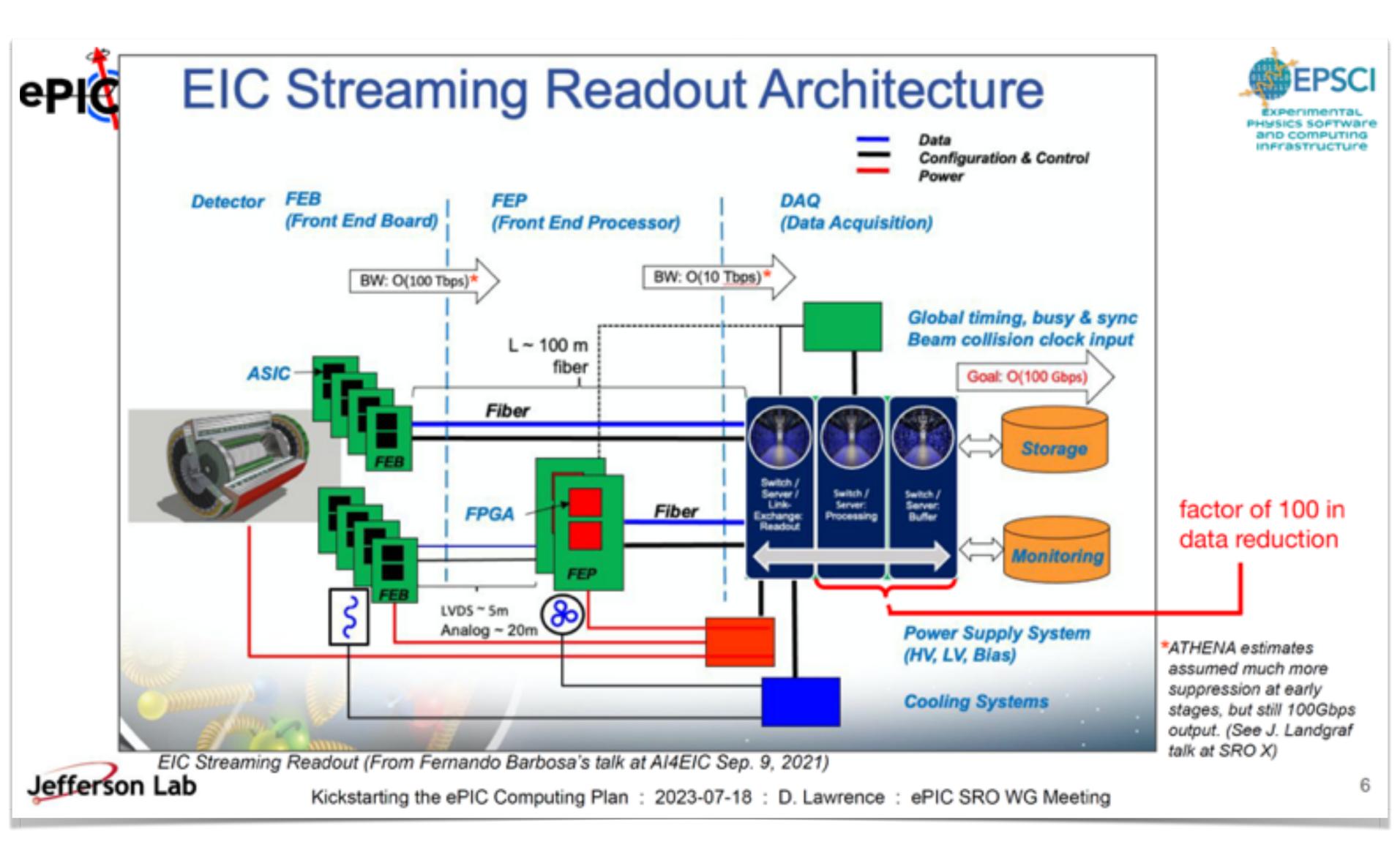
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Data center



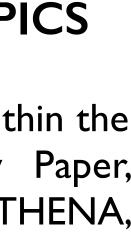
ePIC Streaming Computing

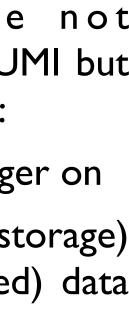




Streaming RO for ePICS

- Full consensus for SRO within the EIC community (Yellow Paper, DAQ models in ECCE, ATHENA, ...)
- Rates at ePICS are not comparable to LHC HI-LUMI but advantages of SRO remain:
 - multiple channels to trigger on
 - Holy Grail: to manage (storage) an unbiased (un-triggered) data set for further analysis
 - on/off-line event selection with full detector information

