Probing the frontiers of nuclear physics with AI at the EIC (II)

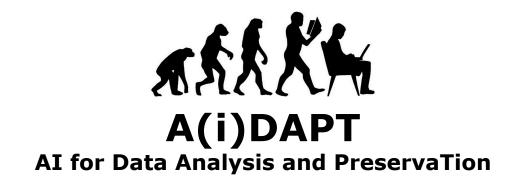
19-21 Mar 2025 America/New_York timezone

e- Cab12

Al for data preservation and interpretation in hadron physics

M.Battaglieri (INFN)

on behalf of A(i)DAPT Working Group











AI for NP/HEP

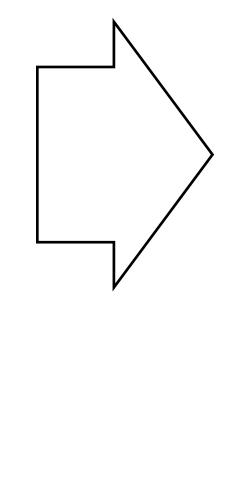
- Data collected by NP/HEP experiments are (always) affected by the detector's effects
- Before starting physics analysis the detector's effects unfolding are required
- Traditional observables may not be adequate to extract physics in multidimensional space (multi-particles in the final state)
- At High-Intensity frontiers, data sets are large and difficult to manipulate/preserve

Shall AI support NP/HEP experiments to extract physics from data in a more efficient way?

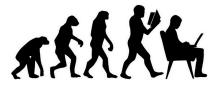
Develop AI-supported procedures to:

- Prepare data unfolding detector effects
- Accurately fit data in multiD space
- Extract physics observables (xsec, asymmetries, ...) from synthetic data (Al-generated)
- Interpret physics observables

• in all steps, quantifying the uncertainty (UQ)







A(i)DAPT AI for Data Analysis and PreservaTion

Collaborative effort (regular meeting) • ML experts (ODU, JLab) • Experimentalists (JLab Hall-B) • Theorists (JPAC, JAM)





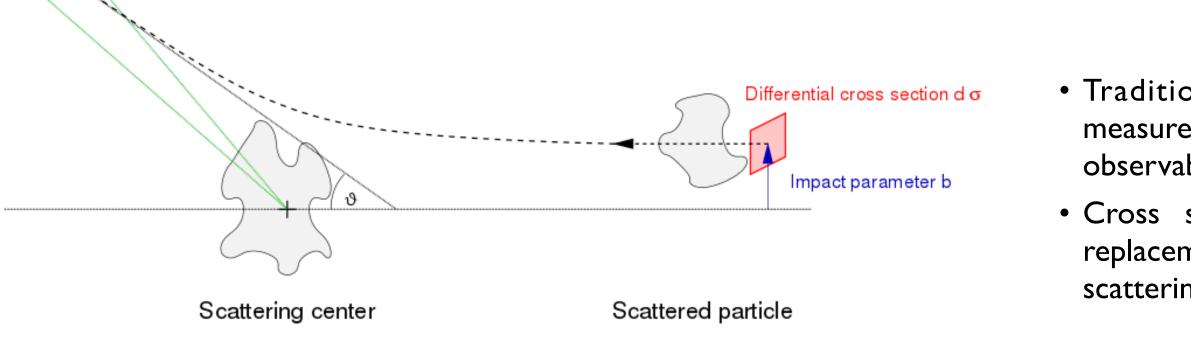
The cross section in particle physics

$$rac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = (2\pi)^4 m_i m_f rac{p_f}{p_i} ig| T_{fi} ig|^2$$

Differential solid angle d Ω

Lab12

- The cross section is related to the transition probability between an initial to a final state
- In case of scattering, cross sections provides information about the elementary interaction
- Cross section is expressed as squared sum of scattering amplitudes (complex functions) interaction properties
- It is derived by measuring the momentum distributions of reaction particle (at different CM energy)
- Correlations between particles in the final state reflects the underlying dynamics
- Cross sections fully replaces the 4-mom data sample in a compact and efficient way
- Cross section is the starting point for any higher level physics analysis



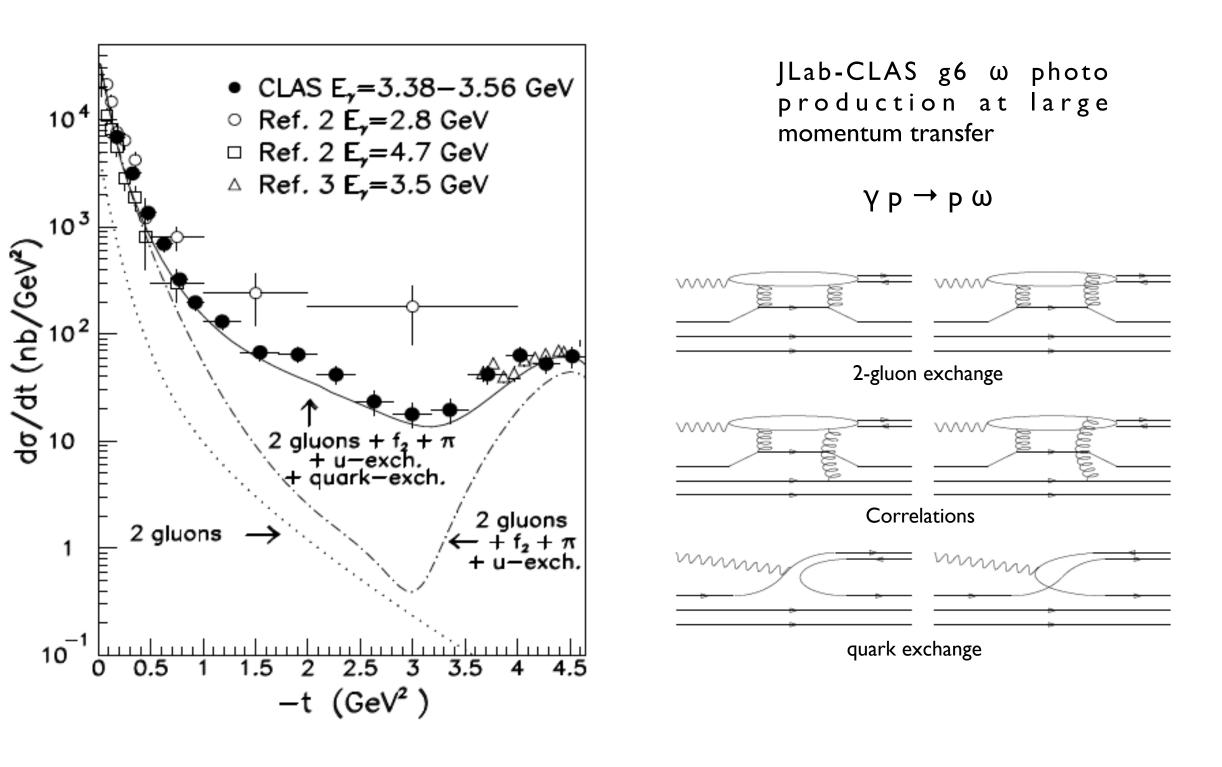
depending on the kinematic Lorentz-invariant of the problem and embedding the

• Traditional approach: particles (4-momenta) measured into the detector, extract the relevant observables, extract physics mechanisms

• Cross section **preserves** this information as replacement for the original particle-by-particle scattering information



Exclusive reactions: 2 \rightarrow 2



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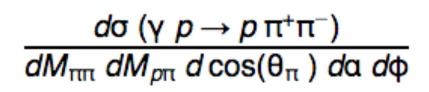
$2 \rightarrow 2$ scattering (no polarisation)

- Initial state: known
- Final state: 2 x 3
- Parameters: $(2 \times 3) 4 = 2$
- Possible choice: -t and ϕ
- the physics depends only on one variable (-t)
- It worked (and still works!) well if limited to channels with a single variable
- Xsec, Polarization observables, angular distribution, decay matrix, ...

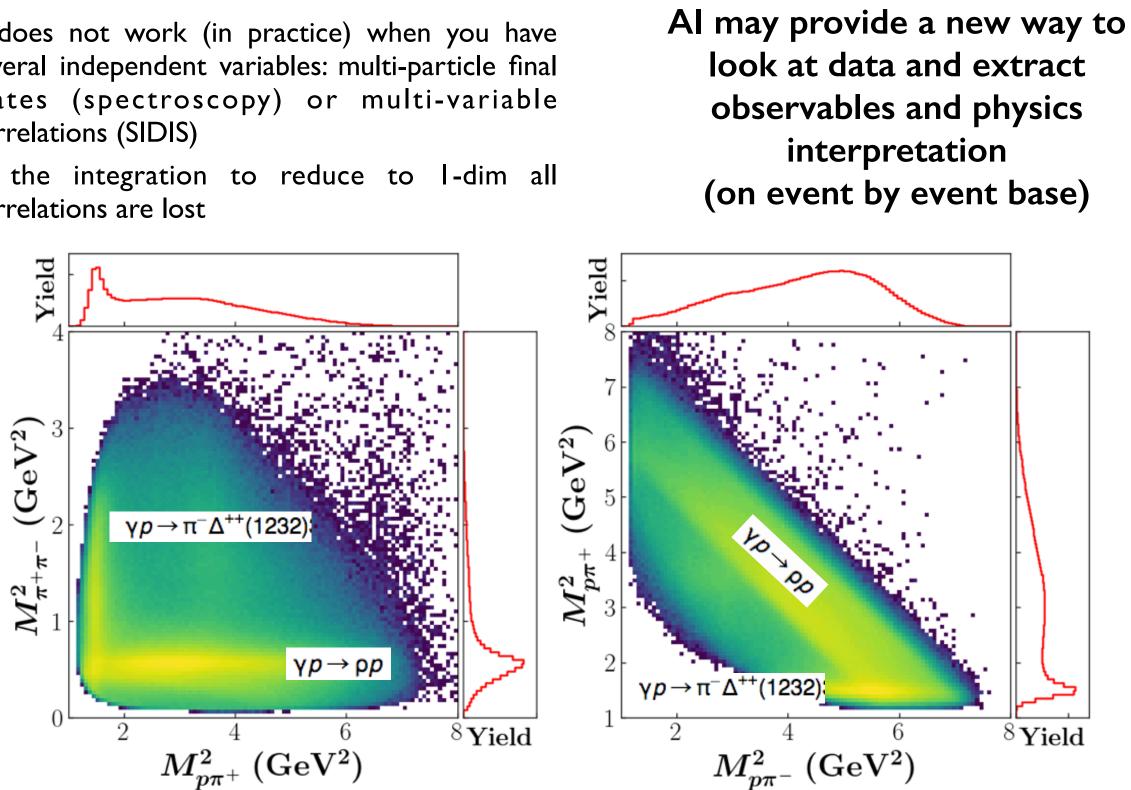
- $2 \rightarrow 3$ scattering (no polarization)
- Initial state: known
- Final state: 3×3
- Parameters: $(3 \times 3) 4 = 5$ (E_Y fixed)
- Possible choice: $M^2_{n\pi}$, $M^2_{p\pi}$, θ_{π} , α , ϕ

CLAS gII 2π photo production

- $E_{V} = (3.0 3.8) \text{ GeV}$
 - $\gamma p \rightarrow p \pi^+ \pi^-$ exclusive reaction
 - data set analyses so far $\gamma p \rightarrow p \pi^+$ $(\pi$) + small contamination of $\gamma p \rightarrow$ p π⁺ (more than a missing π⁻)
 - complicated dynamic for the overlap of $(p\pi)$ to form Δ baryon resonances and $(\pi\pi)$ to form meson resonances



- It does not work (in practice) when you have several independent variables: multi-particle final states (spectroscopy) or multi-variable correlations (SIDIS)
- In the integration to reduce to I-dim all correlations are lost



Credit: Y.Alanazi Awadh, , P.Ambrozewicz, G. Costantini A.Hiller Blin, E. Isupov, T. Jeske, Y.Li, L.Marsicano W. Menlnitchouk, V.Mokeev, N.Sato, A.Szczepaniak, T.Viducic



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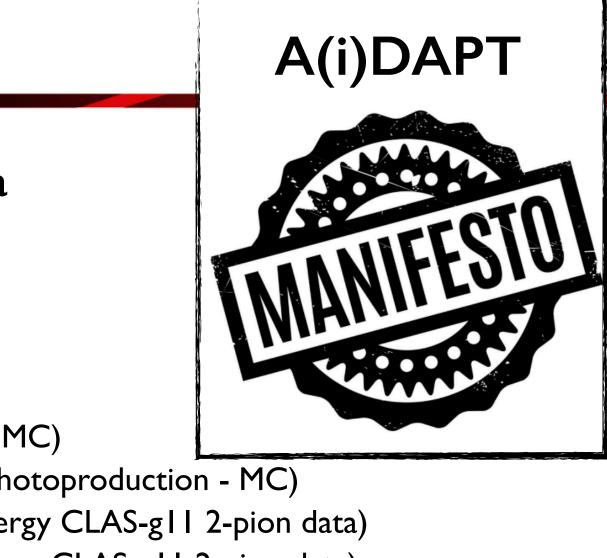
Al for data preservation and interpretation in hadron physics

Deploy an AI Generative Model to reproduce NP/HEP data

- Unfold detector effects
 - Smearing
 - Acceptance
- Produce physics observables
 - Extract few dimensions cross-section (PDF) (e.g. inclusive electron scattering MC)
 - Extend the closure test to cross-sections in a mutiD phase-space (e.g. 2-pion photoproduction MC)
 - Validate the analysis procedure extracting cross-section from data (e.g. high energy CLAS-g11 2-pion data)
 - Combine data of the same final state taken in different kinematics (e.g. low energy CLAS-g11 2-pion data)
 - Combine data from different final states (e.g. CLAS-g11 3-pion/ ω data)
- Extract physics out of data
 - Extract cross-section and amplitudes in a 2-body reaction (e.g. ππ scattering MC)
 - Extract moments of angular distributions and fit with a model (e.g. 2-pion pthotoproduction model MC)
 - Extract amplitudes from a multi-particle exclusive channel (e.g. CLAS-g11 2-pion data)
 - Extract amplitudes in multi- coupled-channel analysis (e.g. CLAS-g11 2-pion + 3-pion/ω data)
 - Connect NN features to different physics processes (e.g. baryon and meson resonances in CLAS-g11 2-pion data)



•



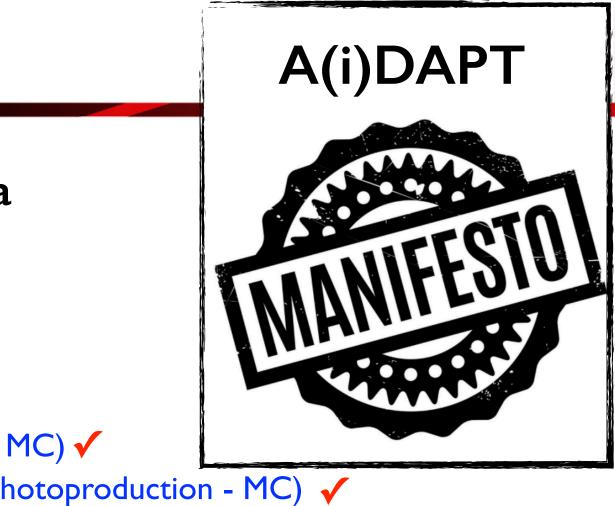
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• ...

