

INSTITUTE for  
NUCLEAR THEORY



# A Tale of Two Neutrinos: Sterile neutrinos @ EIC

**New opportunities for BSM searches at the EIC @ CFNS**  
Jul 21-24, 2025

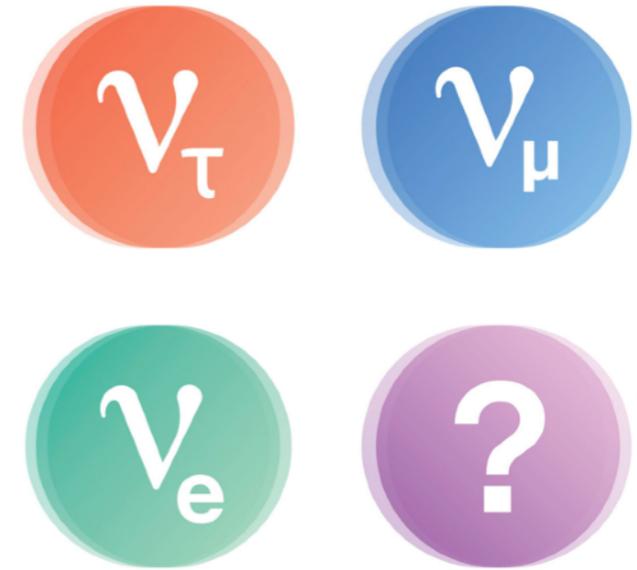
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# Active neutrinos

- Precision measurements at LEP (Z boson decay): only **three** neutrinos couple to the weak force

- Oscillation experiments: active neutrinos are **massive**

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



Mass hierarchy

Lightest neutrino mass

404

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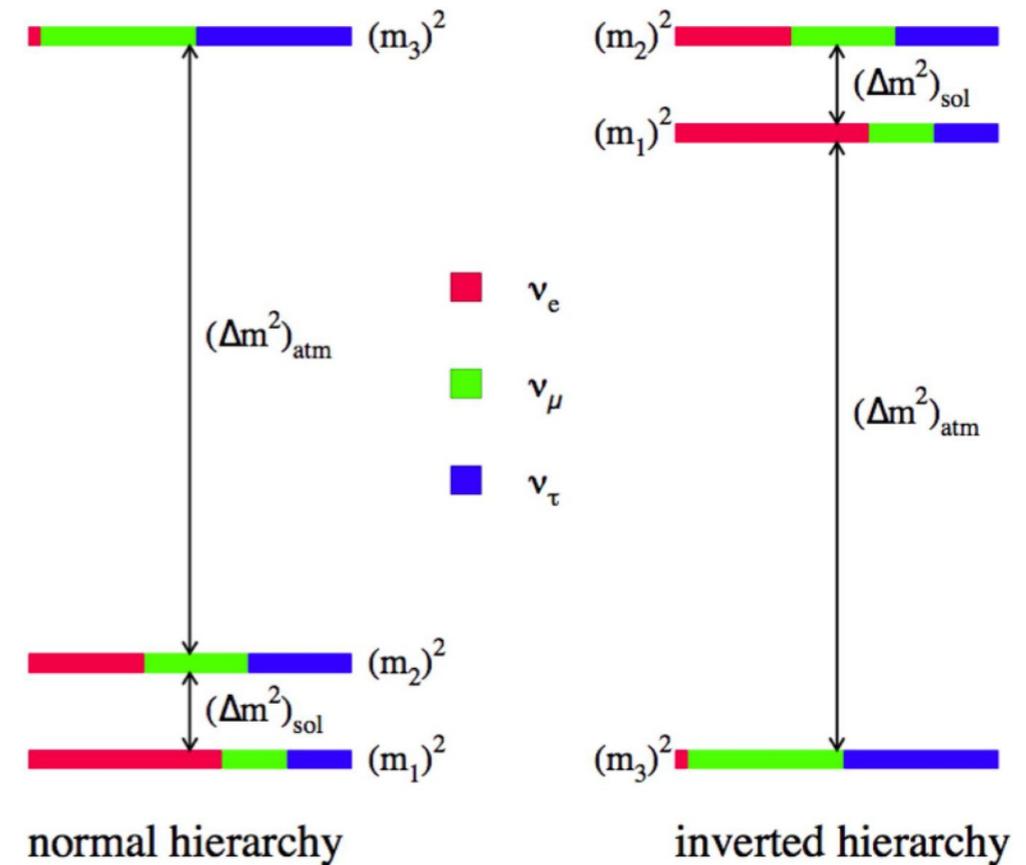
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Origin of tiny mass