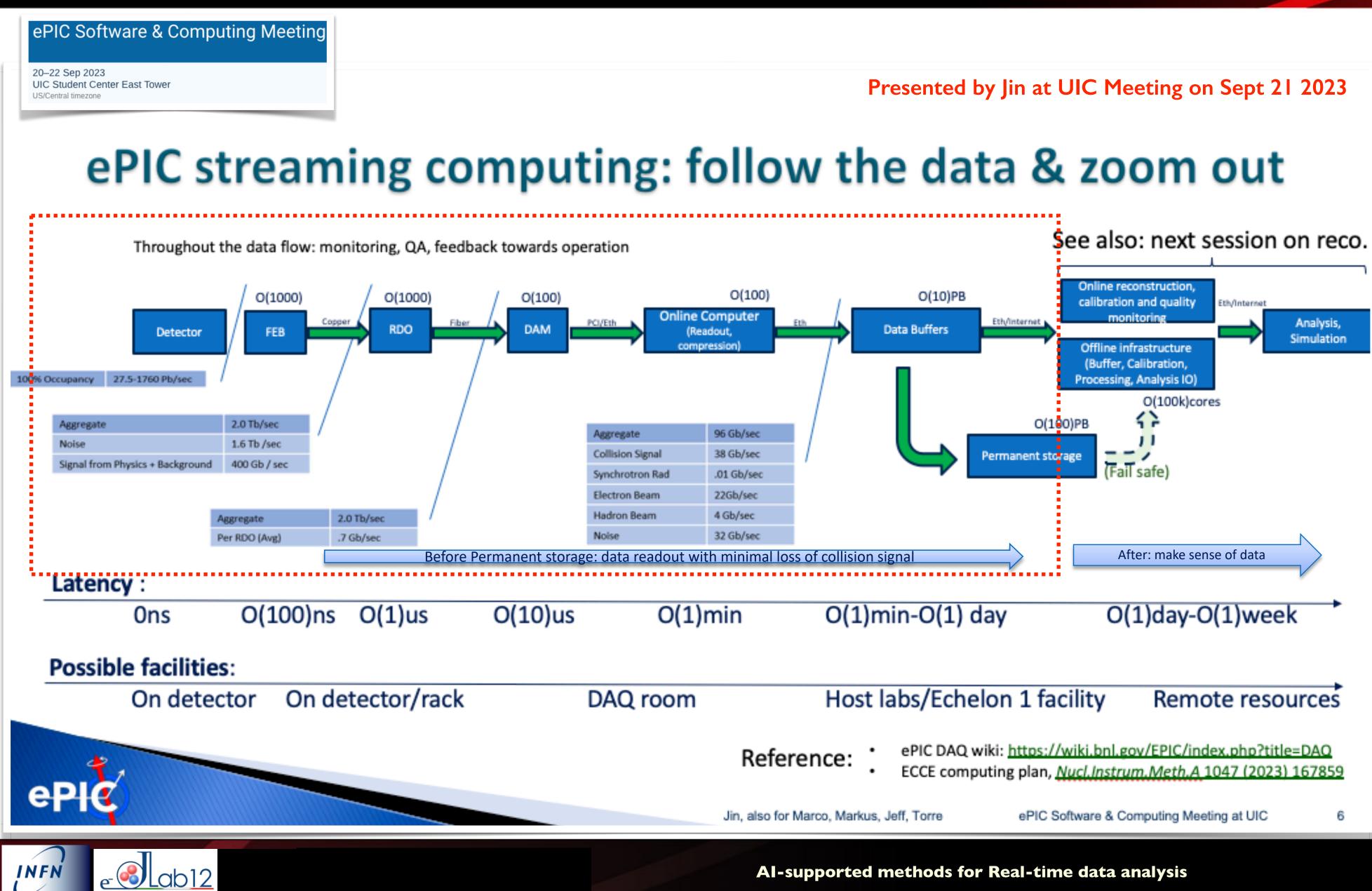








ePIC Streaming Computing



Interfaces

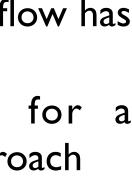
- Each step in the workflow has a different latency
- Identify interfaces for a 'service-oriented' approach

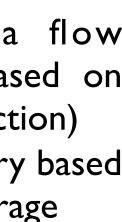
Within the 'control room'

- Each stage in data flow requires IO specs (based on CPU, GPU, FPGA reduction)
- 'control room' boundary based on permanent data storage

Outside the control room

- Networking
- CPU/GPU farm
- Local/remote resources
- on/off-line analysis







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Al-supported algorithms for SRO

Real Time data analysis

- A św trigger is released based on real-time data analysis
- SRO and real-time data processing shall use AI:
 - to adapt data analysis to the changed conditions of the run (e.g. thresholds)
 - to identify data features in real-time (e.g.clusters)
 - to extract calibration constants from a data sub-set
 - to define algorithms to run (fast!) in real time on heterogeneous systems (e.g. CPU+GPU+FPGA)

Partial Real-Time data reconstruction: clustering

- Look at all detector information (hit: x, y, t, E) to learn correlations: clusters of objects share common features
- Define a metric in a space and identify cluster features
- Tests on minimum bias trigger data before real-time
- Hyperparameters optimization based on data

Data reduction

e-Cab12

• reduce data volume to a manageable level with minimum bias

• In the SRO scheme, data analysis is performed online [this does not prevent to save unbiased frames for further analysis!]

Fast inference

- Fast algorithms to extract data features to be used in data selections (and reduction)
- Mimicking a smart 'trigger'
- provide partial reconstructed quantity quickly

Calibration

- Use smart algorithms to extract data features and correct detector parameters varying over time
- toward a self-calibrating detector





Al-supported algorithms for SRO

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AI/ML Autoencoder



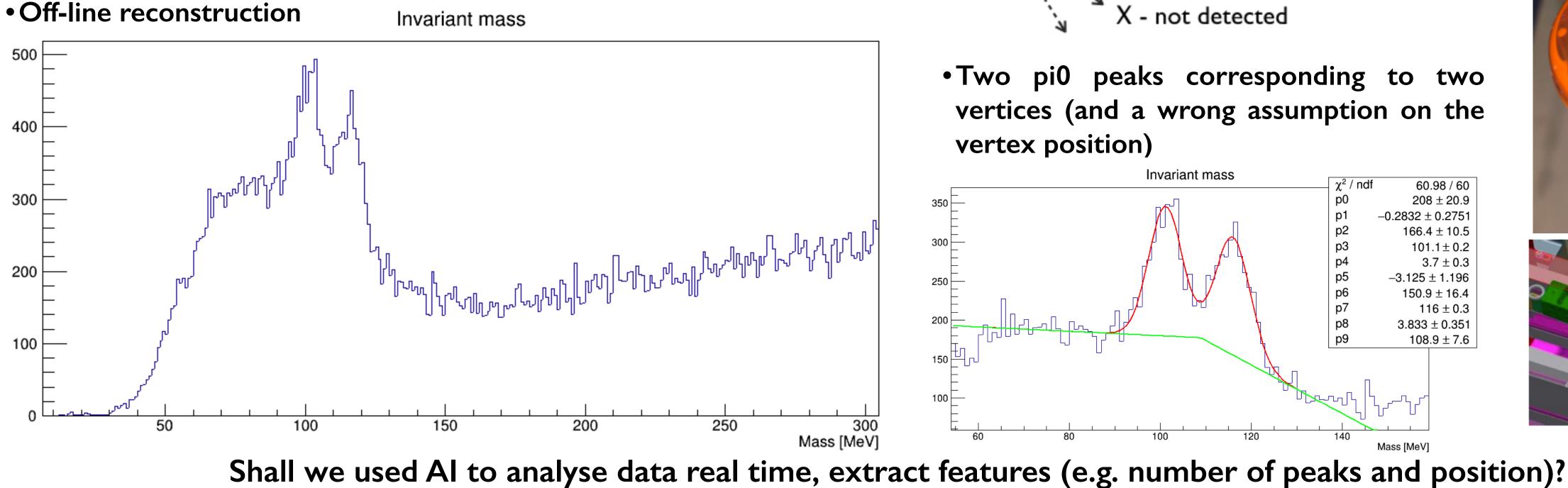


Clustering

Goal: real-time π^0 identification

$$m_{\pi^0}^2 = 2E_1 E_2 (1 - \cos \eta)$$

- On-beam tests:
 - 10.4 GeV e- beam on thin Pb/Al target
 - Inclusive pi0 production
 - e + Pb/Al -> Xeπ⁰ -> (X)eγγ
 - Two gammas detected in FT-CAL

