

Matthew D. Sievert



CFNS Summer School 2024

6/13/2024





Previously...



$$i\mathcal{M} \approx 2ig^2 \left(\frac{s}{t}\right) \ (t^a)_{i'i} (t^a)_{j'j} \ \delta_{s_1 s'_1} \ \delta_{s_2 s'_2} \qquad \qquad \frac{d\sigma}{d^2 p'_{1\perp}} = 4\alpha_s^2 \ \frac{C_F}{2N_c} \ \frac{1}{p'_{1\perp}^4}$$





Previously...



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Intro to Small x, Part 2

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Previously...



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Deep Inelastic Scattering:

Accessing Proton Structure





A Tale of Two Systems

The Proton



The Atom



Bound together by the **strong nuclear force**

Bound together by the **electromagnetic force**

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Baby Pictures: 100 Years Ago



Rutherford Gold Foil Experiment

Bohr Model of the Atom





The Atom: All Grown Up

The atom is used as the definition of the second

Combined 0.52×10^{-15} **Fractional Uncertainty:**

Mar. 2016, NIST-F1 BIPM Report



Calculations to **extreme precision**

I have evaluated up to 1100 digits of precision the contribution of the 891 4-loop Feynman diagrams contributing to the electron g-2 in QED. The total mass-independent 4-loop contribution is

 $a_e = -1.912245764926445574152647167439830054060873390658725345\dots \left(\frac{\alpha}{\pi}\right)^4.$



S. Laporta, Phys. Lett. **B772** (2017) 232



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The Proton: "You Don't Understand Me!"

 Even fundamental questions about the structure of the proton remain unanswered









Emo Kylo Ren @KyloR3n · Dec 22 mom please don't even pretend you know what I'm going through right now also we are out of conditioner



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Electro-Dynamics: Charges + Fields



Atom: Electrodynamics

electrons

- Charges (electrons) radiate fields (photons)
- Electric charge is a scalar (+/-)



Linear: Superposition Principle





Chromo-Dynamics: One Crucial Difference



- Charges (quarks) radiate fields (gluons)
- Color charge is a **vector**





Non-Linear: Self-interactions of fields

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Dissecting the Proton is Hard...

- You **can't** just **"ionize" a quark** out of the proton
 - If you hit it hard enough to knock out a quark...
 - > You create a **shower of new particles** instead!



No Isolated Quarks



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No Magnetic Monopoles



...and Messy!







QCD: TONS of radiation





How Do We Measure Proton Structure?

An optical microscope is limited by the wavelength of visible light:

 $E \sim 2 eV$ $\Delta x \ge 100 nm$

• A scanning electron microscope uses a thermally produced beam of electrons:

 $E \sim 10 \ keV$ $\Delta x \ge 1 \ nm$

• An **electron-proton collider** can use the same principles at **top collider energy**.

$$e + p \rightarrow e' + X$$

 $E \gg 1 \, GeV \qquad \Delta x \ll 1 \, fm$

D eep I nelastic S cattering





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Evolution of the DIS Femtoscope







•	1968:	

- 1992
 2007:
- 2030+

SLAC-MIT Experiment

HERA

Electron-Ion Collider Fixed target $E_{beam} \sim 20 \ GeV$

 $E_{CM} = 318 \; GeV$

 $E_{CM} = 140 \ GeV$ + polarization + ions



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Theory of DIS

(What does "x" mean, anyway?)





Using QED to Measure QCD



- Inclusive electron/proton scattering: $e + p \rightarrow e' + X$
 - > Electron acts as a **source of virtual photons** via well-controlled **QED vertex**
 - > Couples to **electromagnetic currents** ~ $A_{\mu} \langle X | j^{\mu} | p \rangle$ **inside the proton**



Field-Theoretic Description of DIS



$$E'_{\ell} \frac{d\sigma}{d^3 \ell'} = \frac{\alpha_{EM}^2}{E_{\ell} Q^4} L_{\mu\nu} W^{\mu\nu}$$