Dirac or Majorana

Total number of  $\nu$ 's

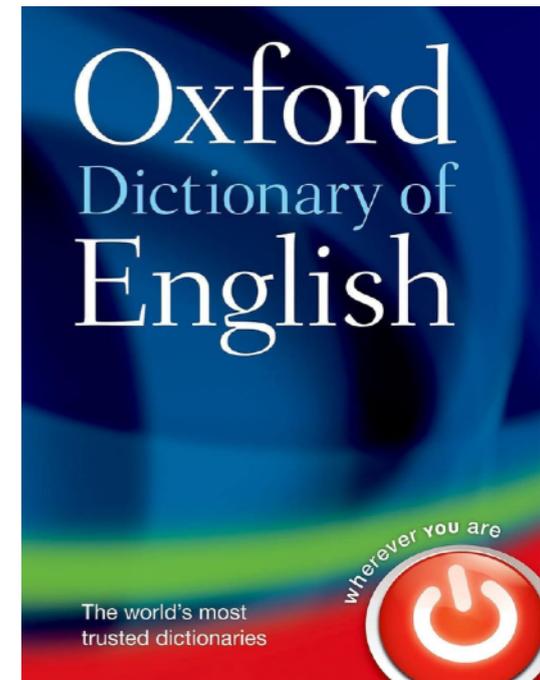
Short-baseline anomalies



# Sterile neutrinos

- Sterile neutrinos are **fermions**, **uncharged** under the SM  $SU(3)_c \times SU(2)_L \times U(1)_Y$  interactions  $\rightarrow$  hence the name 'sterile.'
- They could be charged under new interactions and they could have other quantum numbers, including **lepton number**.
- Sterile neutrinos interact with SM degrees of freedom mostly through the **neutrino portal** ( $LHN$ )  $\rightarrow$  hence the name 'neutrino.'
- They have many names, including gauge-singlet fermions, **right-handed neutrinos**, heavy neutral leptons, and heavy neutrinos.

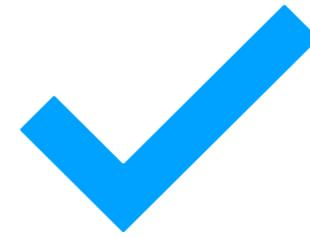
Definition time!



$$U_{\alpha i} \longleftarrow i = 4, \dots$$
$$\uparrow$$
$$\alpha = e, \mu, \tau$$

# Outline

- What are sterile neutrinos?
  - ➔ *New particles closely related to neutrinos*
- Are they a reasonable assumption?
  - ➔ YES!
- Should they exist in nature?
  - ➔ Yes? Maybe?
- Does the EIC provide a discovery opportunity?
  - ➔ Yes\*\*



**Disclaimer:** talk based on a few examples; not a comprehensive list review.

# Sterile neutrinos and the neutrino mass puzzle

- $M_\nu \neq 0$  requires introducing **new degrees of freedom**

$$\mathcal{L}_{\nu\text{SM}} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\nu\text{-mass}} + \dots$$

- **Key questions:**

- ➔ Is *Lepton Number* a good symmetry of the *new dynamics*?
- ➔ What are the sources and mediators of *Lepton Flavor Violation*?