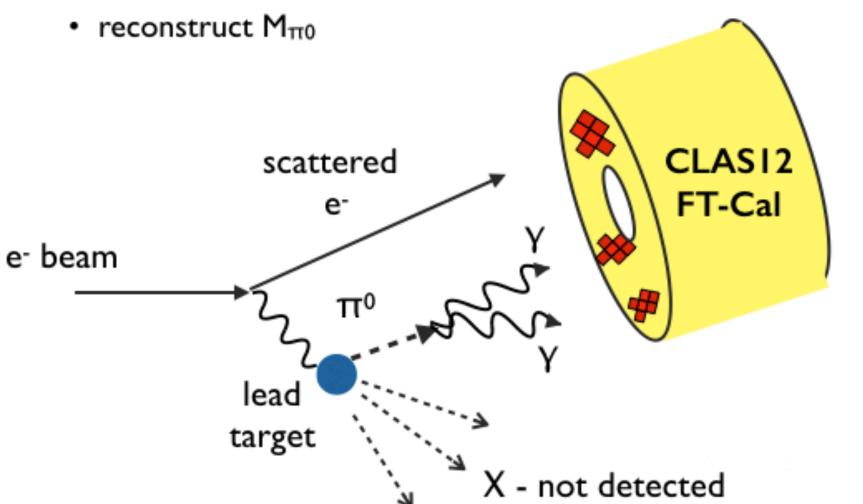




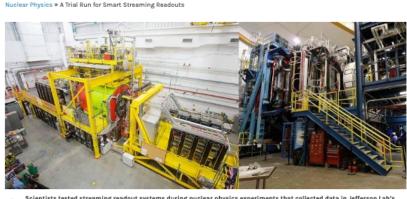
• $\pi^0 \rightarrow \gamma_1 + \gamma_2$

• EI and E2 = γ 's energies

• η = opening angle



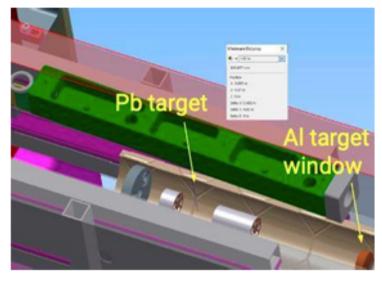
•Two pi0 peaks corresponding to two vertices (and a wrong assumption on the



The Science

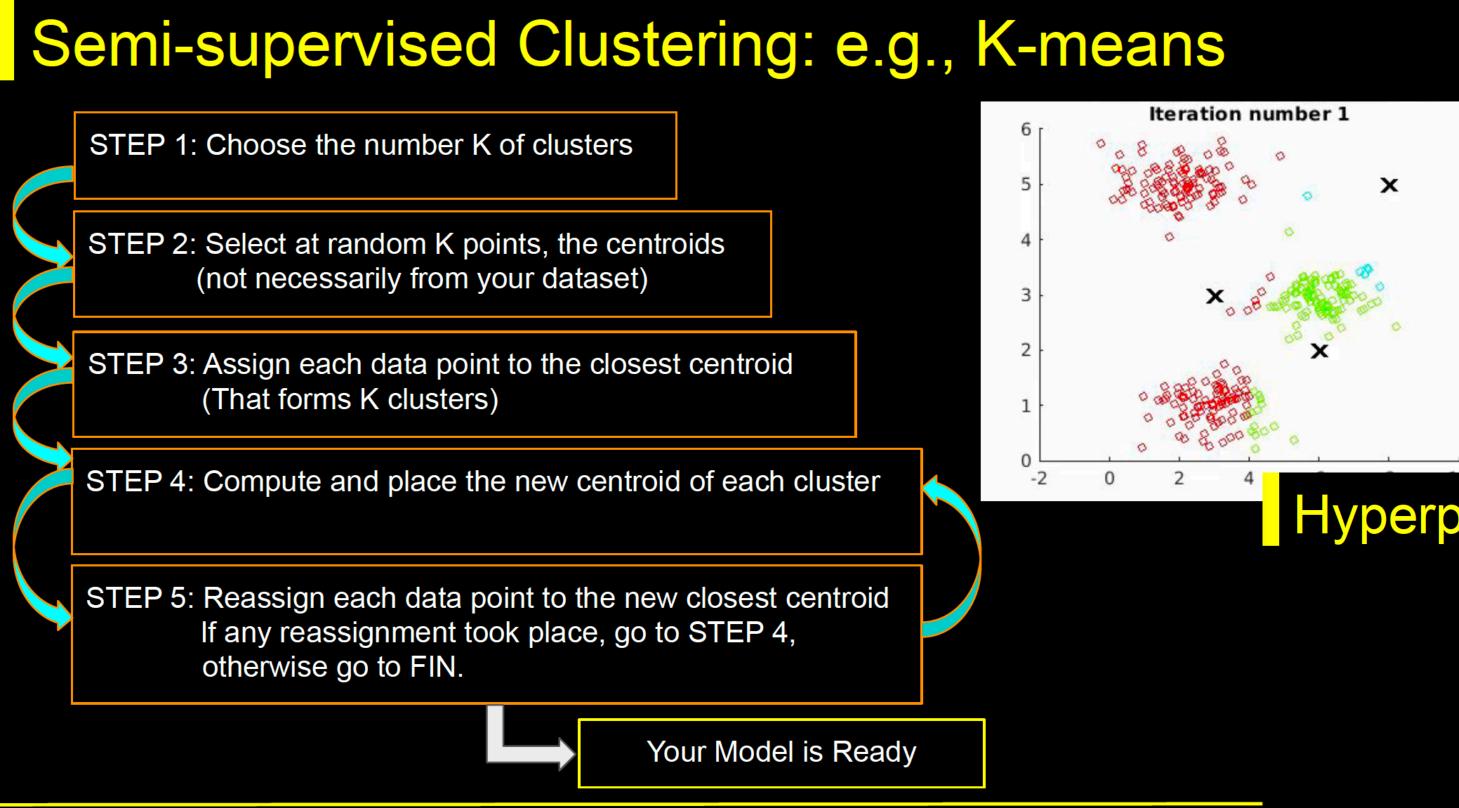
Nuclear physics experiments are data intensive. Particle accelerators probe collisi make up matter. Instruments that measure the particles in these exp raw data. To get a better handle on the data, nuclear physicists are turning to artificial intelligenc and machine learning methods. Recent tests of two streaming readout systems that use such methods found that the systems were able to perform real-time processing of raw expe data. The tests also demonstrated that each system performed well in comparison with tradition