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- Trivial **QED part** can be calculated and **removed**: $L_{\mu\nu} = \frac{1}{2} \sum_{ss'} [\bar{u}_{p's'} \gamma_{\mu} u_{ps}] [\bar{u}_{ps} \gamma_{\nu} u_{p's'}]$
- Nontrival QCD info from $\gamma^* p \to X$ subprocess : $W^{\mu\nu} = \frac{1}{4\pi m} \int d^4x \, e^{iq \cdot x} \langle p | j^{\mu}(x) j^{\nu}(0) | p \rangle$

> Tensor-valued function of **two momenta**: p^{μ} , q^{μ}

> **Decompose** $W^{\mu\nu}$ into invariant **structure functions** depending on **invariants**

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DIS: A Relativistic Femtoscope in Two Scales



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Feynman x Versus Bjorken x



$$d\sigma \sim \int \frac{d^3k}{(2\pi)^3 2E_{q+k}} \langle |M(k)|^2 \rangle$$
$$\sim \int \frac{d^4k}{(2\pi)^4} \langle |M(k)|^2 \rangle \delta\left((q+k)^2\right) \theta(q^0+k^0)$$
$$\delta\left((q+k)^2\right) \approx \delta\left(2(x_F-x_B)p^+q^-\right)$$
$$= \frac{1}{s} \delta(x_F-x_B)$$

$$x_B \equiv \frac{Q^2}{2p \cdot q} \qquad \qquad x_F \equiv \frac{k^+}{p^+}$$

• Breit frame:

$$p^{\mu} = [p^{+}, 0^{-}, \vec{0}_{\perp}]^{\mu}$$

$$q^{\mu} = [-x_{B}p^{+}, q^{-}, \vec{0}_{\perp}]^{\mu}$$

$$k^{\mu} = [x_{F}p^{+}, 0^{-}, \vec{k}_{\perp}]^{\mu}$$

$$(q+k)^{\mu} = [(x_{F}-x_{B})p^{+}, q^{-}, -\vec{k}_{\perp}]^{\mu}$$

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DIS is a "snapshot" of the proton wave function (squared)

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Proton described by two structure functions:

$$\frac{d\sigma}{d\Omega \, dE'} = \sigma_{point} \left[\frac{2mx}{Q^2} F_2(x, Q^2) + \frac{1}{m} F_1(x, Q^2) \tan^2 \frac{\theta}{2} \right]$$

- Proportional to scattering on point-like particles
- \succ F₁ and F₂ are not independent:
- \succ F₁ and F₂ are independent of Q²:

Spin ½ fermions (Quarks!!) Point-like at any resolution (???)

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The Missing Link: Asymptotic Freedom



- Bjorken scaling: No change in proton structure with increased resolution
- Uncertainty principle: the largest quantum fluctuations happen over short times and distances...
 - > ... Unless the **interactions** of the nuclear force **go to zero** at short distances!
 - Bjorken scaling demands asymptotic freedom and QCD

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The 1D Picture of the Proton







Two Very Different Regimes of Proton Structure





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Something Changes at Small x



• The **dense proton** at **small x** reflects an **explosion of soft gluon** bremsstrahlung:

Systematic tension in the HERA fits at **small x...**

...reflect the **onset of new degrees of freedom**





DIS at Small x

The Intersection of Structure and Hadronic Scattering





Onset of Small-x Degrees of Freedom in DIS

"Knockout" DIS at Large x:







• At **small x**, DIS resembles a **hadronic scattering** process

("baby" pp collision) $\succ \gamma^*$ fluctuates into a $q\overline{q}$ dipole with variable size

$$V_{x_{\perp}} = \mathcal{P} \exp\left[ig \int_{-\infty}^{\infty} dz^{-} A^{+a}(0^{+}, z^{-}, \vec{x}_{\perp})t^{a}\right]$$

- New degrees of freedom: Wilson lines
 - Boosted, coherent scattering in a background field

 $\left\langle \mathrm{tr}\left[V_{x_{\perp}} \, V_{y_{\perp}}^{\dagger} \, | \, \right\rangle
ight.$

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Look Familiar?