This talk



Detector effects make measured observables (detector-level) DIFFERENT from 'true' observables (vertex-level)

Resolution

- Any detector has a finite resolution that spreads the measurement
- A spike could be not resolved
- The measurement may extend in an unphysical region (e.g. negative squared missing mass)

Acceptance

- Any measurement covers only a fraction of the reaction phase-space
- Difficulty: the cross section (Probability Density Function) can not be constrained by general rules (other than being positive) since it reflects the underlying (a-priori unknown) physics
- No model-independent extrapolation of PDF outside detector's acceptance is possible (based on measured phase space)

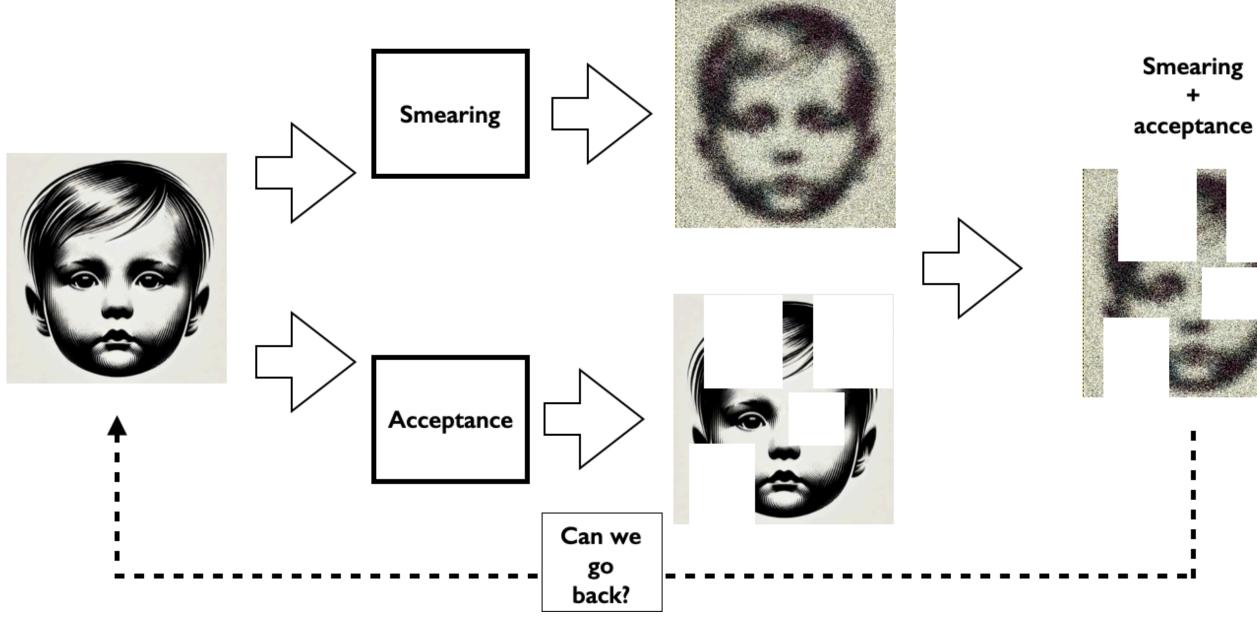
Use AI:

- inside acceptance: to replace data with a synthetic replica statistically identical to the original but w/o smearing
- outside acceptance: to generate pseudo-data according a physics informed model





Detector unfolding





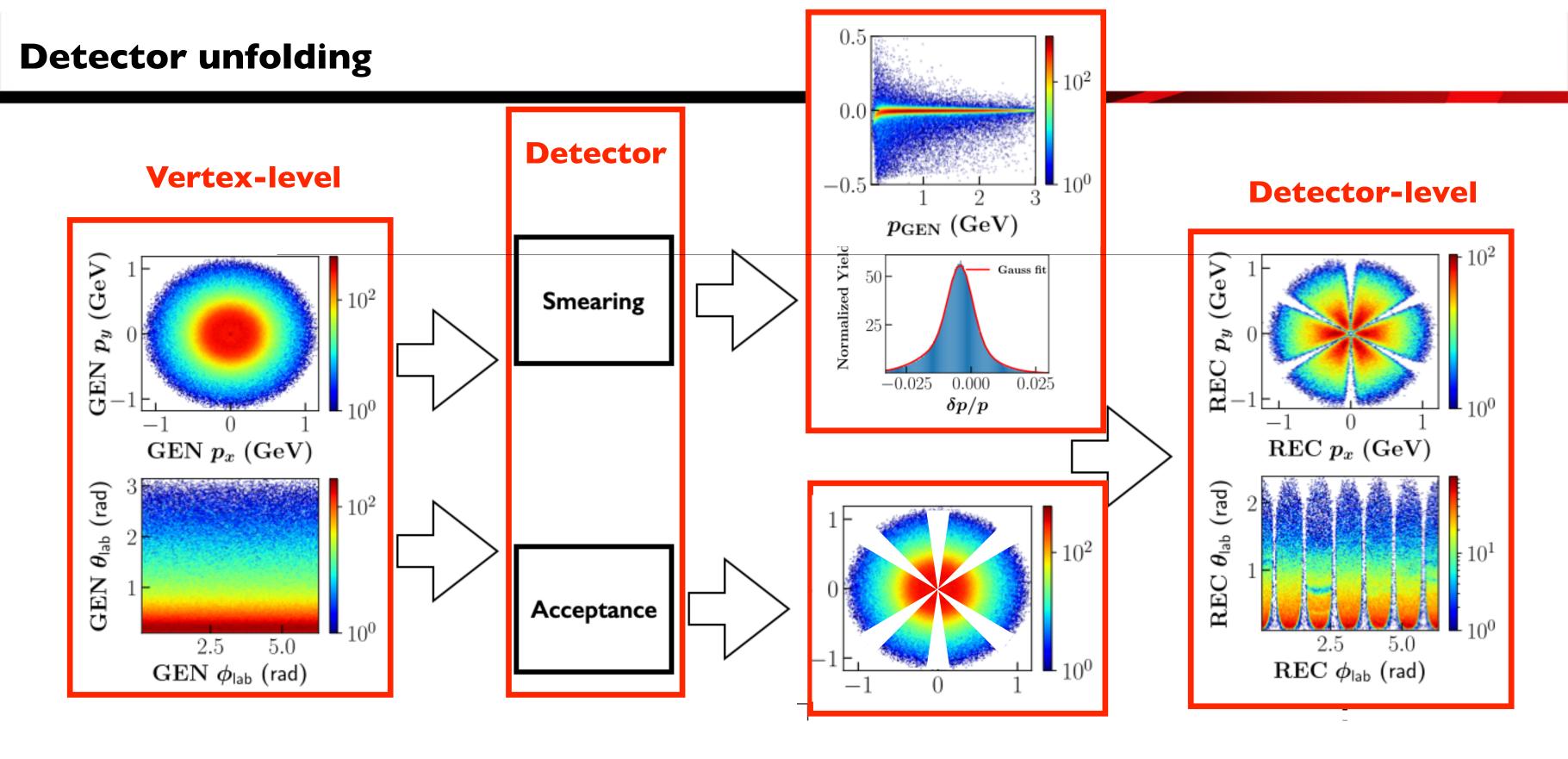
Can we recover the original image?

+









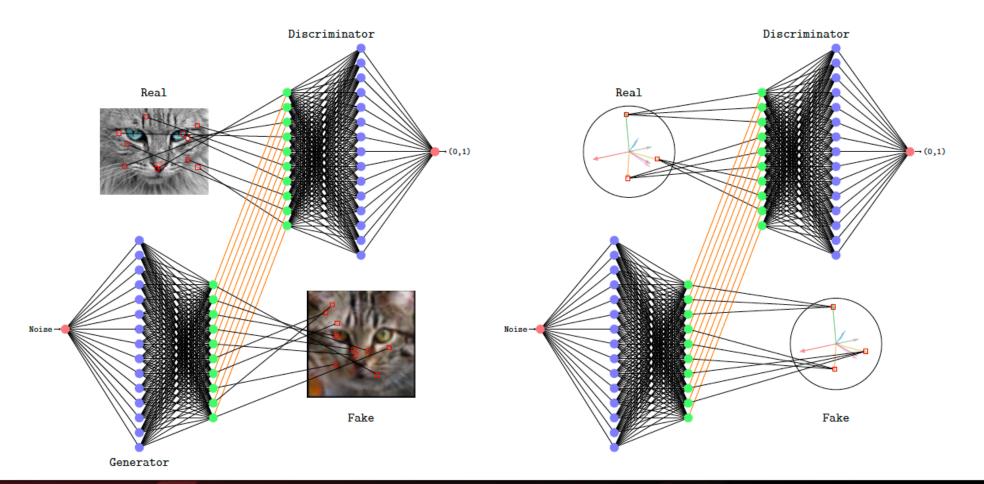




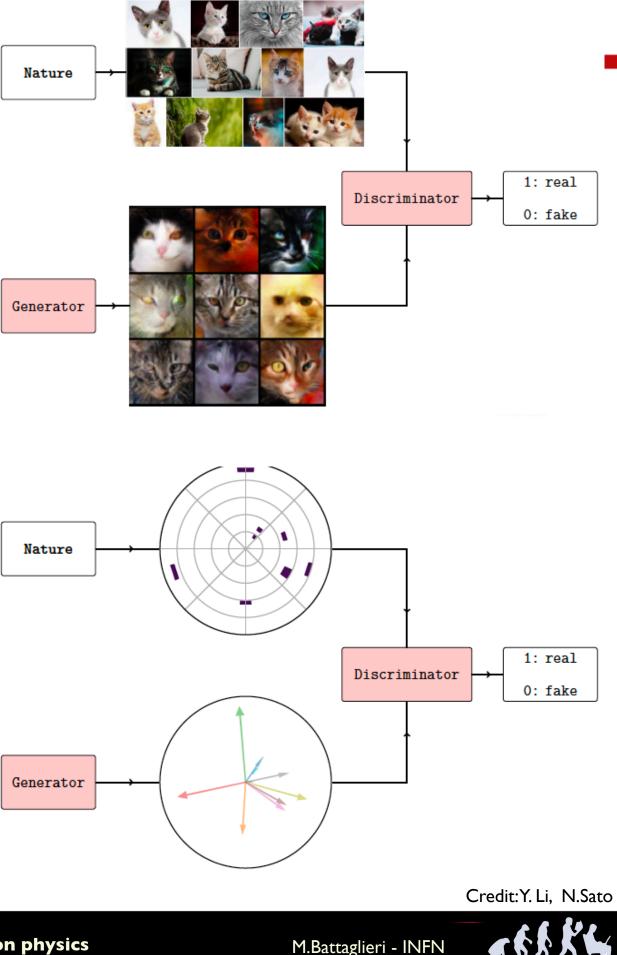
Generative Adversarial Network (GANs)

- The colored boxes are built using NNs
- Discriminator is trained to output "real" for Nature samples
- Generator is trained to fool the discriminator
- The Generator can be used as data compression tool
- Typical size for the Generator: O(MB) to be compared to NP/HEP experiments data set O(GB/TB)
- Simple to distribute instead of events stored on tapes

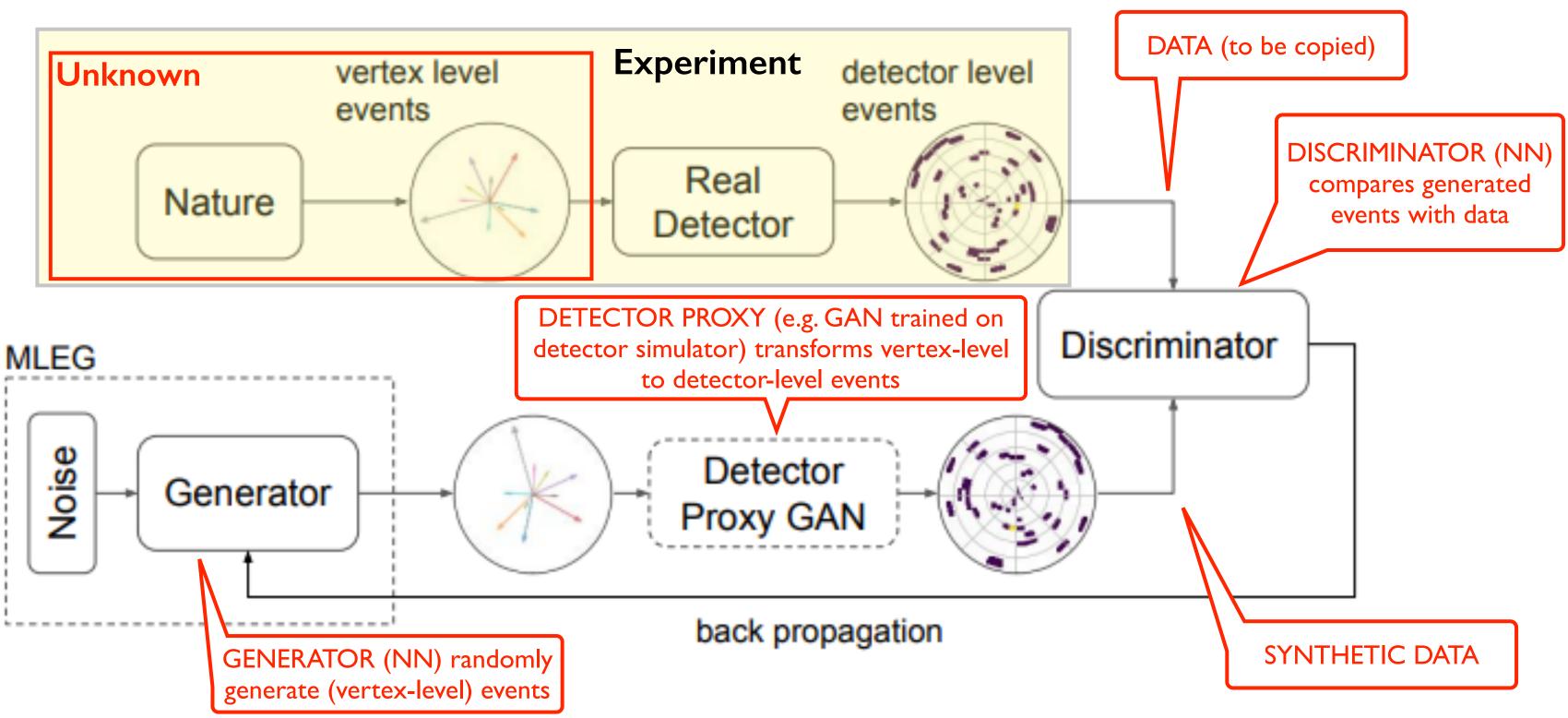
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https://doi.org/10.24963/ijcai.2021/588



ML Event Generator GAN scheme





e- 😪 Lab 12

Y. Alanazi, P. Ambrozewicz, M. Battaglieri, A.N. Hiller Blin, M.P. Kuchera, Y. Li, T. Liu, R.E. McClellan, W. Melnitchouk, E. Pritchard, M. Robertson, N. Sato, R. Strauss, and L. Velasco Phys. Rev. D 106, 096002

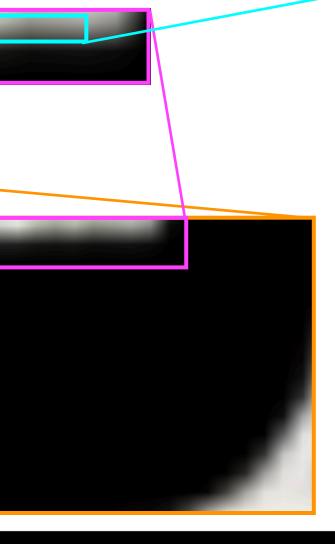




This image can be copied by randomly generating black/white pixels (GENERATOR), and at each iteration, comparing with the original (DISCRIMINATOR), adjusting the generator accordingly (TRAINING)