A Trial Run for Smart Streaming Readouts

Semi-unsupervised: K-means





Yes, we can: semi unsupervised clustering using K-means

Hyperparameters and metrics

| Table 2. The different metrics used for k-means. | | | | |
|---|---------------------------------------|--|--|--|
| metric | description | | | |
| $(X_{hit} - X_{mean})^2 + (Y_{hit} - Y_{mean})^2$ | squared 2D space distance | | | |
| $\frac{(X_{hit} - X_{mean})^2}{L_{cell}^2} + \frac{(Y_{hit} - Y_{mean})^2}{L_{cell}^2} + \frac{(t_{hit} - t_{mean})^2}{L_{cell}^2}$ | squared 3D space-time distance | | | |
| $\frac{(X_{hit} - X_{mean})^2}{L_{cell}^2} + \frac{(Y_{hit} - Y_{mean})^2}{L_{cell}^2} + \frac{(t_{hit} - t_{mean})^2}{(50 ns)^2} + (E_{hit} - E_{mean})^2$ | squared 4D space-time-energy distance | | | |

 Table 3. The main parameters of the k-means algorithm are described and their values reported. For each
 parameter, the last column shows when it intervenes, either if in the pre-processing or in the clustering phase.

| parameter | description | value [units] | phase | |
|--------------|---|----------------------------------|---------------|--|
| t threshold | minimum time of hits | 0. ns | preprocessing | |
| E threshold | minimum energy of hits | 0. GeV | preprocessing | |
| time_window | time difference between hits | 50 ns | preprocessing | |
| count_cells | active neighbor cells for each hit | ≥ 1 | preprocessing | |
| iterations | k-means updates | 10 (30) | clustering | |
| bad_distance | max distance hit-cluster | not used | clustering | |
| bad_time | max time difference hit-cluster | not used | clustering | |
| norm_space | normalization space distance hit-cluster | L_cell (cell length, see Tab. 2) | clustering | |
| norm_time | normalization time difference hit-cluster | 50 ns (see Tab. 2) | clustering | |
| norm_ene | normalization energy difference hit-cluster | not used | clustering | |

 $bool = \Delta t < 50 \text{ ns } \&\& \Delta X \le 1 \&\& \Delta Y \le 1 \&\& (\Delta X + \Delta Y) > 0$

(3.1)

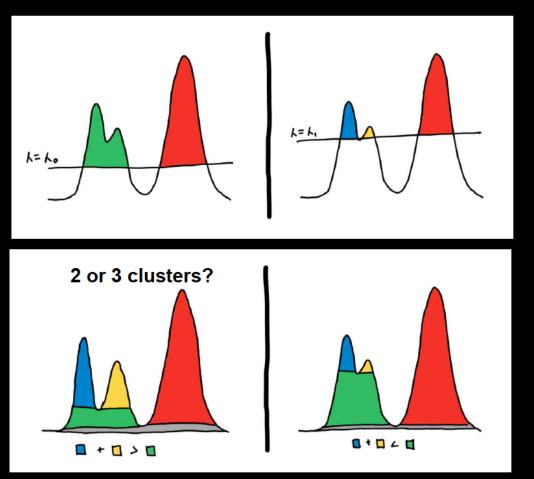
For K-means we need to make some assumptions, in particular we need to provide the seeds.



Unsupervised: hdbscan

Unsupervised: e.g., Hierarchical Clustering

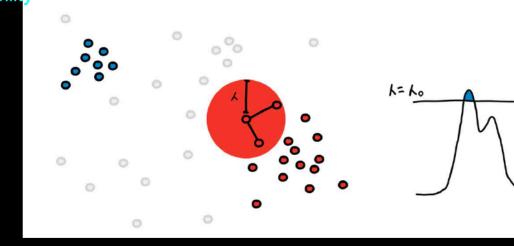
Two different clusterings based on two different level-sets

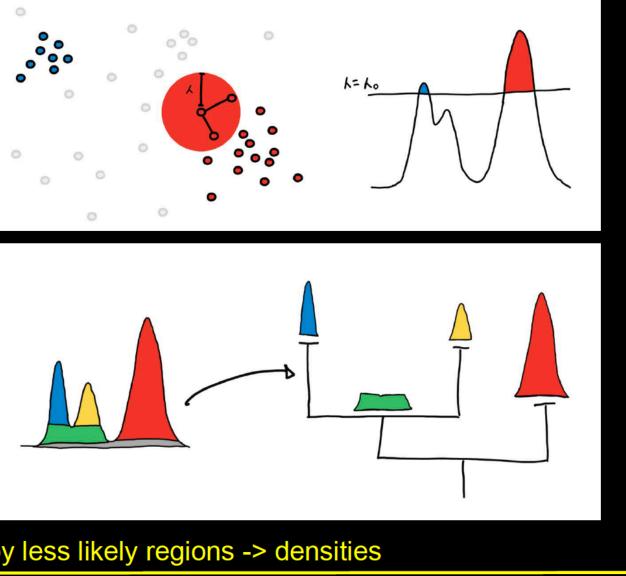


The area of the regions is the measure of "persistence"

Maximize the persistence of the clusters under the constraint that they do not overlap.