✤ Elastic q/q scattering (LO)
➢ Unsuppressed

➤ Wilson line!

$$V_{x_{\perp}} = \mathcal{P} \exp\left[ig \int_{-\infty}^{\infty} dz^{-} A^{+a}(0^{+}, z^{-}, \vec{x}_{\perp})t^{a}\right]$$

- Naturally suited to (light-front) "time"-ordered perturbation theory
- Wave functions × operators (Wilson lines)



- Plus soft gluon radiation (NLO)
 - ≻ Large logs...
 - Resummation...?

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As Goes the Cross Section, So Go the PDFs

 \sim^*

_

 Factorization provides a one-to-one correspondence between the DIS cross section and hadronic structure: PDFs

$$\frac{Q^{2}}{4\pi^{2}\alpha_{EM}}\frac{d\sigma^{(\gamma^{*}p)}}{dx\,dQ^{2}} = F_{2}(x,Q^{2}) \stackrel{L.O.}{=} \sum_{f} e_{f}^{2} xq_{f}(x,Q^{2})$$

$$p \longrightarrow \psi^{(\gamma,Q^{2})} = \int \frac{dr^{-}}{2\pi} e^{ixp^{+}r^{-}} \langle p|\,\bar{\psi}(0)\,\mathcal{U}[0,r^{-}]\,\frac{\gamma^{+}}{2}\,\psi(r^{-})\,|p\rangle$$

$$\psi^{(r)} \otimes \stackrel{\mathcal{U}[0,r]}{\longrightarrow} = \frac{\psi^{(r)}}{f(x,Q^{2})} \stackrel{\psi^{(r)}}{\longrightarrow} = \frac{\psi$$



As Goes the Cross Section, So Go the PDFs



At small x, the DIS cross section, and therefore the PDFs themselves, are • expressed in terms of **dipole scattering amplitudes**

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$$xq_{f}(x,Q^{2}) = \frac{Q^{2}N_{c}}{4\pi^{2}\alpha_{EM}} \int \frac{d^{2}x_{10} dz}{4\pi z(1-z)} \sum_{L,T} |\Psi(x_{10}^{2},z)|^{2} \int d^{2}b_{10} \left[2 - \frac{1}{N_{c}} \left\langle \operatorname{tr} \left[V_{0}V_{1}^{\dagger}\right] \right\rangle_{(zs)} - \frac{1}{N_{c}} \left\langle \operatorname{tr} \left[V_{1}V_{0}^{\dagger}\right] \right\rangle_{(zs)}\right]$$
Photon splitting wave functions
Non-interacting terms
Dipole amplitudes
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Initial Conditions

 The x-dependence of the PDF (TMD) is governed by the energy dependence of the dipole amplitude

 Arises from the phase-space enhanced quantum corrections which are resummed

• The **initial conditions** can be taken from PDF fits at large x or, e.g.) the quark target model





$$\frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)}^{(0)} = \frac{2\alpha_s^2 C_F}{N_c} \ln^2 \frac{x_{0T}}{x_{1T}}$$

Radiative Corrections: Small-x Evolution



$$\begin{split} \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)} &= \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)}^{(0)} \\ &+ \frac{\alpha_s N_c}{2\pi^2} \int\limits_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int d^2 x_2 \, \frac{x_{10}^2}{x_{20}^2 x_{21}^2} \left[\frac{1}{N_c^2} \left\langle \operatorname{tr} \left[V_2 V_1^{\dagger} \right] \, \operatorname{tr} \left[V_0 V_2^{\dagger} \right] \right\rangle_{(z's)} - \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(z's)} \right] \end{split}$$

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Radiative Corrections: "Real" and "Virtual"

• **"Real" gluon emissions** propagate through the **gauge field** of the proton

$$\frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 t^a V_1^{\dagger} t^b \right] U_2^{ba} \right\rangle_{(z's)}$$
Adjoint Wilson line (gluon)

• **"Virtual" gluon emissions** propagate through the **vacuum**, before or after hitting the proton.

$$-\frac{C_F}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(z's)}$$



 $(x_2^-)_i < 0^- < (x_2^-)_f$





Radiative Corrections: "Ladder" and "Non-Ladder"

• **"Ladder" emissions** are emitted and absorbed by the same parton

$$\frac{\alpha_s}{\pi^2} \int\limits_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int d^2 x_2 \left(\frac{1}{x_{21}^2} + \frac{1}{x_{20}^2}\right) \times \left[\text{operator}\right]$$