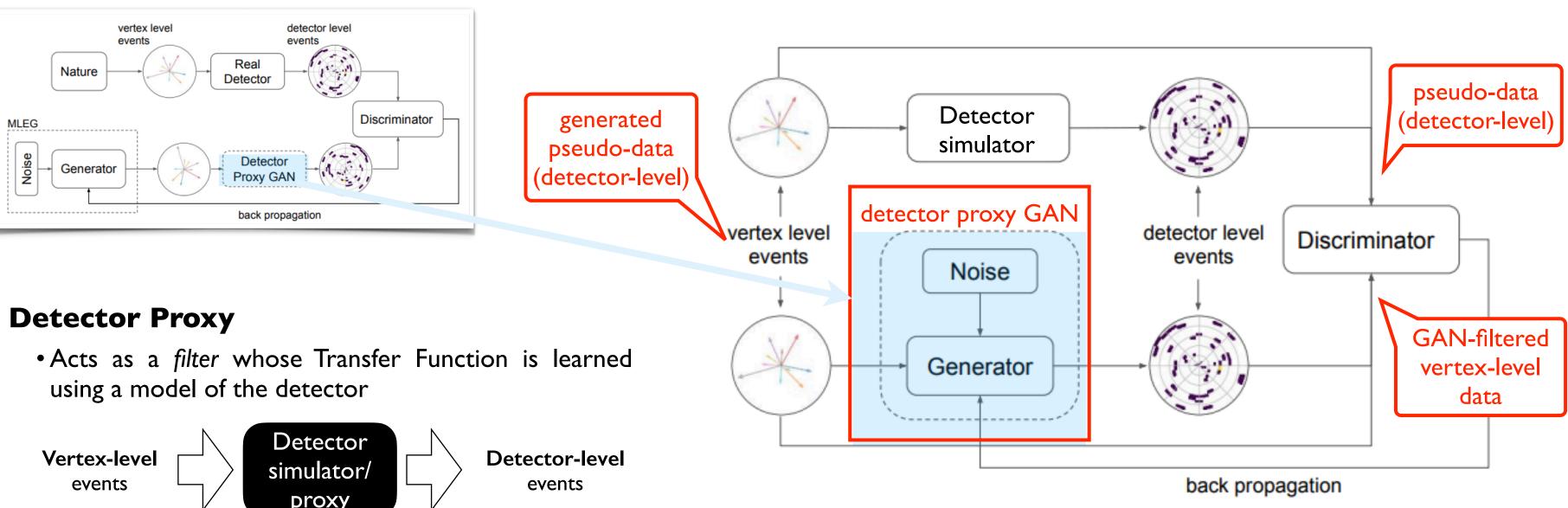










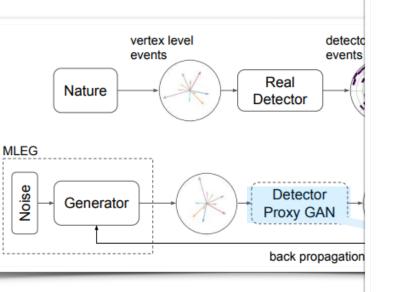


- parametric: e.g. a gaussian smearing on momentum and angle mimics the detector's resolution
- GEANT-like: a full simulation of detector response to detected particles
- Disclaimer: Al is not recovering inaccuracy of the detector simulator but only learning its transfer function

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Detector Proxy

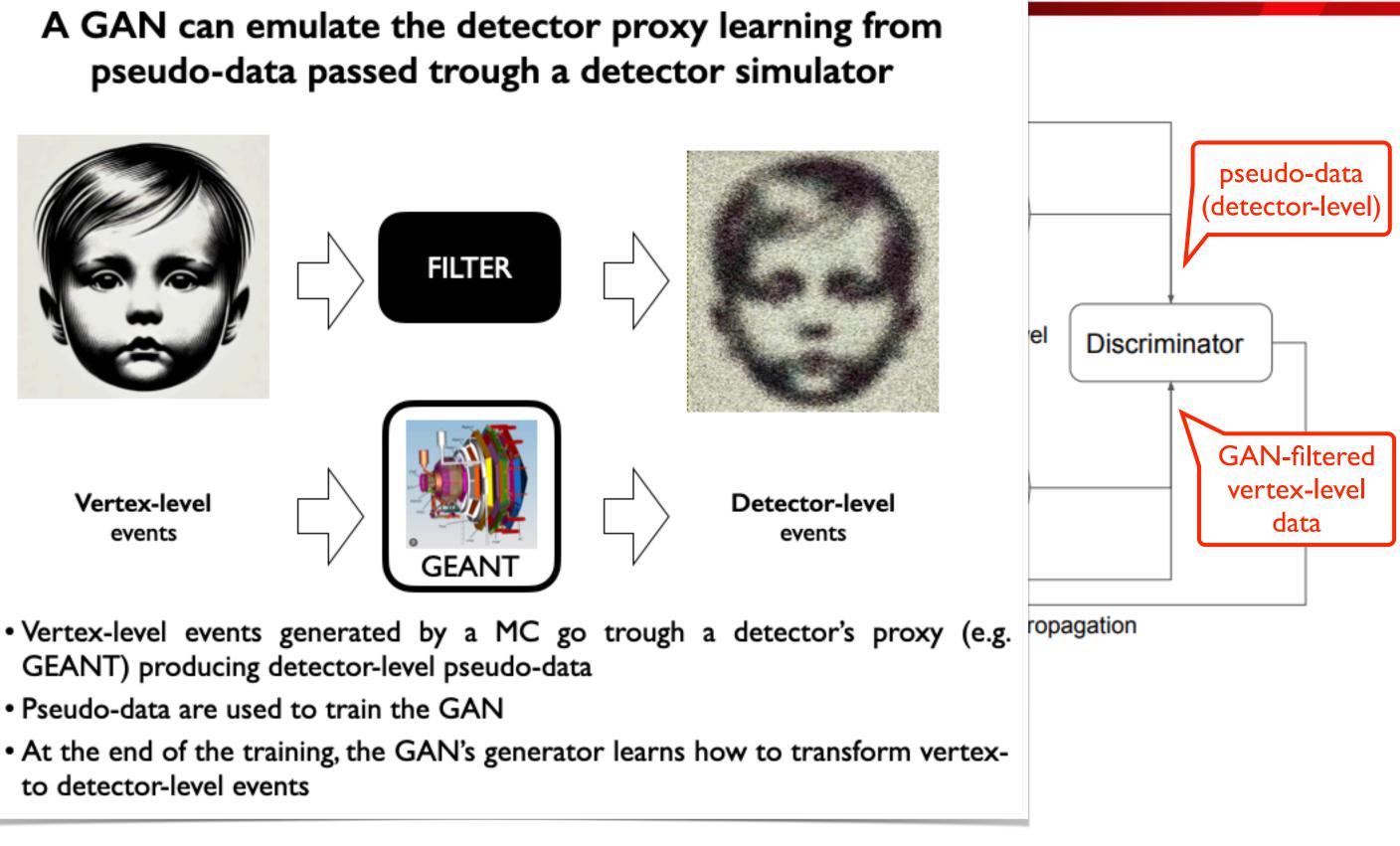
• Acts as a filter whose Trar

Vertex-level events

Dete simul pro

- parametric: e.g. a gaussian sr
- GEANT-like: a full simulation
- Al is not recovering inaccur

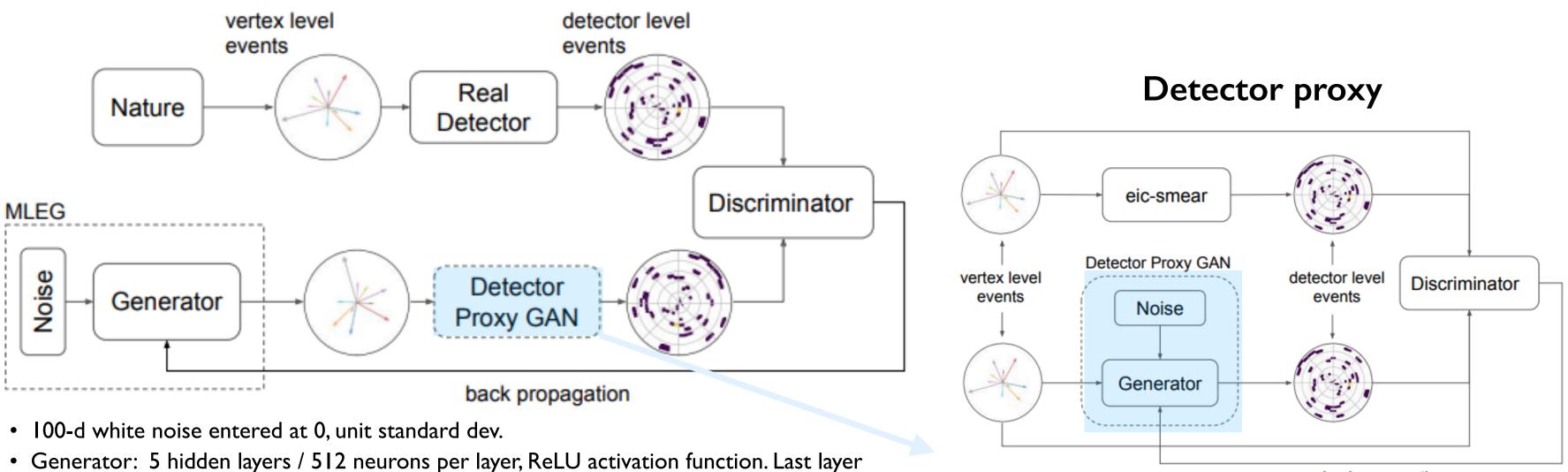
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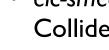
ML Event Generator GAN scheme



- Generator: 5 hidden layers / 512 neurons per layer, ReLU activation function. Last layer connected to 2 neurons output to generate V_1 and V_2 variables
- Discriminator: same NN architecture as for the generator
- Detector proxy: similar architecture
- Least Squares GAN (LSGAN)
- Trained adversarially for 100000 epochs (pass through the training data set)
- Adam's optimizer

e-Slab12





back propagation

• eic-smear: parametric smearing routine for the Electron Ion Collider detectors (no GEANT-based simulations) • Parameters tuned to reproduce ZEUS/HI detectors • Full 4π acceptance

I) GAN training w/o detector effects

Pseudo-data sample (JAM)

e- Cab 12

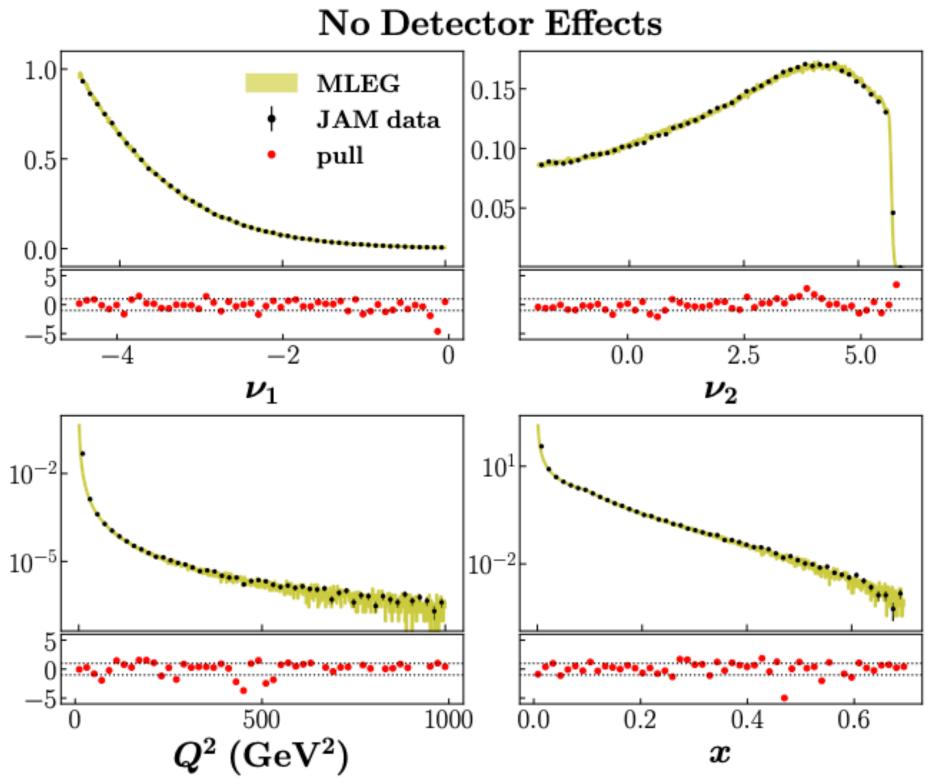
- Inclusive electron DIS generated at E_{CM} =318.2 GeV (HERA kinematics)
- 2-dim differential cross section $d\sigma/dxdO^2$
- Lorentz boosted from CM to Lab (+ uniform azimuthal angle)
- To reduce violation of momentum conservation on the edge of the phase space due to smearing effects, electron momentum is replaced by new variables:

$$u_1 = \ln \left((k'_0 - k'_z)/1 \,\text{GeV} \right),$$

 $\nu_2 = \ln \left((2E_e - k'_0 - k'_z)/1 \,\text{GeV} \right),$

Uncertainty Quantification via *pull* calculation

- $\text{pull} = \frac{\text{E}[\mathcal{P}(\mathcal{O}|\text{bin})]_{\text{GAN}} \text{E}[\mathcal{P}(\mathcal{O}|\text{bin})]_{\text{JAM}}}{\sqrt{\text{V}[\mathcal{P}(\mathcal{O}|\text{bin})]_{\text{GAN}} + \text{V}[\mathcal{P}(\mathcal{O}|\text{bin})]_{\text{JAM}}}}$ • Metric: *pull*
- Bootstrap with 10 independently trained GANs



Y. Alanazi, P. Ambrozewicz, M. Battaglieri, A.N. Hiller Blin, M.P. Kuchera, Y. Li, T. Liu, R.E. McClellan, W. Melnitchouk, E. Pritchard, M. Robertson, N. Sato, R. Strauss, and L. Velasco Phys. Rev. D 106, 096002

I) GAN training w/o detector effects

Pseudo-data sample (JAM)

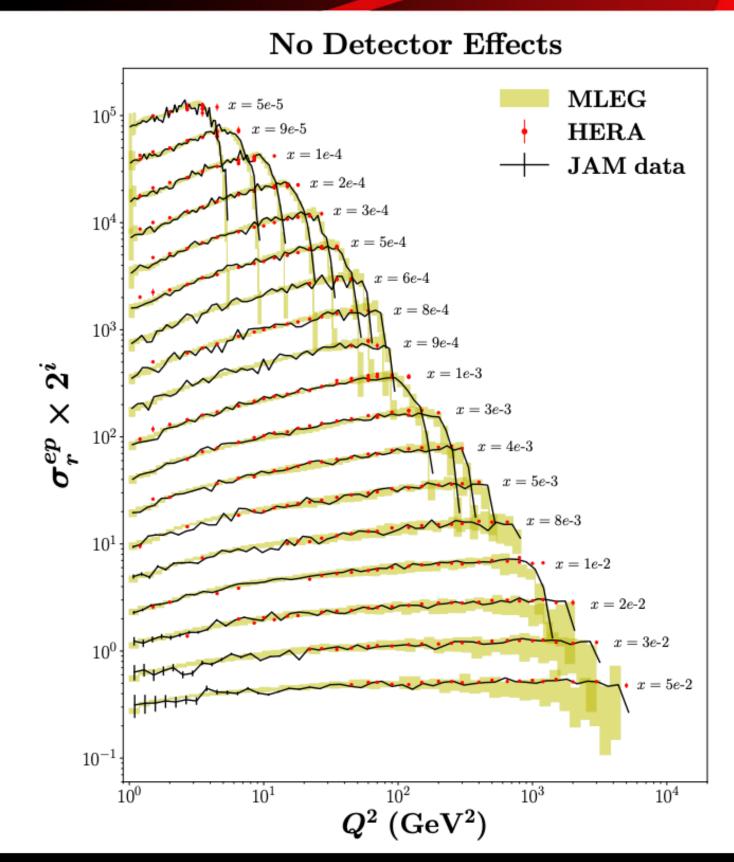
e- Cab 12

- Inclusive electron DIS generated at E_{CM} =318.2 GeV (HERA kinematics)
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- To reduce violation of momentum conservation on the edge of the phase space due to smearing effect, electron momentum is replaced by new variables:

Uncertainty Quantification via *pull* calculation

• Metric:
$$pull$$
 $pull = \frac{E[\mathcal{P}(\mathcal{O}|bin)]_{GAN} - E[\mathcal{P}(\mathcal{O}|bin)]_{JAM}}{\sqrt{V[\mathcal{P}(\mathcal{O}|bin)]_{GAN} + V[\mathcal{P}(\mathcal{O}|bin)]_{JAM}}}$