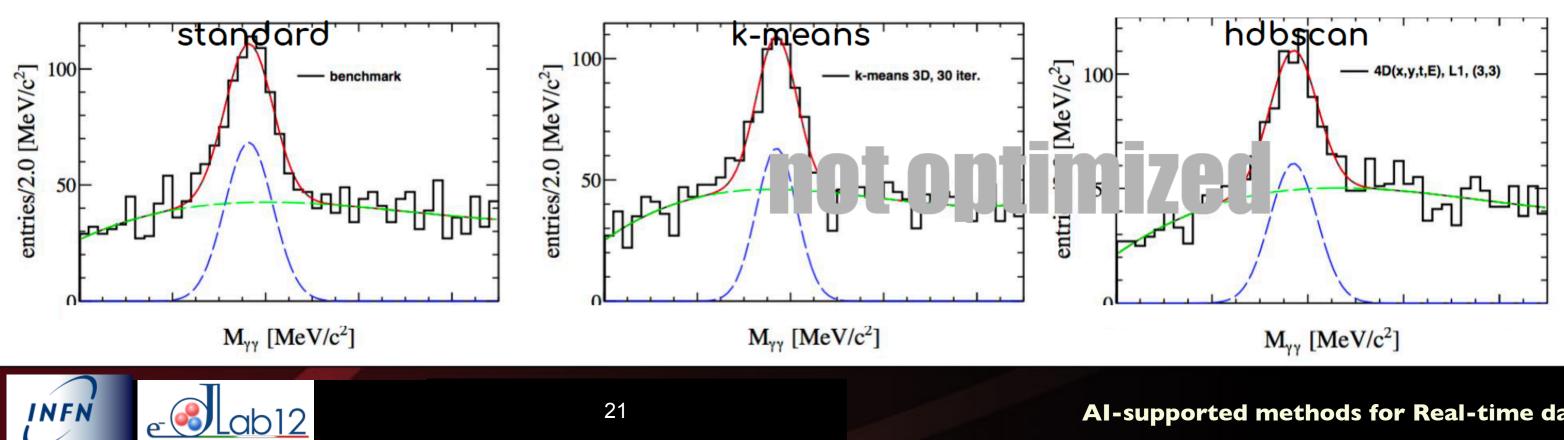
Core distance (defined by a required # of neighbors) as estimate of density Points have to be in a high density region and close to each other ("mutual reachab





clusters are more likely regions separated by less likely regions -> densities

• Off-line analysis to tune hyperparameters



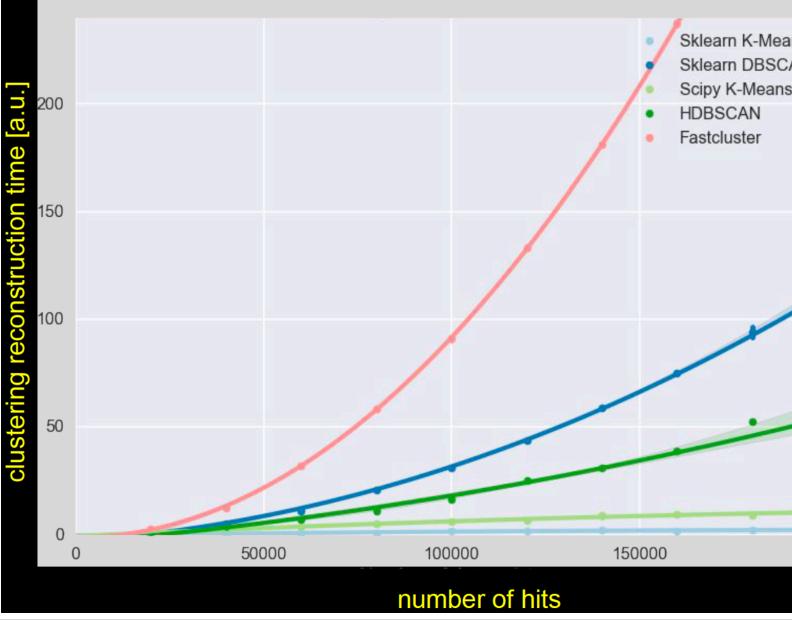
hdbscan vs. K-means

K-means: semi-supervised parametric (K cluster seeds) Requirements on clusters:

- "round" or "spherical"
- equally sized, dense
- typically most dense in the center
- not contaminated by noise and outliers

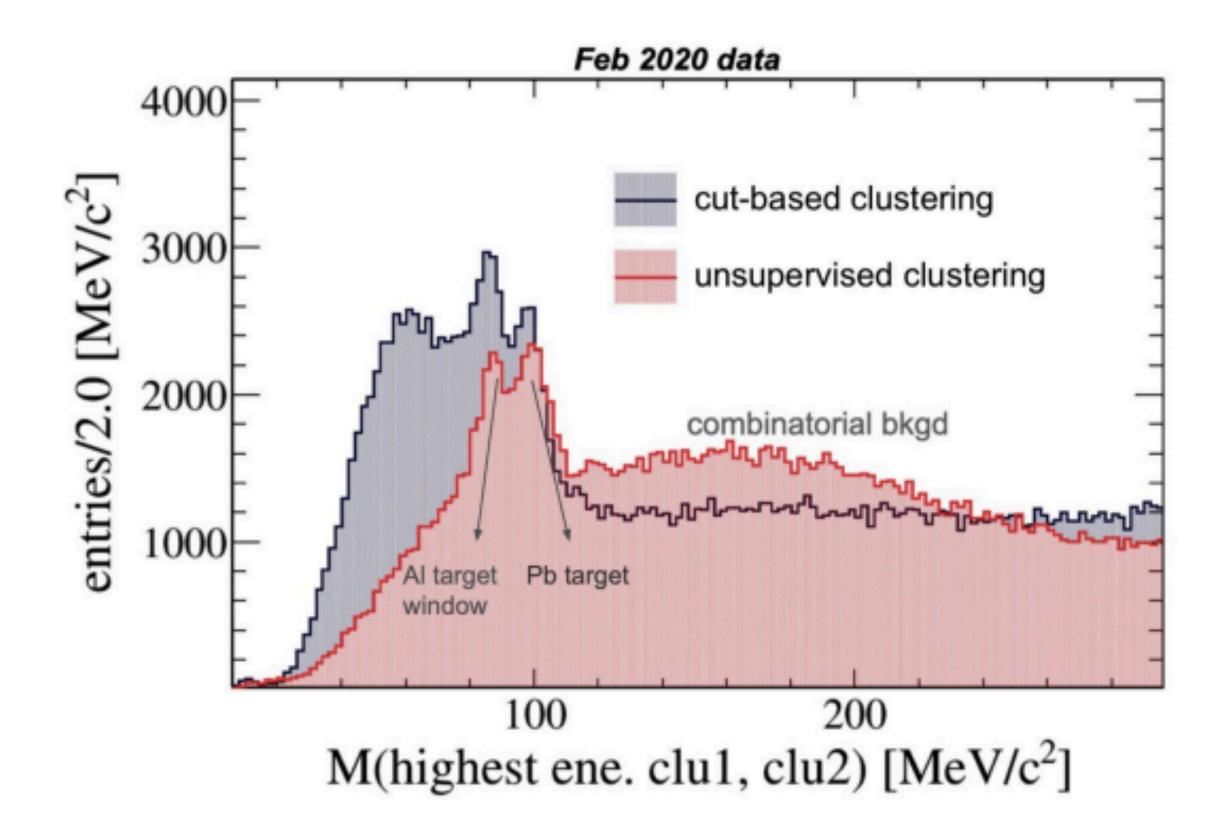
hdbscan: unsupervised hierarchical clustering Best performance when data are/have:

- arbitrarily shaped clusters
- clusters with different sizes and densities
- noise





SRO test @ JLAB results: AI vs standard clustering



F. Ameli et al., Eur. Phys. J. Plus (2022) 137: 958 https://doi.org/10.1140/epjp/s13360-022-03146-z

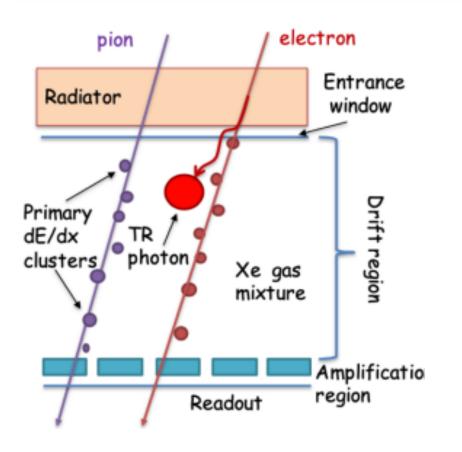


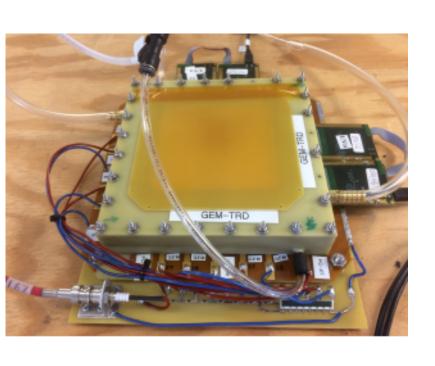
C. Fanelli

- AI clustering inspired by *Hierarchical Density-Based* ۲ Spatial Clustering of Applications with Noise (HDBSCAN)
 - It is not cut-based 0
 - it is able to cope with a large number of hits 0
- Compared yy-invariant mass spectrum obtained utilizing ۲ both the standard and the HDBSCAN clustering algorithm
 - Al significantly improves signal-to-background ratio in the π0 region
 - A longer runtime of ~30% relative to the standard 0 clustering algorithm
- Al clustering approach promising alternative to • traditional cut-based approaches



Fast AI applications: GEM-TRD





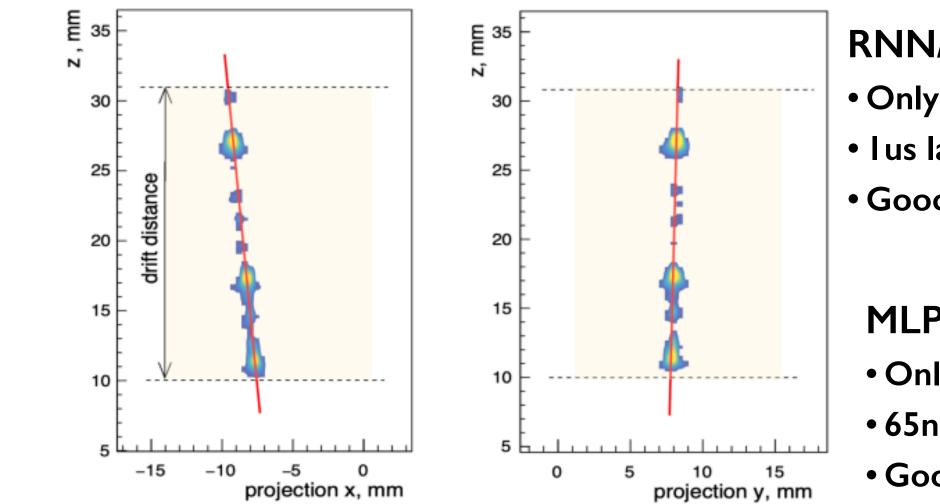
- e/pion separation based on ionization counting along track
- Electrons higher ionization (absorption of TR photons)
- I. detect hits