- **Theory checklist:**

- ➔ Smallness of neutrino mass
- ➔ Self-consistency and predictive power

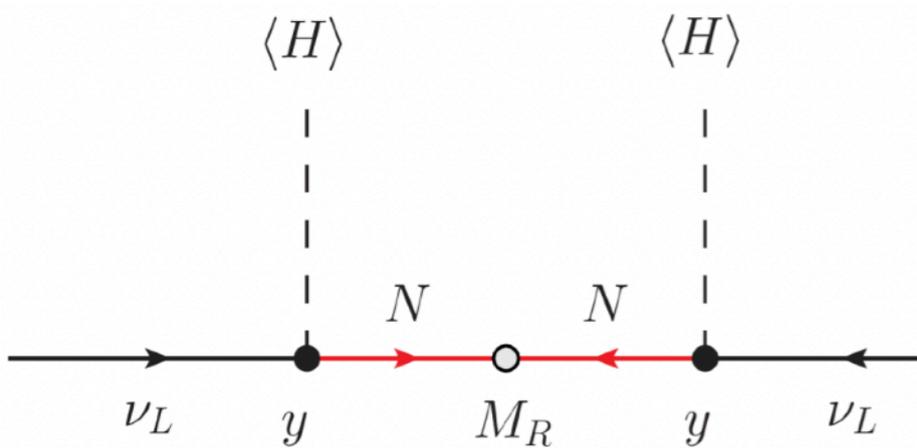
The world of ~~Coca-Cola~~

$M_\nu$

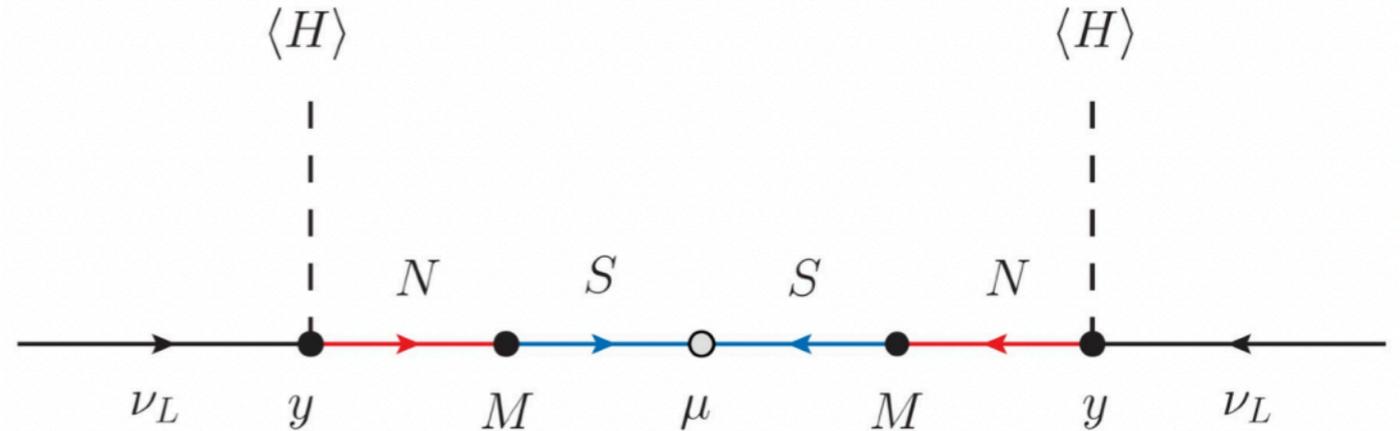


# The world of neutrino mass models

Type-I seesaw [Minkowski,... , 1977]