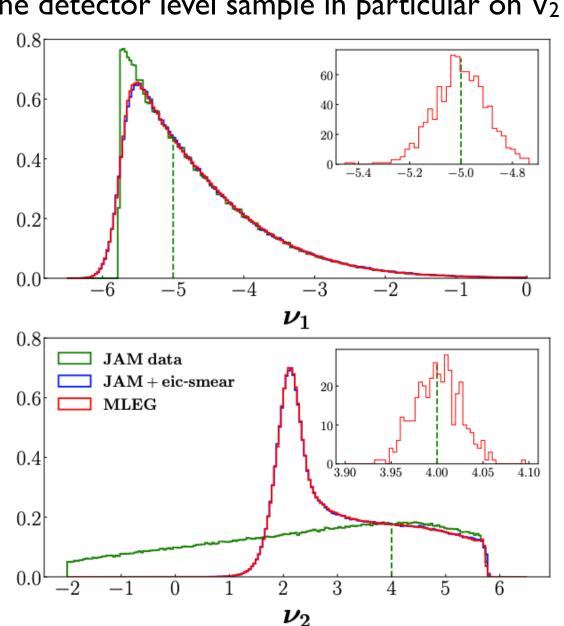
Bootstrap with 10 independently trained GAN



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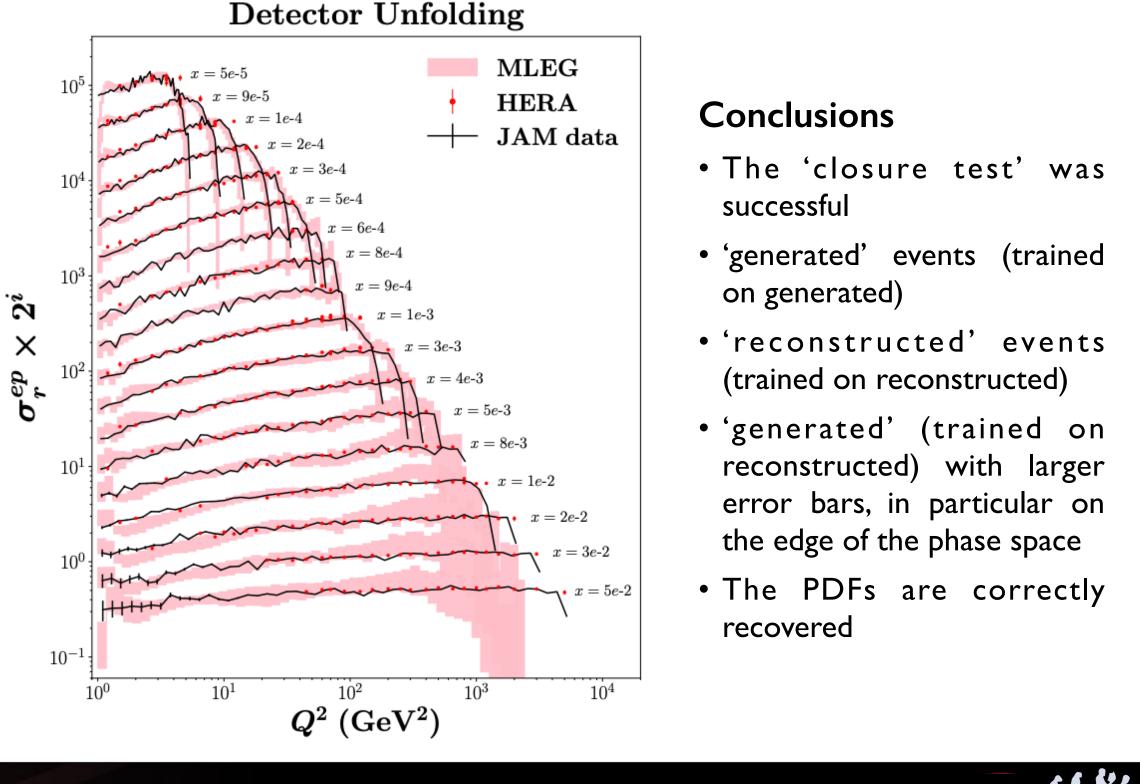


II) GAN training WITH detector effects



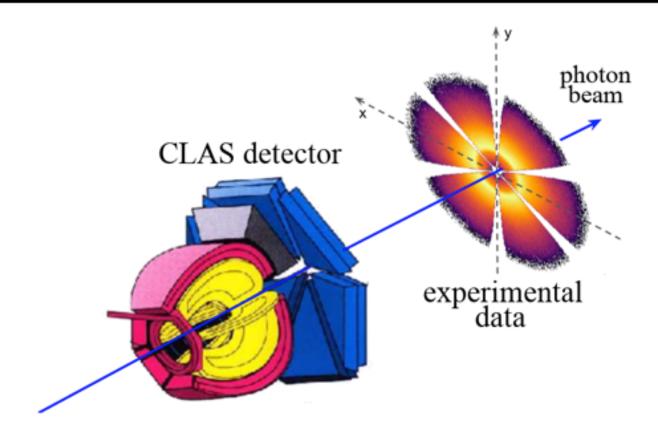
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• eic-smear introduces significant distortions to the detector level sample in particular on V_2



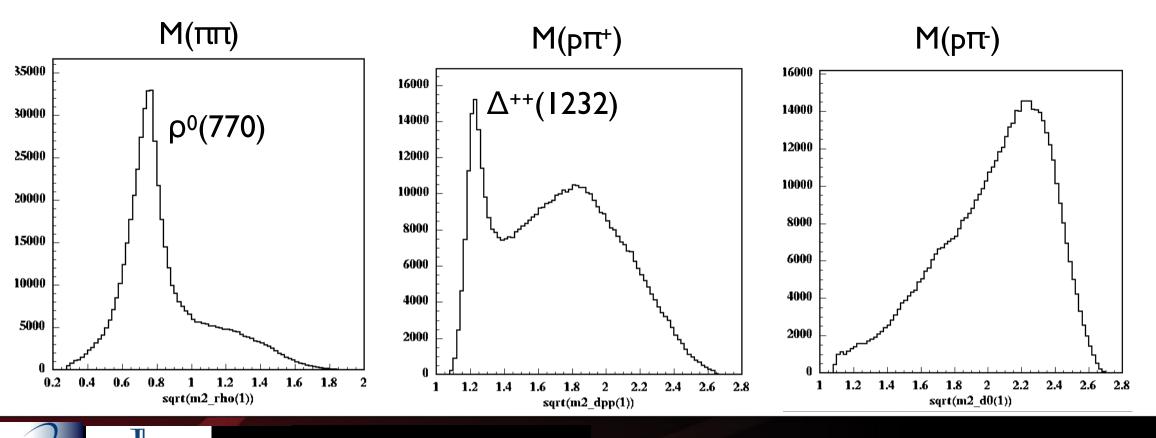
Al for data preservation and interpretation in hadron physics

Multi-d xsec: 2π photo production



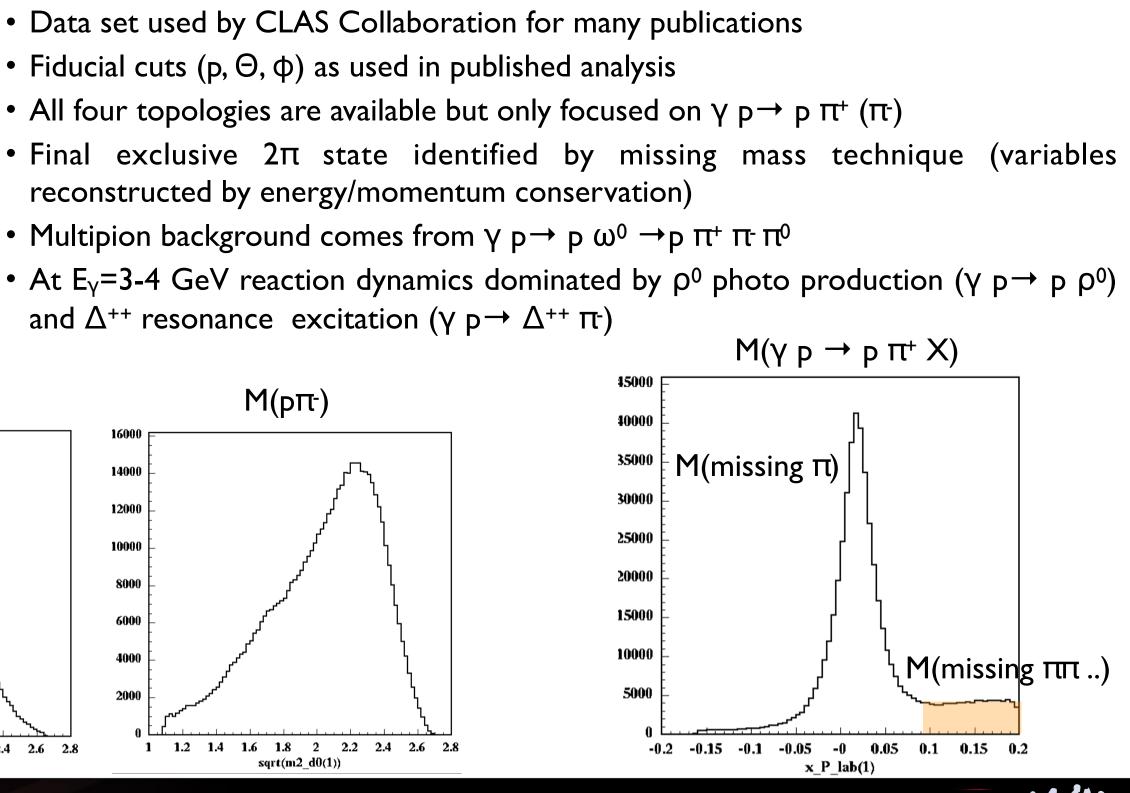
CLAS gll kinematics

- Data set used by CLAS Collaboration for many publications
- Fiducial cuts (p, Θ, ϕ) as used in published analysis
- All four topologies are available but only focused on $\gamma p \rightarrow p \pi^+ (\pi)$
- reconstructed by energy/momentum conservation)
- Multipion background comes from $\gamma p \rightarrow p \omega^0 \rightarrow p \pi^+ \pi \pi^0$
- and Δ^{++} resonance excitation ($\gamma p \rightarrow \Delta^{++} \pi$)



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SLab12



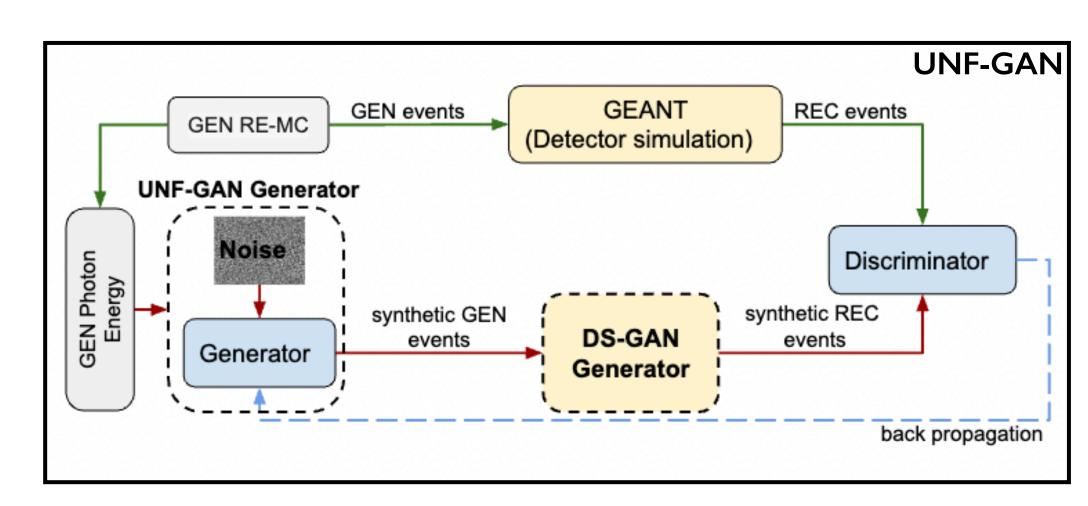
CLOSURE TEST:

e- Cab12

Demonstrate GANs reproduce 'true' multi-dim correlations, unfolding CLAS detector effects, comparing vertex-level (GEN) events with GANs GEN SYNT events, trained at detector-level and unfolded with a (GANs-based) detector proxy

- I.Generate events with a (realistic) Monte Carlo 2π photo production model (RE-MC GEN pseudodata)
- 2. Apply detector effects (acceptance and resolution) via GSIM-GEANT (RE-MC REC pseudodata)
- 3. Deploy a secondary GAN (DS-GAN) to learn detector effects using an independent MC event generator (PS-MC) + GSIM-GEANT (GEN and REC pseudodata)
- 4. Deploy the unfolding GAN (UNF-GAN) that includes the DS-GAN, and train it with RE-MC REC pseudodata
- 5. Compare UNF-GAN GEN SYNT data to RE-MC GEN pseudodata

[if but works, replace RE-MC REC pseudo data with CLAS data in the training to unfold the vertex-level experimental distributions]

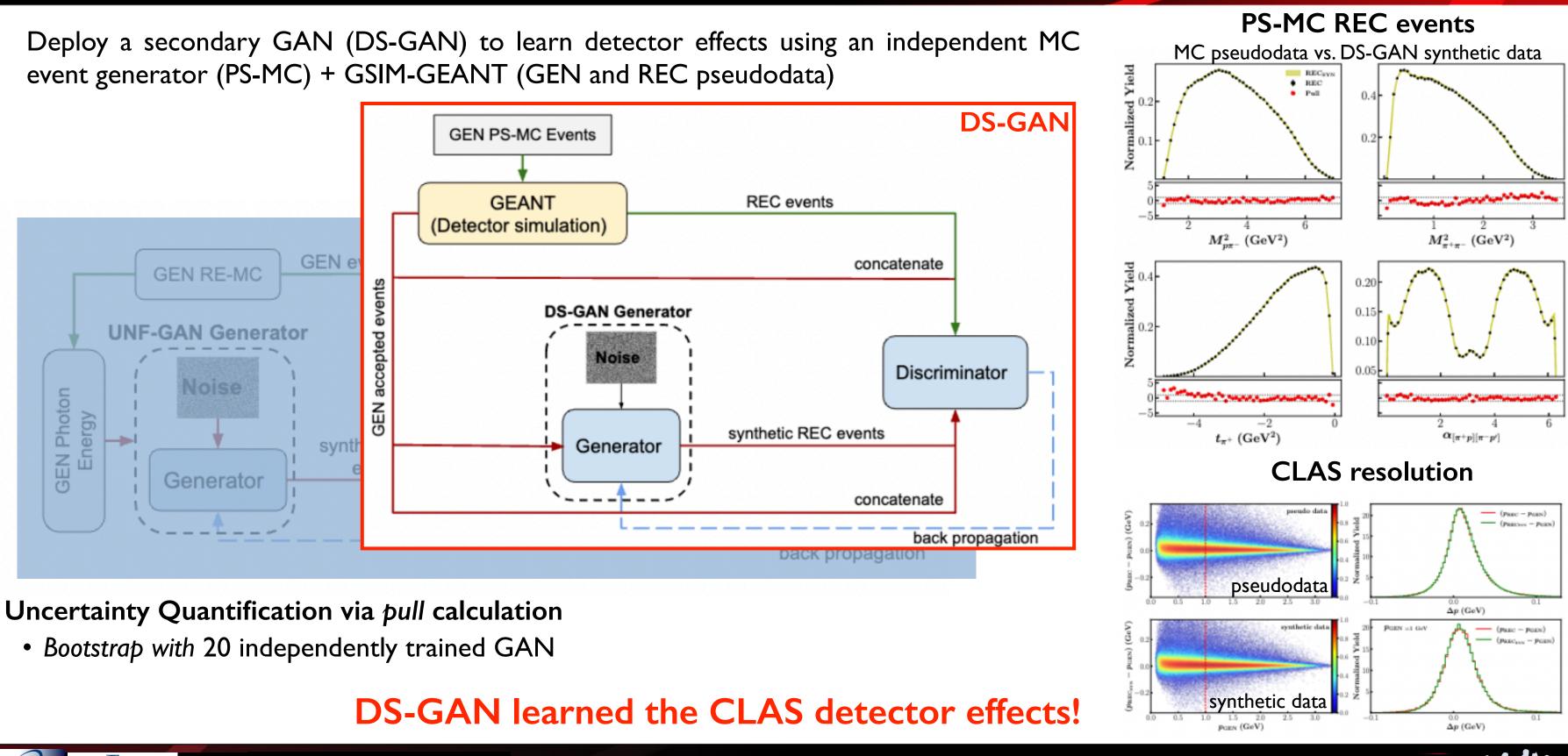








event generator (PS-MC) + GSIM-GEANT (GEN and REC pseudodata)