3. ionisation

2. hits in tracks

measurement

GEM-TRD can work as micro TPC, providing 3D track segments



180

160

140

120

100

80

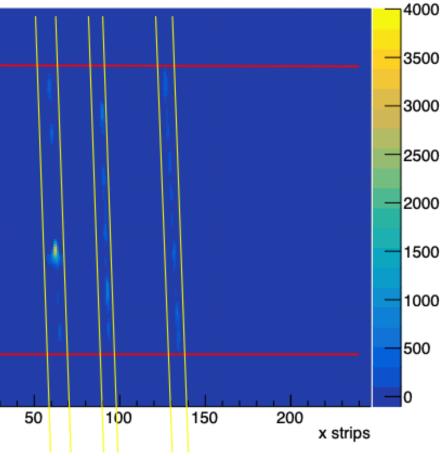
60

-50

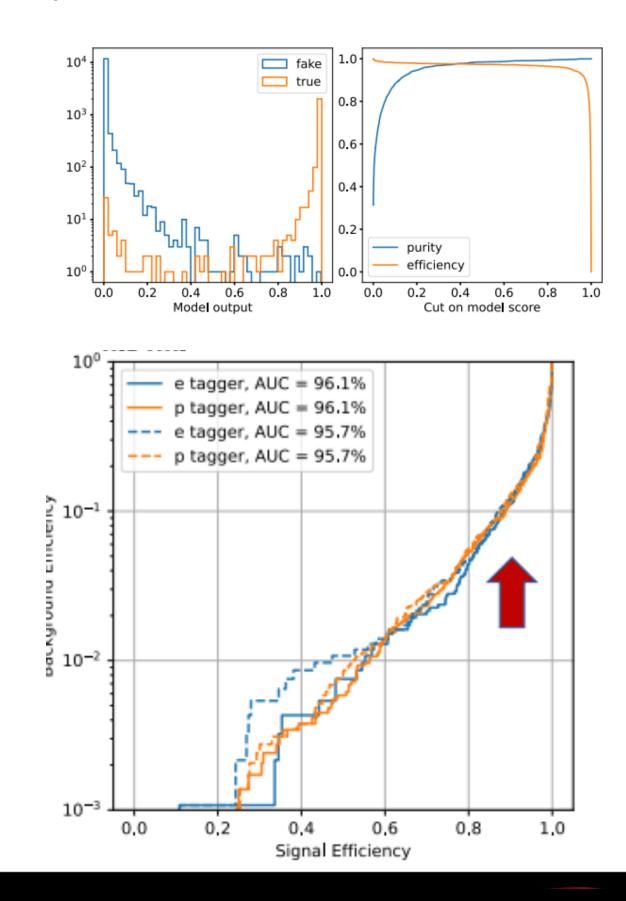


Lab12

GEM TRD tracks



- GEM-TRD copes with multiple tracks
- Fast pattern recognition algorithm: Graph Neural Network (GNN)
- Track fitting: recurrent neural network LSTM
- Implemented on FPGA using High Level Synthesis (hls4ml)



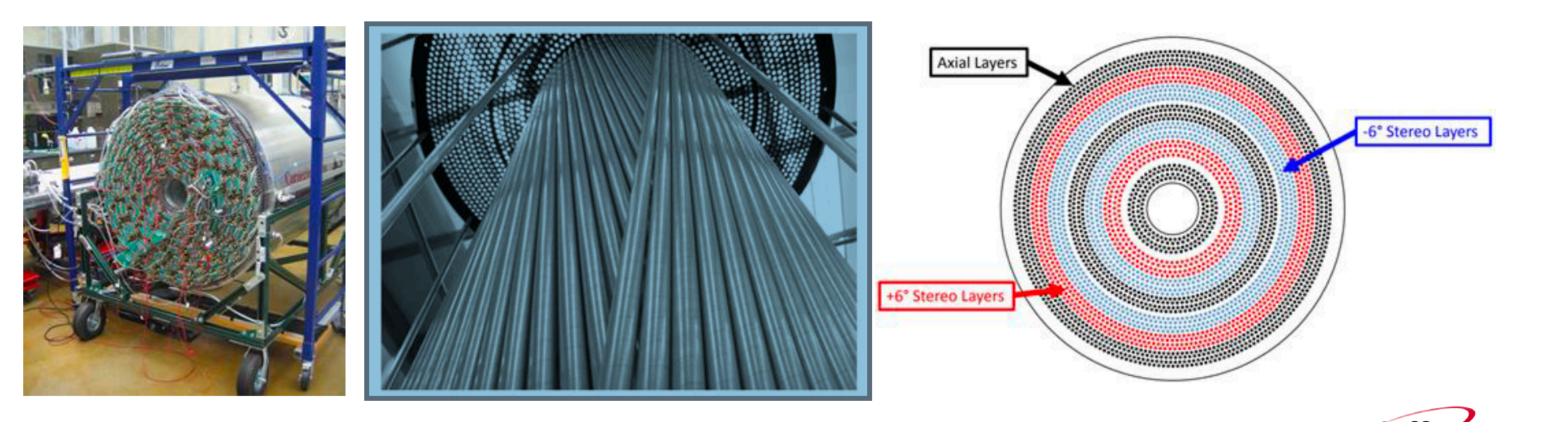
GNN on **FPGAs** • imported by hands • 1.4us inference time • Good (preliminary) results

RNN/LSTM on **FPGAs** • Only 19% of FPGA resources • I us latency time • Good (preliminary) performance

MLP on FPGAs • Only 3% of FPGA resources • 65ns latency time • Good (preliminary) results

M.Battaglieri - INFN

Al for a self-calibrating detector: GlueX Central Drift Chambers



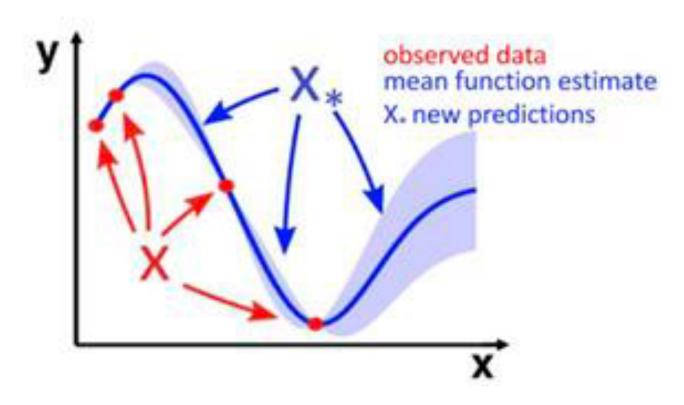
ML Technique: Gaussian Process (GP)

Target: Provide traditional Gain Correction Factor (GCF)

- atmospheric pressure within the hall
- temperature within CDC

e-Slab12

• CDC high voltage board current



- GP calculates PDF over admissible functions that fit the data
- GP provides the standard deviationwe can exploit for uncertainty quantification(UQ)

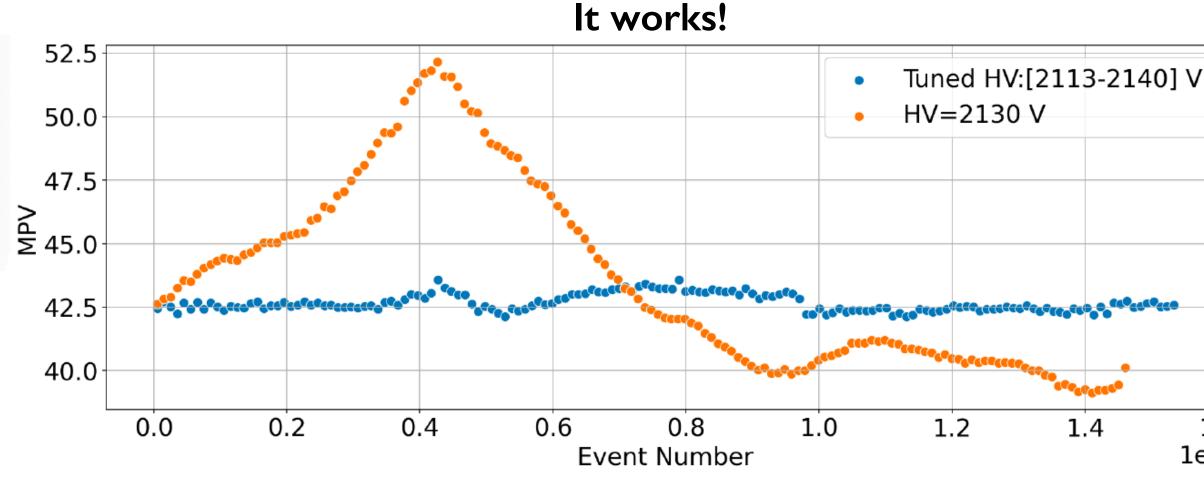
•We used a basic GP kernel: Radial Basis Function + White