• **"Non-ladder" emissions** are emitted and absorbed by different partons

$$\frac{\alpha_s}{\pi^2} \int\limits_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int d^2 x_2 \left(-2 \frac{\underline{x_{21}} \cdot \underline{x_{20}}}{x_{21}^2 x_{20}^2} \right) \times \left[\text{operator} \right]$$





What Happens to the Transverse Integral?



$$\frac{\alpha_s N_c}{2\pi^2} \int\limits_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int \frac{d^2 x_2}{x_{21}^2} \times \left[\frac{1}{N_c^2} \left\langle \operatorname{tr} \left[V_2 V_1^{\dagger} \right] \operatorname{tr} \left[V_0 V_2^{\dagger} \right] \right\rangle_{(z's)} - \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(z's)} \right]$$

- "Ladder" emissions of **small-sized fluctuations are enhanced**
- **Transverse integral** appears divergent... a **second logarithm?**
- No: Cancellation of real + virtual diagrams due to color transparency

Full Structure: The Balitsky Operator Hierarchy

$$\frac{1}{N_{c}}\left\langle \operatorname{tr}\left[V_{0}V_{1}^{\dagger}\right]\right\rangle_{(zs)} = \frac{1}{N_{c}}\left\langle \operatorname{tr}\left[V_{0}V_{1}^{\dagger}\right]\right\rangle_{(zs)}^{(0)} + \frac{\alpha_{s}N_{c}}{2\pi^{2}}\int_{z}^{z}\frac{dz'}{z'}\int d^{2}x_{2}\frac{x_{10}^{2}}{x_{20}^{2}x_{21}^{2}}\left[\frac{1}{N_{c}^{2}}\left\langle \operatorname{tr}\left[V_{2}V_{1}^{\dagger}\right]\operatorname{tr}\left[V_{0}V_{2}^{\dagger}\right]\right\rangle_{(z's)} - \frac{1}{N_{c}}\left\langle \operatorname{tr}\left[V_{0}V_{1}^{\dagger}\right]\right\rangle_{(z's)}\right]$$

$$\underset{\text{BFKL Kernel}}{\overset{\text{Rapidity Logarithm}}{\overset{\text{Rapidity Logarithm}}{\overset{\text{Rapidithm }}{\overset{\text{Rapidith$$

• The dipole evolves into **increasingly complex operators**....

I. Balitsky, Nucl. Phys. **B463** (1996) 99 I. Balitsky, Phys. Rev. **D60** (1999) 014020

• Equivalent to a **functional differential equation**....

(JIMWLK)

Jalilian-Marian et al., Phys. Rev. **D59** (1998) 014015 Jalilian-Marian et al., Phys. Rev. **D59** (1998) 014014 Iancu et al., Phys. Lett. **B510** (2001) 133 Iancu et al., Nucl. Phys. **A692** (2001) 583

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Linear BFKL Evolution at Small x

The equations linearize in the dilute limit (BFKL)

Kuraev, et al., Sov. Phys. JETP **45** (1977) 199 Balitsky and Lipatov, Sov. J. Nucl. Phys. **28** (1978) 822

$$S_{01} = 1 - \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle \ll 1$$

 $\frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)} = \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)}^{(0)}$

$$+ \frac{\alpha_s N_c}{2\pi^2} \int_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int d^2 x_2 \frac{x_{10}^2}{x_{20}^2 x_{21}^2} \left\langle \frac{1}{N_c} \operatorname{tr} \left[V_2 V_1^{\dagger} \right] + \frac{1}{N_c} \operatorname{tr} \left[V_0 V_2^{\dagger} \right] - \frac{1}{N_c} \operatorname{tr} \left[V_0 V_1^{\dagger} \right] - 1 \right\rangle_{(z's)}$$

Solution by Laplace-Mellin transform leads to power-law growth at small x

$$xq(x,Q^2) \sim xG(x,Q^2) \sim \left(\frac{1}{x}\right)^{\frac{4\alpha_s N_c}{\pi} \ln 2}$$

"Pomeron Intercept"

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$$\alpha_P - 1 = \frac{4\alpha_s N_c}{\pi} \ln 2$$

Gluon Saturation

What It Is, and Why We Need to Find It





The Drive to Gluon Saturation

- QCD radiates an abundance of soft gluons at small x
- Exponential growth of charge density

- Also provides a mechanism to regulate the growth
- Gluon recombination can balance radiation, leading to a saturation of the density



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Nonlinear BK Evolution at Large x

• The full nonlinear operator **hierarchy closes** under a **mean-field approximation**.