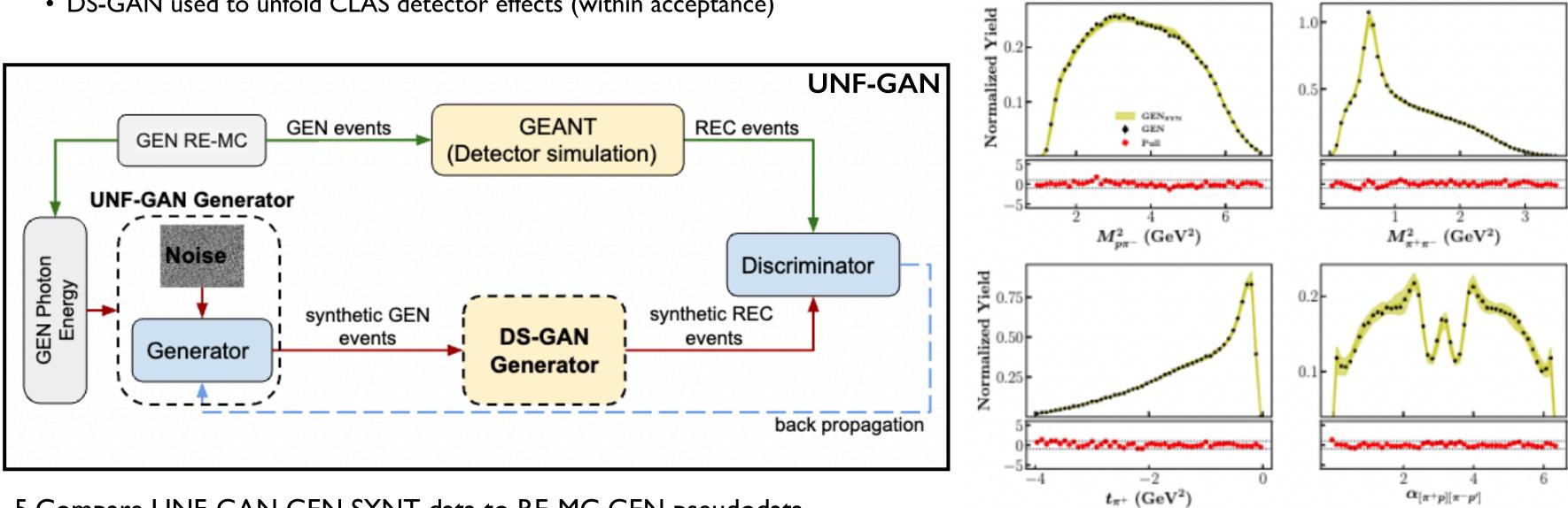




Al for data preservation and interpretation in hadron physics

Deploy the unfolding GAN (UNF-GAN) that includes the DS-GAN, and train it with RE-MC REC pseudodata

- UNF-GAN trained with RE-MC REC pseudodata (exp data proxy)
- DS-GAN used to unfold CLAS detector effects (within acceptance)



5. Compare UNF-GAN GEN SYNT data to RE-MC GEN pseudodata

Good agreement ($\pm |\sigma$) at vertex-level for training variables

Al for data preservation and interpretation in hadron physics

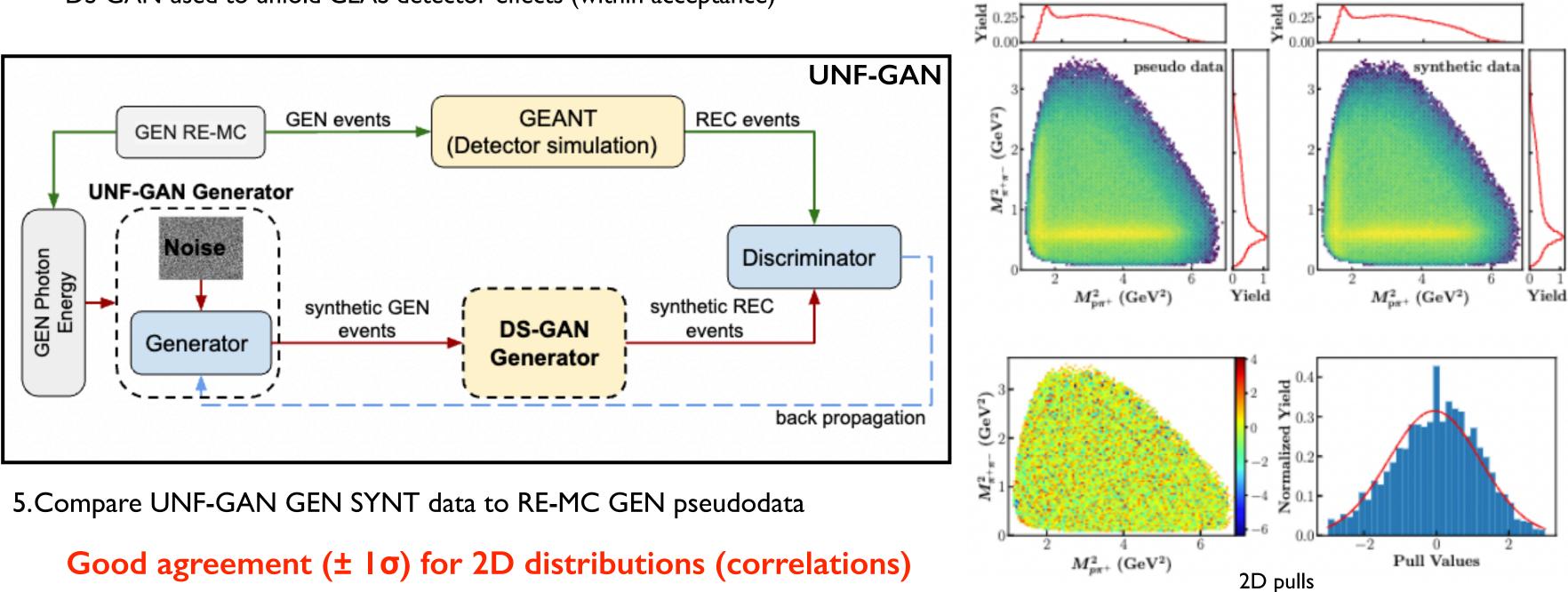
RE-MC GEN pseudodata vs. UNF-GAN SYN data

• Systematic of the full procedure (two GANs) estimated by bootstrap with 20+20 independently trained GANs



Deploy the unfolding GAN (UNF-GAN) that includes the DS-GAN, and train it with RE-MC REC pseudodata

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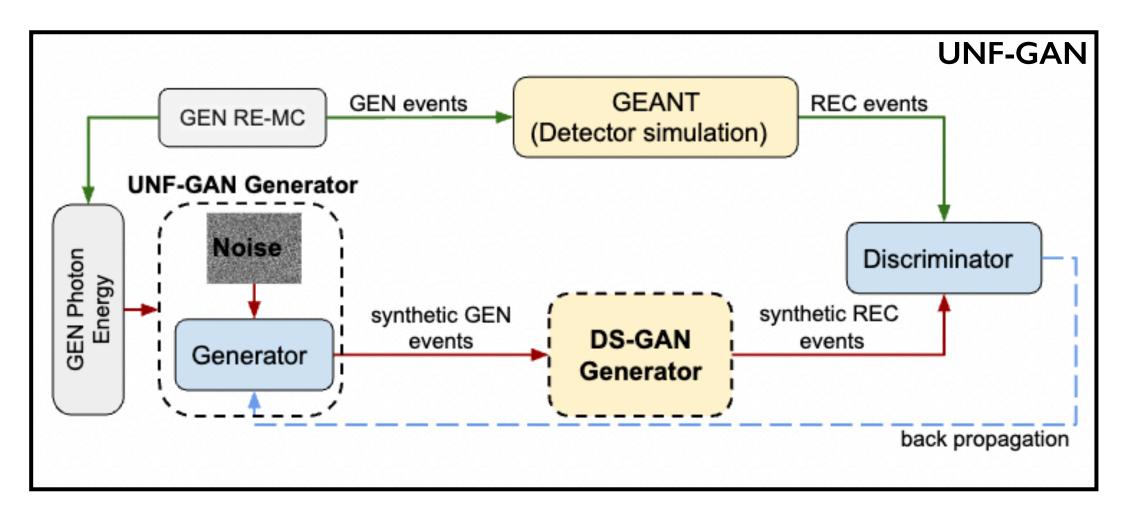


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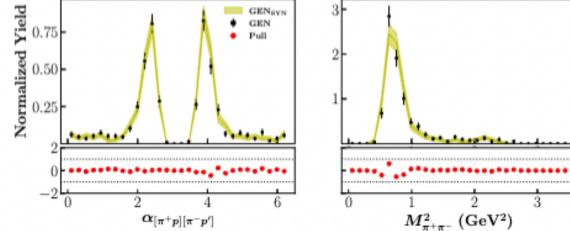


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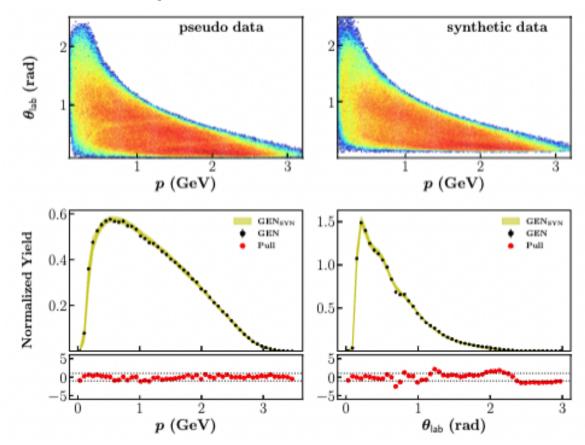
Good agreement ($\pm I\sigma$) for lab variables and in 4D bins



-MC REC pseudodata Distribution in 4D bins



RE-MC GEN pseudodata vs. UNF-GAN SYN data



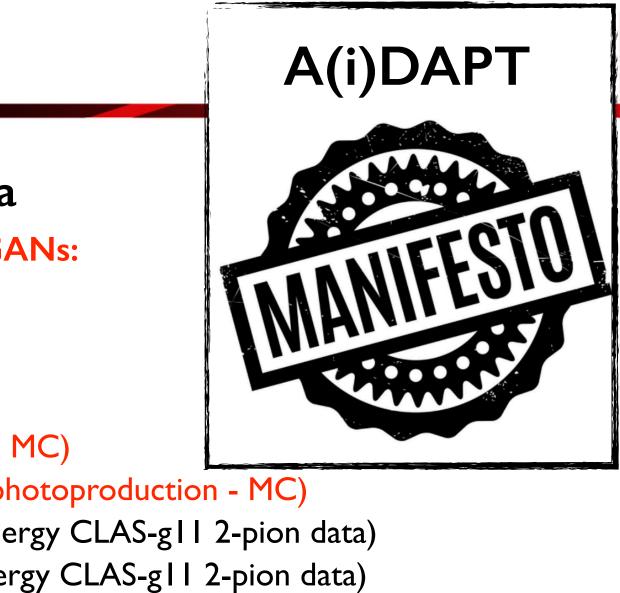
Deploy an AI Generative Model to reproduce NP/HEP data

- Unfold detector effects
 - Smearing
 - Acceptance
- Produce physics observables

- We demonstrated (closure-test) that GANs:
 - I. reproduce detector smearing
 - II. unfold smearing effect
 - **III.** reproduce multi-dim distribution
- Extract few dimensions cross-section (PDF) (e.g. inclusive electron scattering MC)
- Extend the closure test to cross-sections in a mutiD phase-space (e.g. 2-pion photoproduction MC)
- Validate the analysis procedure extracting cross-section from data (e.g. high energy CLAS-g11 2-pion data)
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 - Connect NN features to different physics processes (e.g. baryon and meson resonances in CLAS-g11 2-pion data)



• ...



Al for data preservation and interpretation in hadron physics

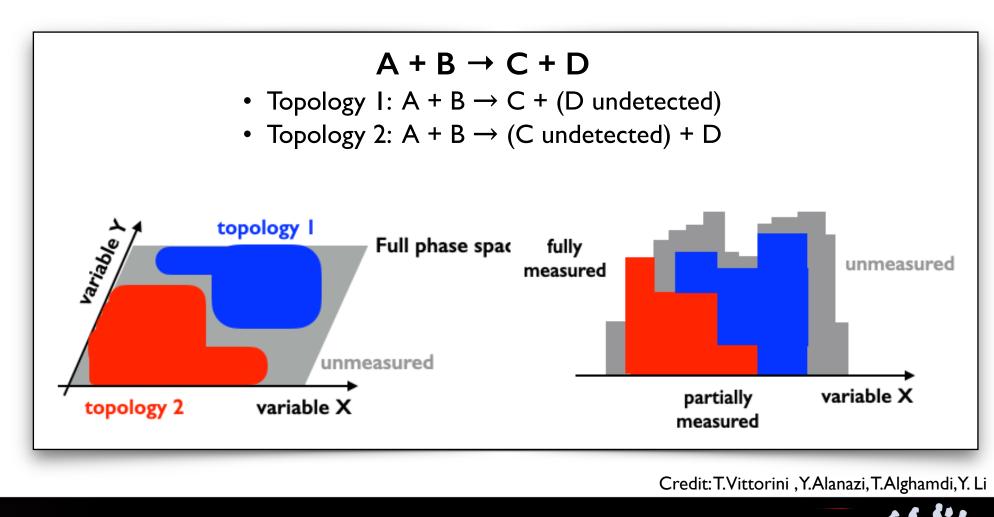
Unfolding detector's acceptance

Acceptance

- Any measurement covers only a fraction of the reaction phase-space
- Difficulty: the cross section (Probability Density Function) can not be constrained by general rules (other than being positive) since it reflects the underlying (a-priori unknown) physics
- No model-independent extrapolation of PDF outside detector's acceptance is possible (based on measured phase space)

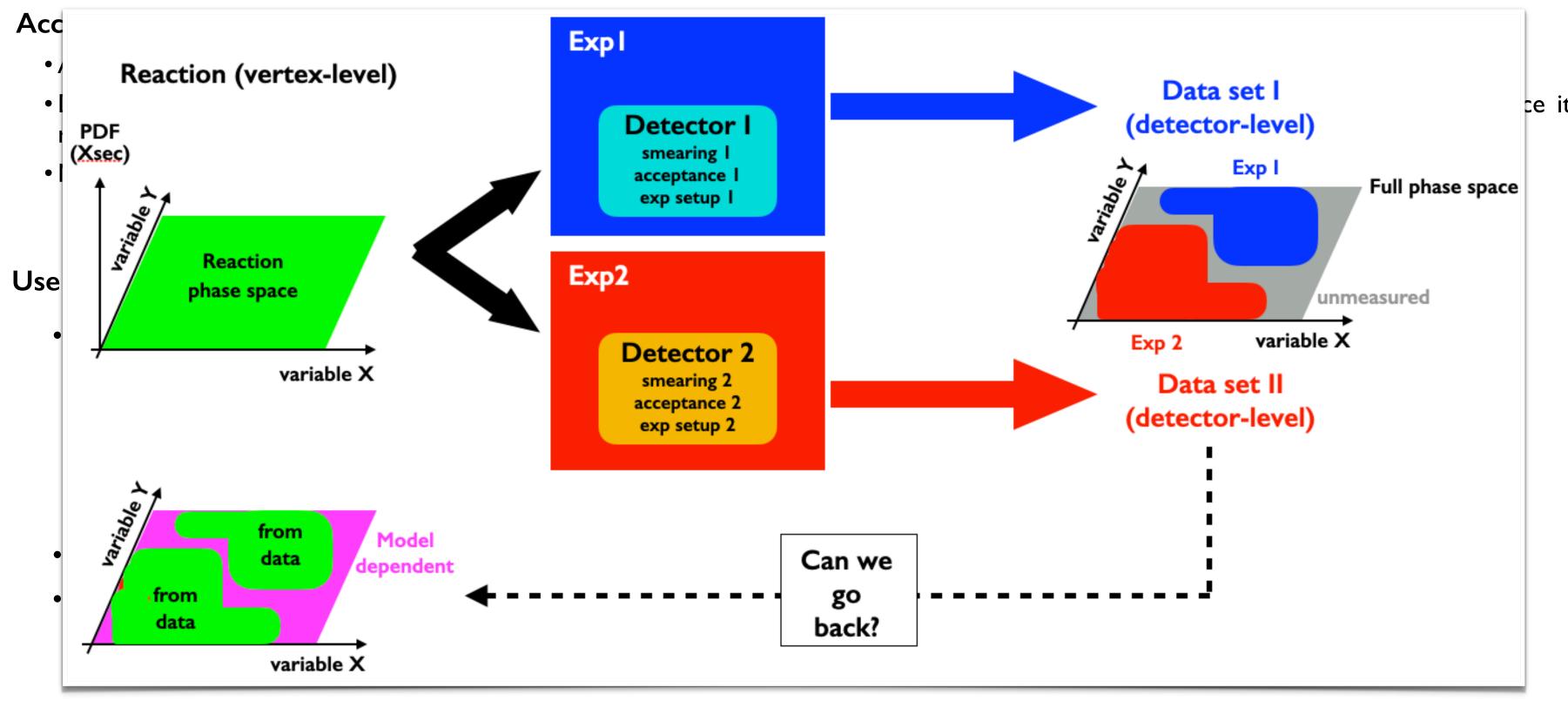
Use GANs to to minimize the model dependence