Used to detect and track charged particles with momenta p > 0.25 GeV/c

- 1.5 m long x 1.2 m diameter cylinder
- 3522 anode wires at 2125 V inside 1.6 cm diameter straws
- 50:50 Ar/CO2gas mix

Requires two calibrations: chamber gain and drift time-todistance

- Gain Correction Factor (GCF): have most variation +/-15%
- Has one control: operating voltage



• Half the CDC (orange) at fixed HV, t he other half (blue) had its high voltages adjusted every 5 minutes

Al-supported methods for Real-time data analysis



M.Battaglieri - INFN

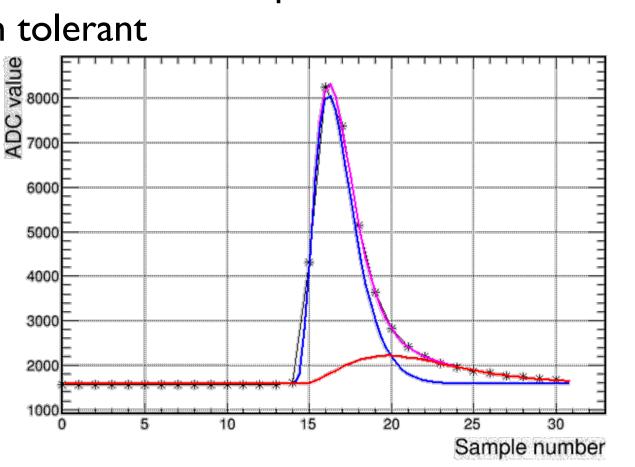
Data reduction represents a main challenge in SRO

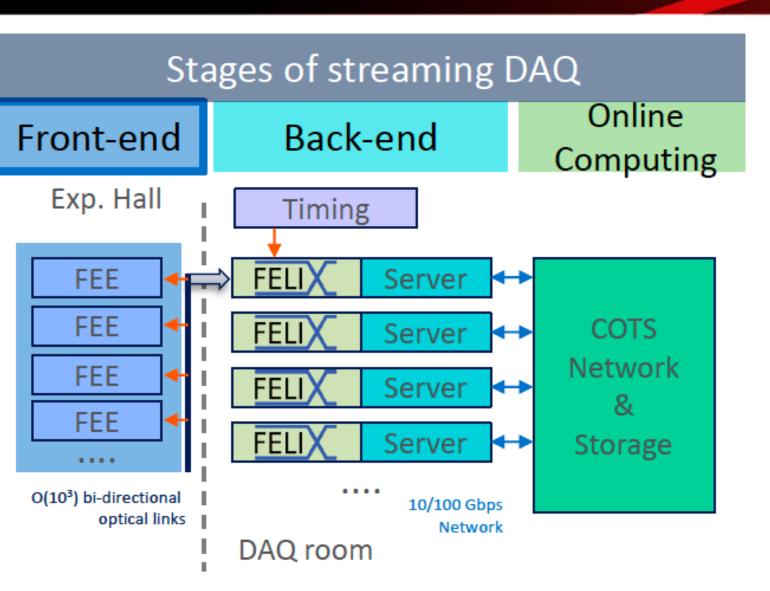
- Traditional DAQ: triggering (+ high level triggering/ reconstruction and compression) reduces data volume
- Streaming DAQ needs to reduce data real-time: zerosuppression, feature building, lossy compression

Front end electronics

- Digitization (ADC, TDC, pixel readout)
- Data reduction strategy to immediately apply zero-suppression
- Real-time AI data reductions:
- Improved zero-suppression (e.g.small signal recovery)
- Feature building
- Compression
- Target hardware: ASIC, (smaller) FPGAs Common requirement of low-power consumption, radiation tolerant
- Waveform digitizer: output data in ADC time series
- NN can be used in the FE to extract features (e.g. amplitude and time)
- Fit limited resources in FEE FPGA or ASIC
- quantized-aware training and pruning

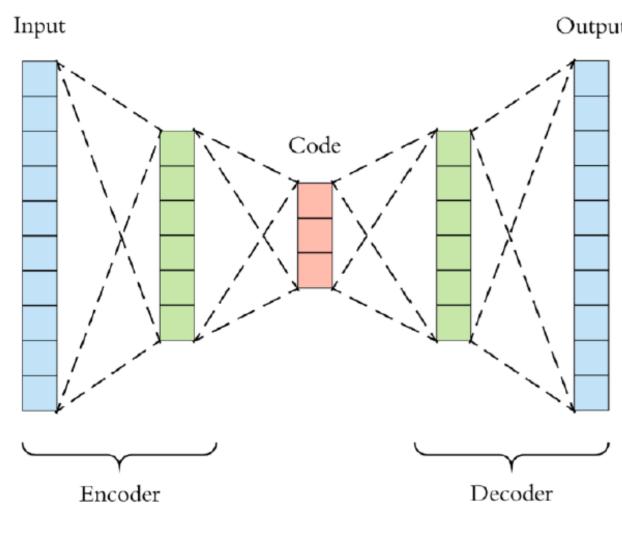
Lab12





Opportunities for real-time Al but also a challenge:

- reliable data reduction
- Applicable at each stages of streaming DAQ (front-end electronics, readout back-end, online computing)
- Data quality monitoring, fast calibration/reconstruction



Output

Autoencoder

- Charge (Energy) and time are compact to stream but partial
- fast and efficient way to preserve the full (anagogic) wave-form information
- Reduce the traffic on the first stages of the SRO DAQ pipeline