Balitsky, Nucl. Phys. **B463** (1996) 99 Balitsky, Phys. Rev. **D60** (1999) 014020 Kovchegov, Phys. Rev. **D60** (1999) 034008 Kovchegov, Phys. Rev. **D61** (2000) 074018

Large-Nc limit!

$$\frac{1}{\mathcal{N}_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)} = \frac{1}{\mathcal{N}_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(zs)}^{(0)}$$

$$+ \frac{\alpha_s N_c}{2\pi^2} \int\limits_{\frac{\Lambda^2}{s}}^{z} \frac{dz'}{z'} \int d^2 x_2 \frac{x_{10}^2}{x_{20}^2 x_{21}^2} \left[\frac{1}{N_c^2} \left\langle \operatorname{tr} \left[V_2 V_1^{\dagger} \right] \right\rangle_{(z's)} \times \left\langle \operatorname{tr} \left[V_0 V_2^{\dagger} \right] \right\rangle_{(z's)} - \frac{1}{N_c} \left\langle \operatorname{tr} \left[V_0 V_1^{\dagger} \right] \right\rangle_{(z's)} \right]$$

Mean field

Gluon-dominated

Fully nonlinear
Solvable!

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The "Color Glass Condensate" Effective Theory





- At small *x*, we approach an **emergent**, **universal**, **high-density state** of QCD characterized by:
 - Resummation of coherent multiple scattering
 - Strong gluon fields, semi-classical QCD
 - Color domains with emergent saturation momentum

 $Q_s^2(x) \approx 3.70 \,\alpha_s(Q_s^2) \,\rho_G(x, Q_s^2)$





The Status Quo (BFKL) Is Unsustainable



 Resumming multi-emission leads to a power law growth of the gluon density at small x.

> **Breaks unitarity** <u>locally</u>:

$$S_{dip}(x_{\perp}) > 1$$

$$xG(x,Q^2) \sim \left(\frac{1}{x}\right)^{2.65\,\alpha_s(Q^2)}$$

$$\sigma^{q\bar{q}} \approx 3.29 \frac{\alpha_s(Q^2)}{Q^2} x G(x,Q^2)$$

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Saturation: Necessary but not Sufficient for Unitarity



- At high density, **nonlinear gluon fusion slows down** the gluon cascade
 - Asymptotically saturates to the black disk limit
 - > **Solves** the **"little unitarity problem"** (perturbatively!)
- But does **not** explicitly unitarize **total** cross sections due to the **diffusion** of the **black disk**. (<u>nonperturbative</u>)

E. Ferreiro et al., Nucl. Phys. A710 (2002) A. Kovner, U. A. Wiedemann, Phys. Lett. B551 (2003)

 $R_{black} \sim e^{Y}$

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Why Should We Care About Saturation?

- Exotic state of nuclear matter characterized by (maximally!) intense gluon fields
- Initial conditions for heavy-ion collisions
- Perturbatively controllable regime of QCD with different dynamics (dense proton)
- ✤ ...Yes, and...

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Why Should We Care About Saturation?

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Why Should We Care About Saturation?

- The very feature that makes QCD work as an asymptotically free, UV-complete theory threatens to break it at small x.
 - "Saturation is a non-negotiable consequence of QCD." N. Armesto
- If saturation does not exist, something is irredeemably broken
 - > Not just for QCD, but for **any non-Abelian quantum field theory**

My Claim: Saturation is as Essential as the Higgs Boson

- Saturation, like the Higgs, is necessary for the self-consistency of the QFT
- ✤ Nothing guarantees we could find it...
- ***** ...But if it **does not exist**, we **need to know!**

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Thank You!

Enjoy the Rest of the Summer School!