- extend as much as possible the measured phase space
 - combining vertex-level data from different experiments (after smearing unfolding)
 - combining measurements of different topologies measured by the same detector
- reproduce data within the detector acceptance
- use a physics model to generate pseudo-data (only) in unmeasured regions





Unfolding detector's acceptance





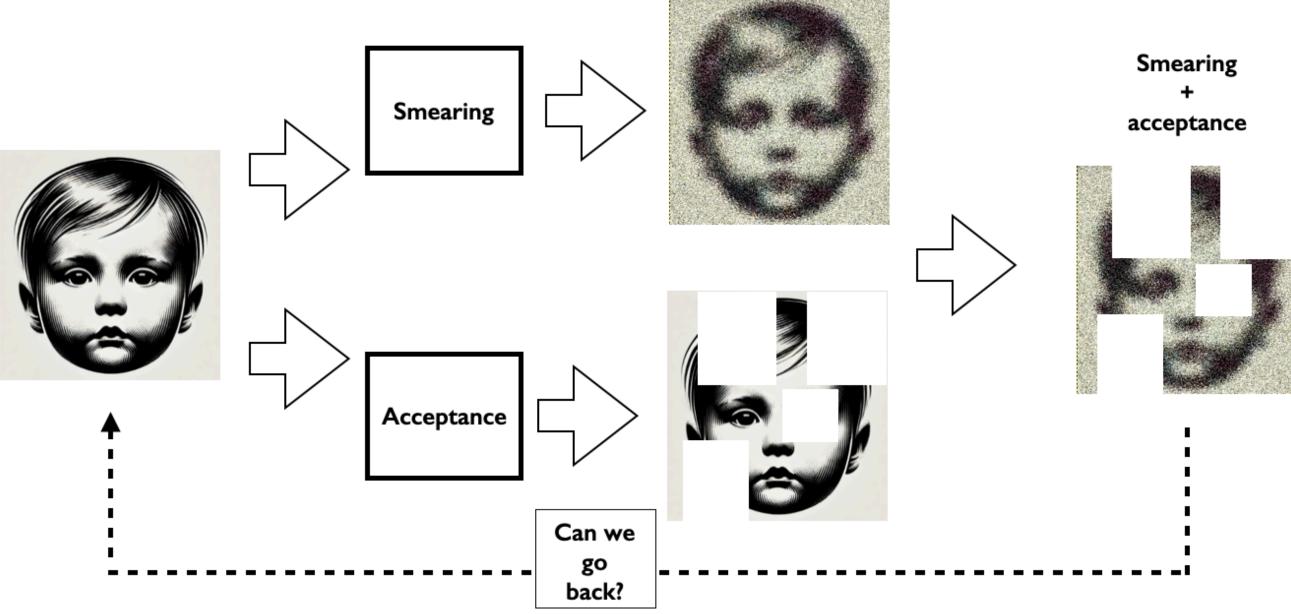
AI for data preservation and interpretation in hadron physics

Credit: T.Vittorini, Y.Alanazi, T.Alghamdi, Y. Li

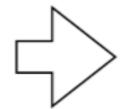
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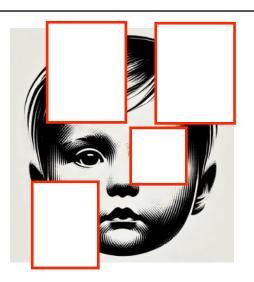


Detector unfolding









Yes! ... but only where we have data ...



filling gaps (only!) with a model

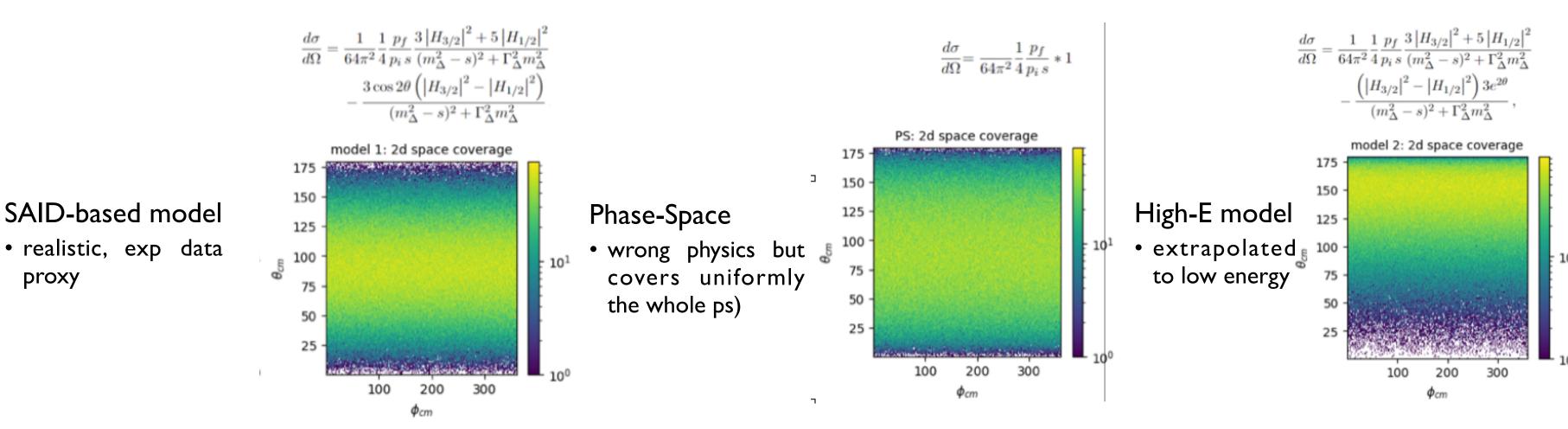




Acceptance unfolding

 $\gamma p \rightarrow \Delta^+(1232) \rightarrow \pi^0 p$

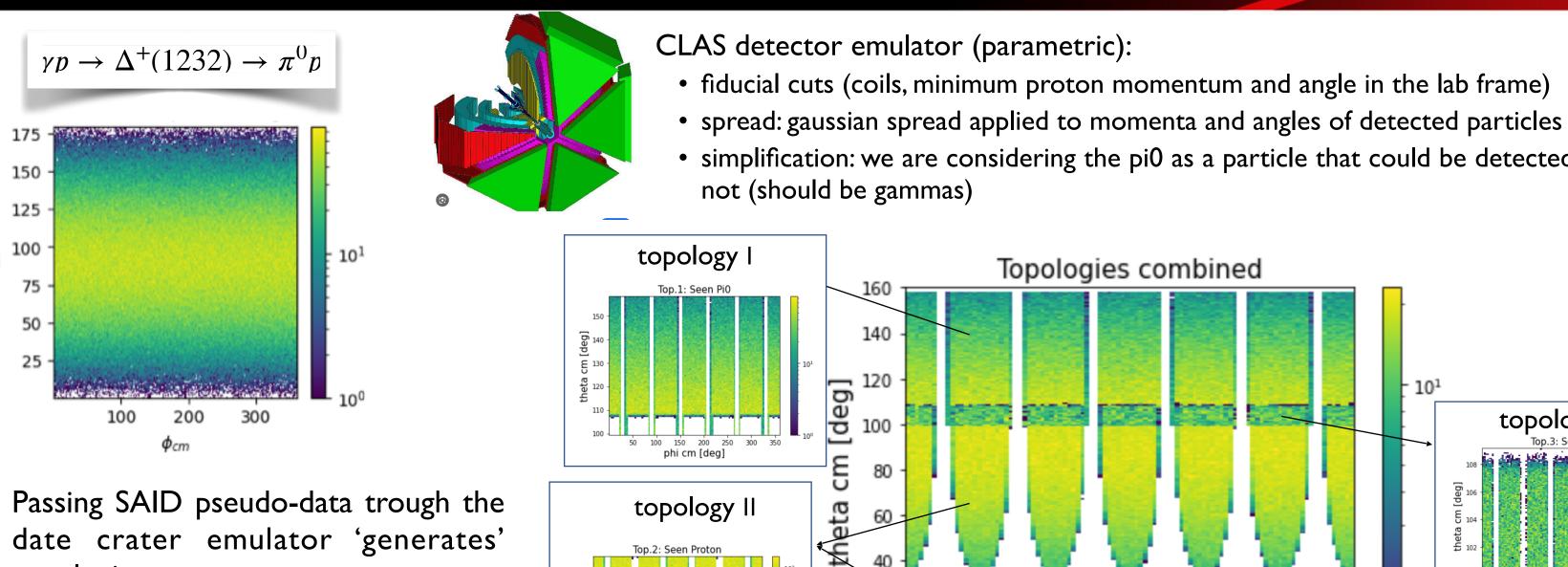
- Simple 2-body process: $\gamma p \rightarrow \Delta^+(1232) \rightarrow \pi^0 p$
- Two independent variables (at fixed E): $\theta_{cm} \phi_{cm}$
- Monte Carlo event generator
- Breit-Wigner with two parameters: m_{Δ} and Γ_{Δ}



GOAL: build a MC generator that starting from ANY models, reproduces data distributions within the acceptance, and provides model-dependent pseudo data in the unmeasured regions (filling the gaps) Credit: T.Vittorini, Y.Alanazi, T.Alghamdi, Y. Li

$$\frac{d\sigma}{d\Omega} \propto \frac{p_f}{p_i s} \sum_{\lambda_\gamma \lambda_p \lambda'_p} \left| (-)^{\lambda_\gamma} H_{|\lambda_\gamma - \lambda_p|} \frac{d_{\lambda_\gamma - \lambda_p, -\lambda'_p}^{3/2}(\theta)}{m_\Delta^2 - s - i\Gamma_\Delta m_\Delta} \right|^2$$

Data proxy (SAID Model)



cm [deg]

Top.2: Seen Proton

Passing SAID pseudo-data trough the date crater emulator 'generates' topologies

- topology $I: \gamma p \rightarrow (p) \pi^0$ (proton missing)
- topology II: $\gamma p \rightarrow p (\pi^0) (\pi^0 \text{ missing})$
- topology III: $\gamma p \rightarrow p \pi^0$ (all detected)
- topology 0: unmeasured

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0

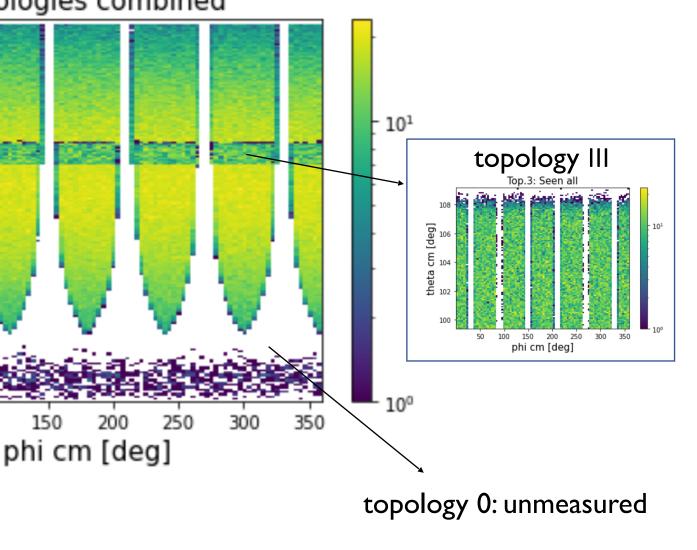
50

100

150

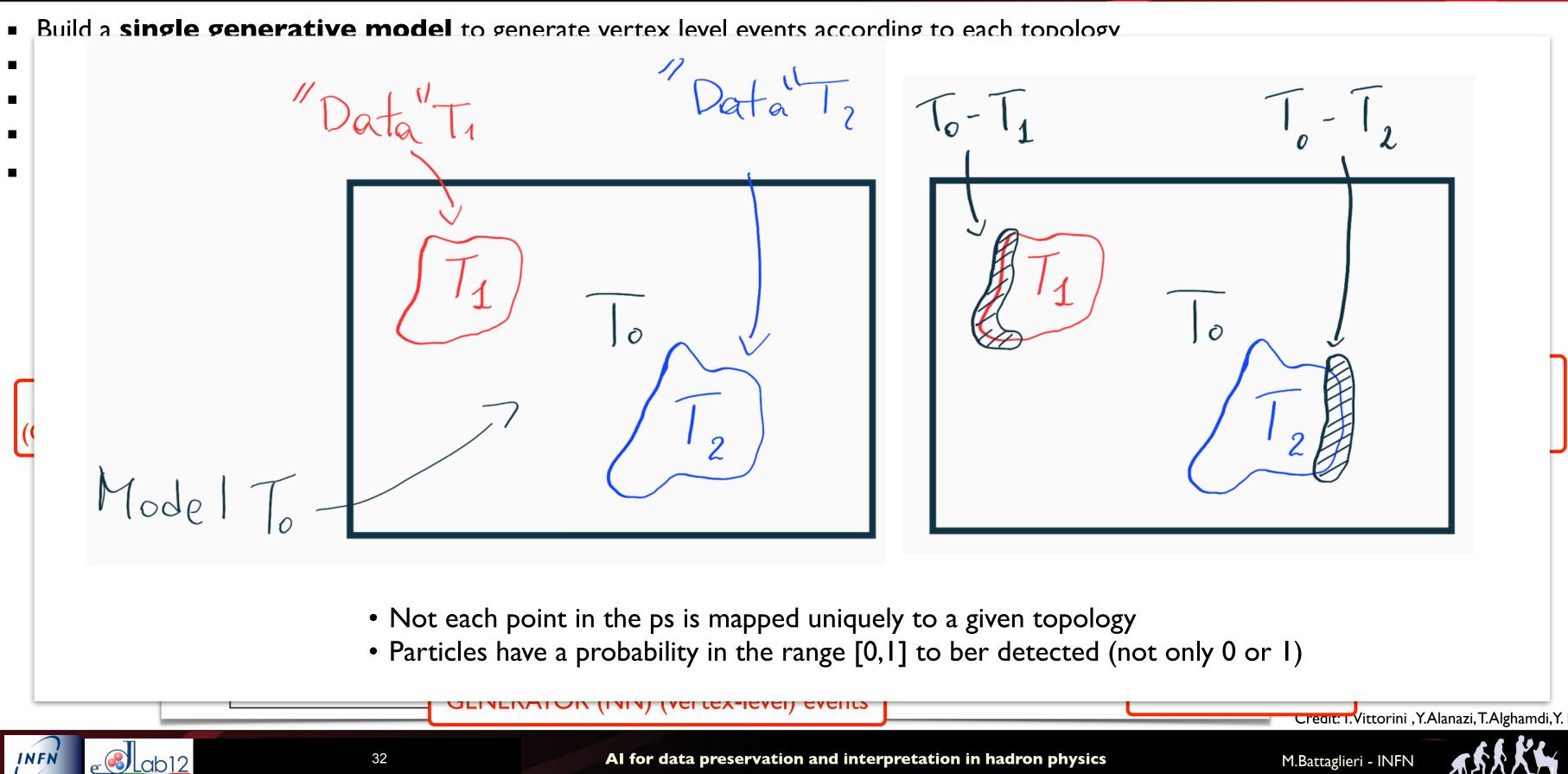


• simplification: we are considering the pi0 as a particle that could be detected or



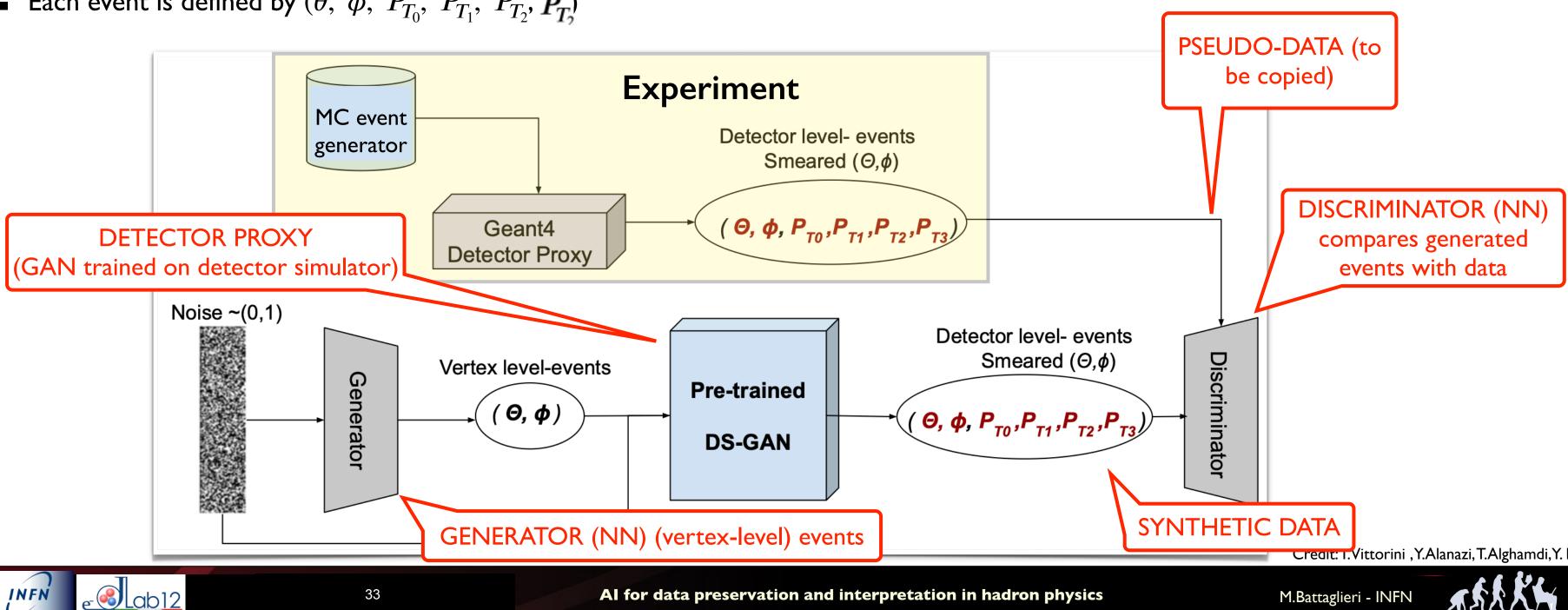
Credit: T.Vittorini, Y.Alanazi, T.Alghamdi, Y. Li

Unfolding detector's acceptance



Unfolding detector's acceptance

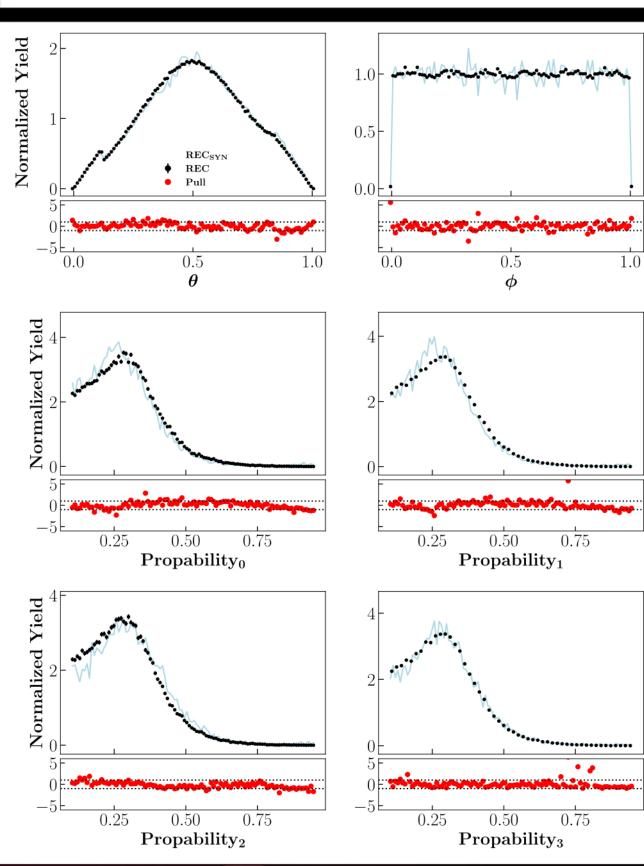
- Build a single generative model to generate vertex level events according to each topology
- Pseudo-data distributed according to the correct "experimental" cross-sections inside the measured regions T_1 and T_2
- Pseudo-data according to a given model in the unmeasured region T_0
- The AI model should include P_{detection} = [0,1] (not only 0 or 1 only true for orthogonal topologies)
- Each event is defined by $(\theta, \phi, P_{T_0}, P_{T_1}, P_{T_2}, P_{T_2})$



Acceptance unfolding

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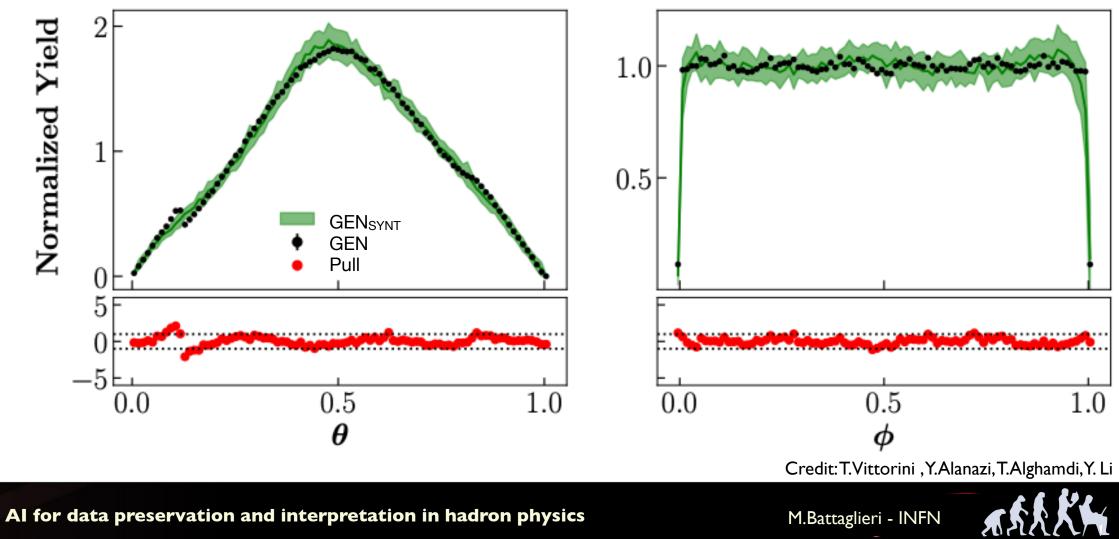
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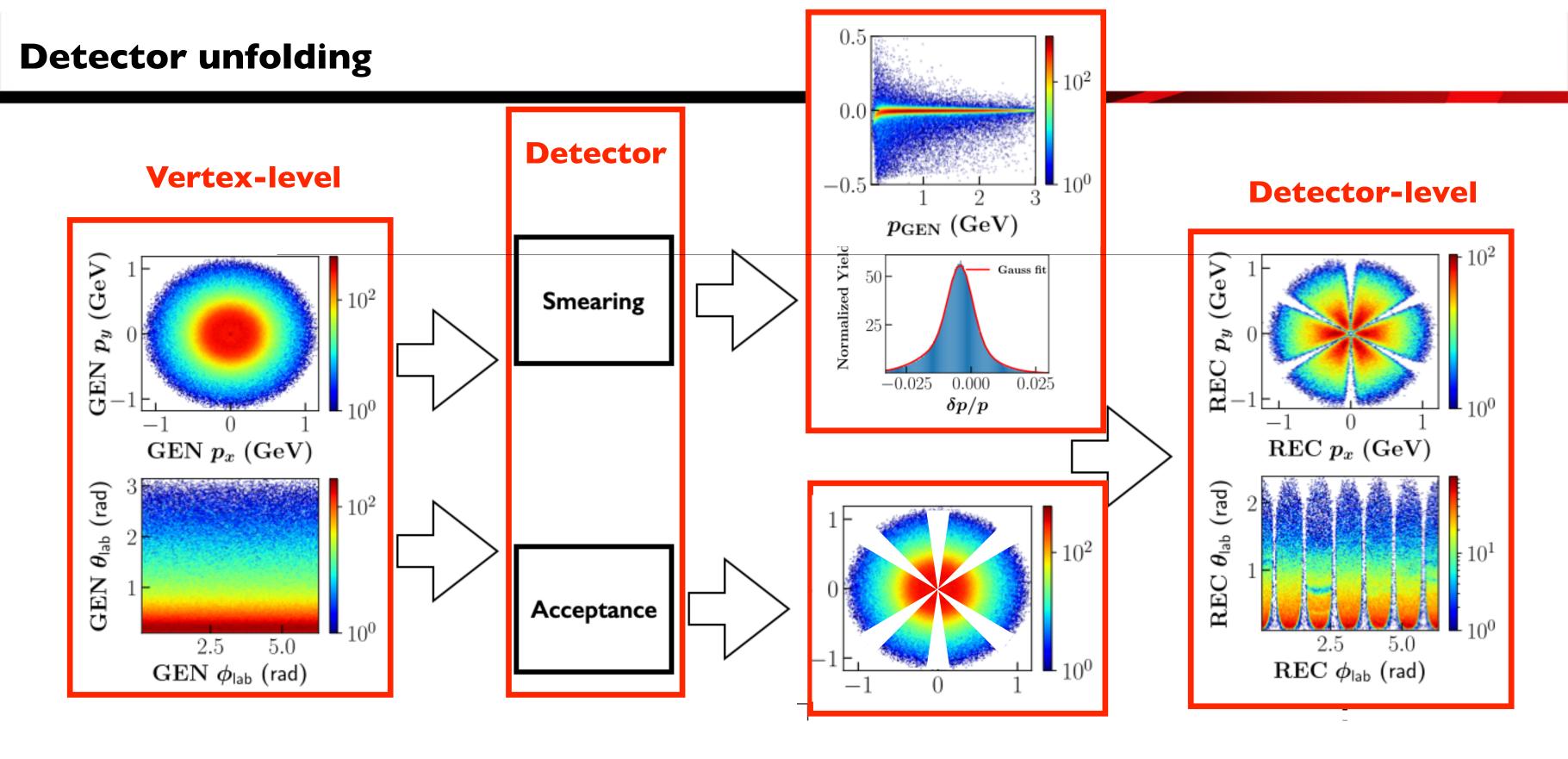


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Detector simulation GAN: REC_{SYNT} vs REC pseudodata

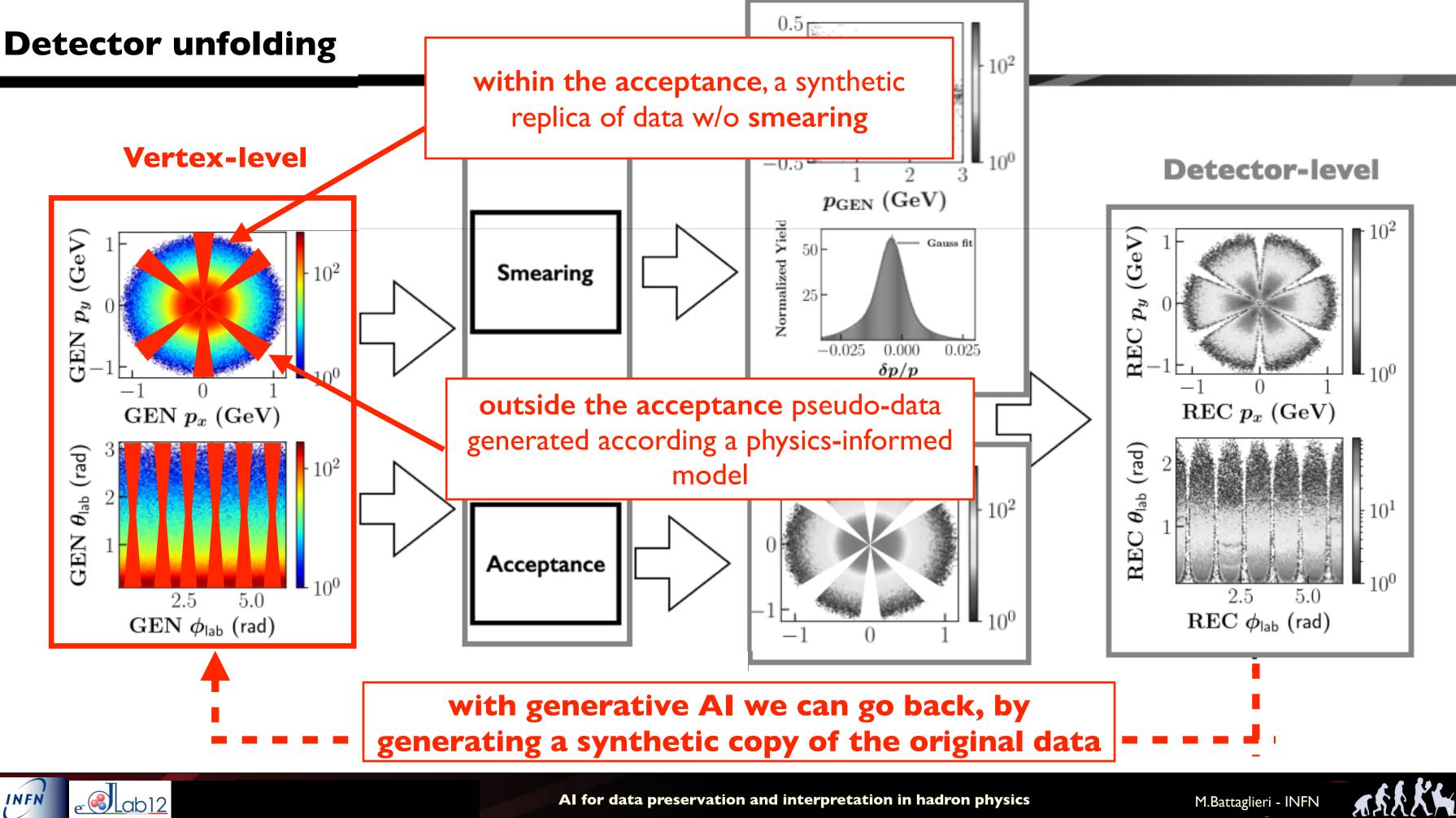
Unfolding GAN : GEN_{SYNT} vs GEN pseudodata













Al for data preservation and interpretation in hadron physics

Deploy an AI Generative Model to reproduce NP/HEP data

- Unfold detector effects
 - Smearing
 - Acceptance
- Produce physics observables

We demonstrated (closure-test) that GANs:

- I. unfold acceptance
- II. combine raw data
- III. model-dep only in unmeasured area
- Extract few dimensions cross-section (PDF) (e.g. inclusive electron scattering MC)
- Extend the closure test to cross-sections in a mutiD phase-space (e.g. 2-pion photoproduction MC)
- Validate the analysis procedure extracting cross-section from data (e.g. high energy CLAS-g11 2-pion data)
- Combine data of the same final state taken in different kinematics (e.g. low energy CLAS-g11 2-pion data)
- Combine data from different final states (e.g. CLAS-g11 3-pion/ ω data)
- Extract physics out of data
 - Extract cross-section and amplitudes in a 2-body reaction (e.g. ππ scattering MC)
 - Extract moments of angular distributions and fit with a model (e.g. 2-pion pthotoproduction model MC)
 - Extract amplitudes from a multi-particle exclusive channel (e.g. CLAS-g11 2-pion data)
 - Extract amplitudes in multi- coupled-channel analysis (e.g. CLAS-g11 2-pion + 3-pion/ω data)
 - Connect NN features to different physics processes (e.g. baryon and meson resonances in CLAS-g11 2-pion data)



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Use GANs to to minimize the model dependence

- extend as much as possible the measured phase space
 - combining vertex-level data from different experiments (after smearing unfolding)
 - combining measurements of different topologies measured by the same detector
- reproduce data within the detector acceptance
- use a physics model to generate pseudo-data (only) in unmeasured regions

Model-independent

• Considering that:

XSec = $\sum |A_i|^2$ $A_i = \sum (Scattering amplitude for each possible process)$

• Impose conditions to the PDF via constraints on scattering amplitudes A_i (parity conservation, analiticity, unitarity, ...)

• A_i are difficult to constrain supervising on XSec

•work in progress



Credit: T.Vittorini, Y.Alanazi, T.Alghamdi, Y. Li

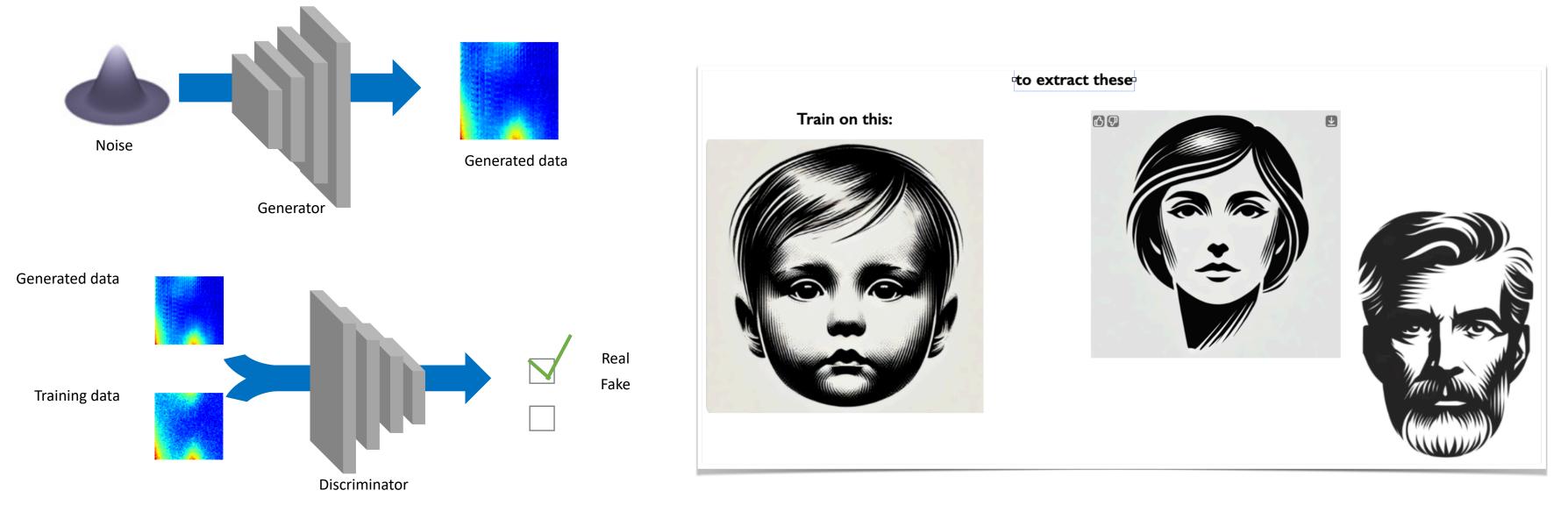


Amplitudes extraction

Goal: Train an AI model to extract amplitudes (complex numbers satisfying some physics constraints, e.g. unitarity) from events generated with Monte Carlo simulations according to a theoretical model (and eventually from experimental data)

Generative Adversarial Networks (GANs):

extract amplitude from differential cross sections, using unitarity constraint





Credit: G.Montaña, A.Pillioni, N.Sato

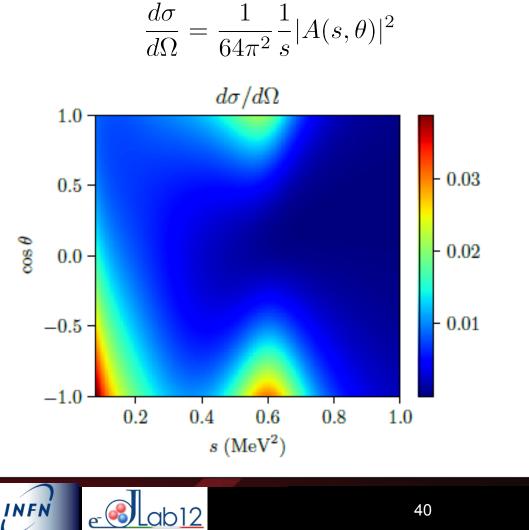


Generative Adversarial Networks (GANs):

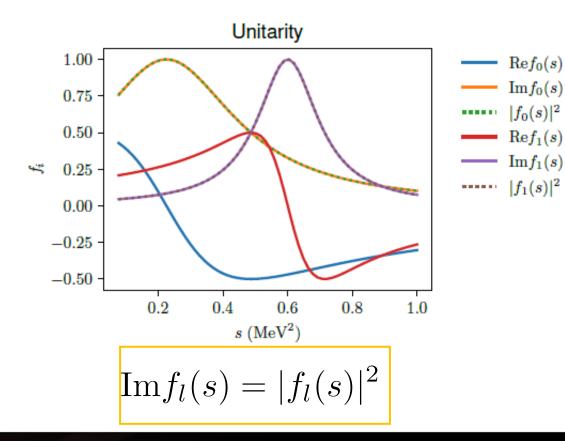
extract amplitude from differential cross sections, using unitarity constraint

Physics model: elastic scattering $\pi^+\pi^- \rightarrow \pi^+\pi^-$

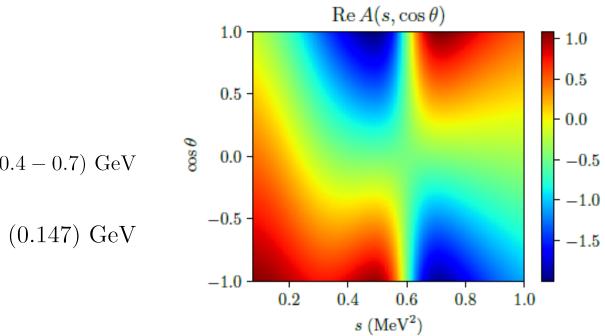
$$A(s, \cos \theta) = \sum_{\ell=0}^{n} (2\ell+1) f_{\ell}(s) P_{\ell}(\cos \theta) \qquad \qquad \int f_{0}(s) = \frac{m_{\sigma}\Gamma_{\sigma}}{m_{\sigma}^{2} - s - i\Gamma_{\sigma}m_{\sigma}} \qquad m_{\sigma} = (0.4 - 0.55) \text{ GeV} , \ \Gamma_{\sigma} = (0.4 - 0.55) \text{ GeV} , \ \Gamma_{\sigma} = (0.4 - 0.55) \text{ GeV} , \ \Gamma_{\sigma} = (0.4 - 0.55) \text{ GeV} , \ \Gamma_{\rho} = (0.4 - 0.$$

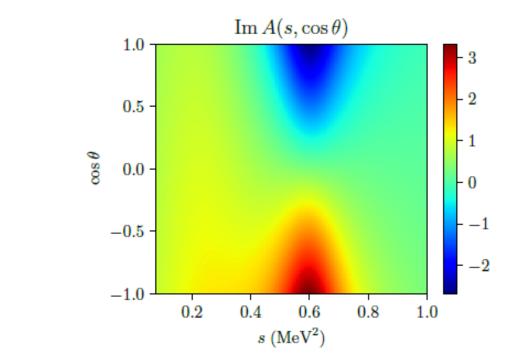


Partial waves satisfy the unitarity condition:







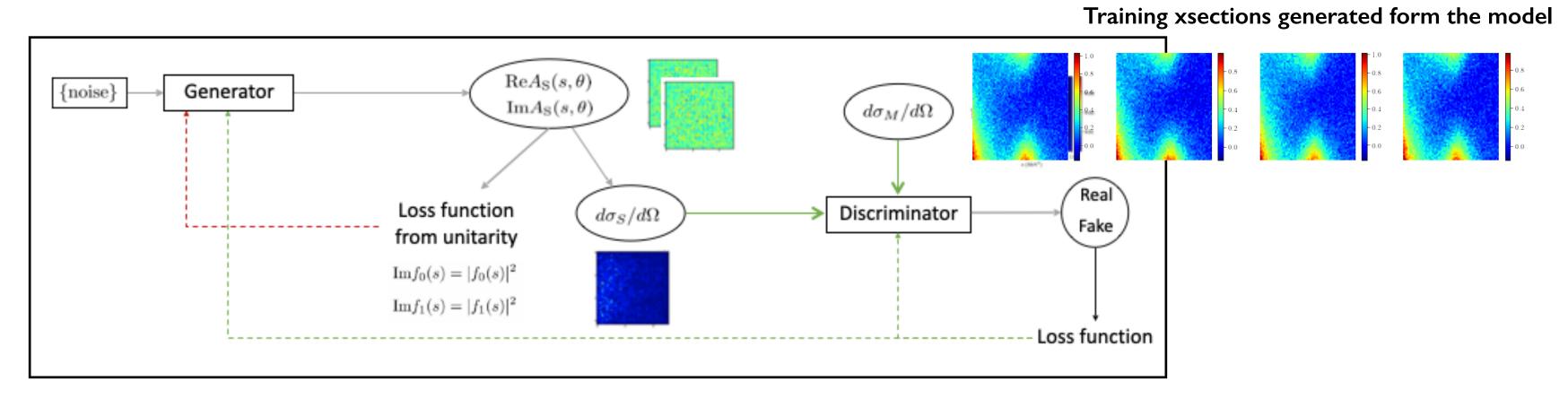


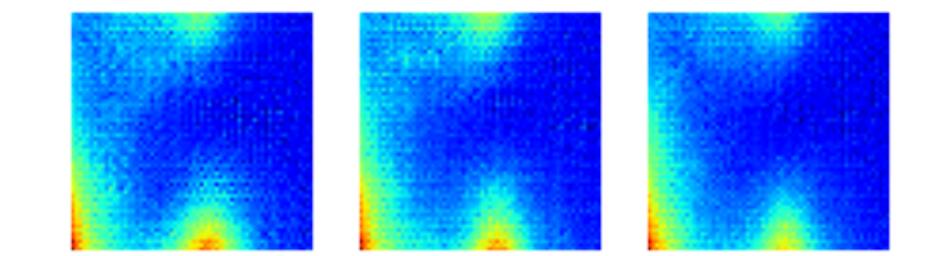
Credit: G.Montaña, A.Pillioni, N.Sato

Amplitudes extraction

B. Generative Adversarial Networks (GANs):

extract amplitude from differential cross sections, using unitarity constraint





Generated samples at the end of the training



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Al for data preservation and interpretation in hadron physics

• GANs training in progress • from preliminary results, GANs are converging

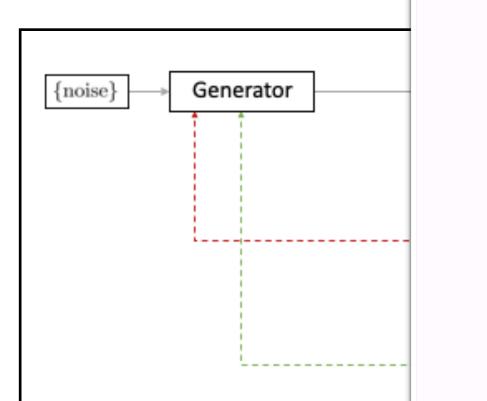
Credit: G.Montaña, A.Pillioni, N.Sato



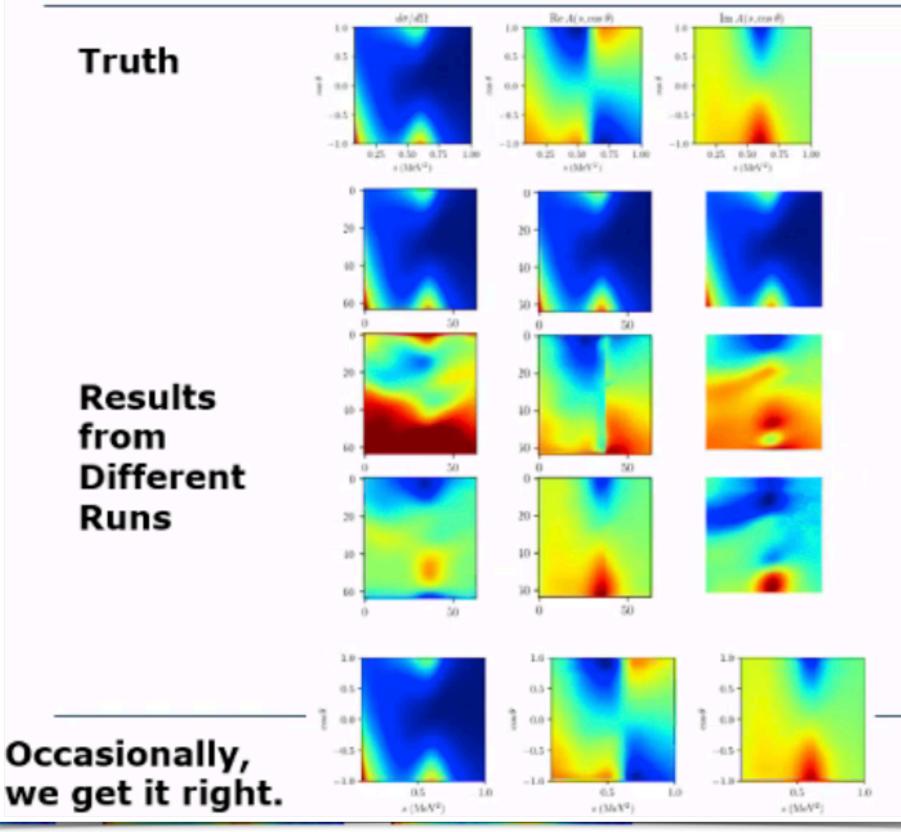


Amplitudes extraction

B. Generative Adversarial Netw extract amplitude from differential cr



Reconstruction of Amplitude from Cross Section

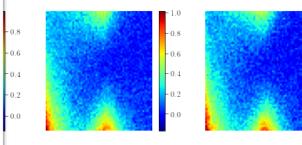


Generated samples at the end of the training

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tions generated form the model



rogress esults, GANs are converging

Credit: G.Montaña, A.Pillioni, N.Sato

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Summary

Intuition:

AI has the potential to surpass traditional analysis methods in nuclear and high-energy physics (NP/HEP), offering a unique and powerful approach to extracting physics insights from data

- Unfold detector's effects to extract physics observables at vertex-level
- Embed (multiD) xsec information (correlations) in a data-trained event generators
- Preserve data in an alternative compact and efficient form
- Provide an alternative way to extract PDFs and amplitudes
- Incorporate Universality (of scattering amplitudes) training a NN with different kinematics of the same final state or different final states (coupled channels)
- Extract NN features related to the underlying physics

Where are we?

- We performed a positive closure test on inclusive electron scattering and multiD reactions (2pion photo production)
- We demonstrate that GANs are a viable tool to unfold detector effects (smearing) to generate a synthetic copy of data
- We demonstrate that original correlations are preserved
- We demonstated that the best option to address detector acceptance limitations
- The first attempt to use a model-independent procedure supervising at level of amplitudes is encouraging

Still a long way to use AI to extract physics from data in an easier and more efficient way, but, step by step, we are demonstrating this intuition is correct!