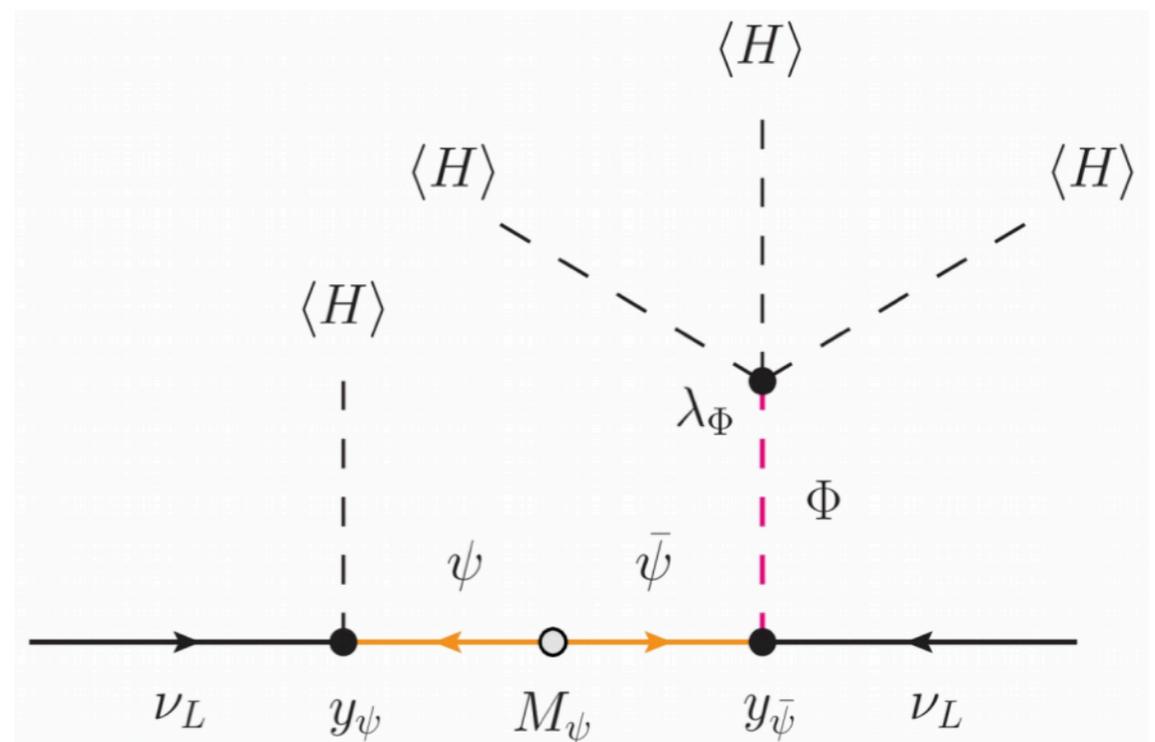
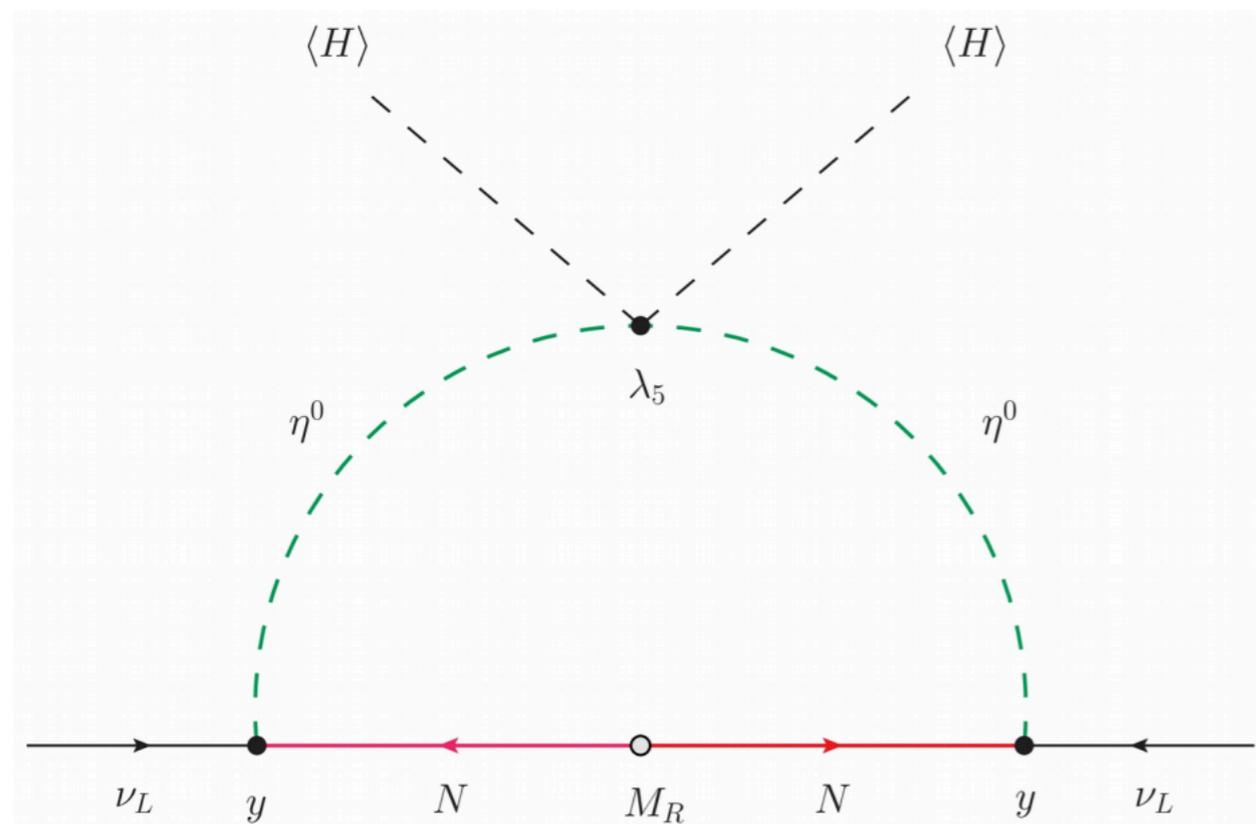


Inverse seesaw [Mohapatra, Valle, 1986]



Scotogenic [Ma, 2006]

BNT [Babu, Nandi, Tavartkiladze, 2009]



# The $\nu$ SM: the simple 3+3 case

- Arguably, the simplest, renormalizable Lagrangian that allows for neutrino mass is:

$$\mathcal{L}_{\nu\text{SM}} \ni \frac{1}{2} M_R^{ij} \bar{\nu}_{Ri}^c \nu_{Rj} + Y_D^{\alpha i} \bar{L}_\alpha \widetilde{H} \nu_{Ri} + \text{h.c.}$$

- Here,  $\nu_{Ri}$  ( $i = 1, 2, 3$  for concreteness) are SM gauge singlet fermions. After symmetry breaking,  $\mathcal{L}_{\nu\text{SM}}$  describes 6 Majorana fermions:

$$\mathcal{L}_{\text{mass}} = -\frac{1}{2} \bar{N}^c M_\nu N + \text{h.c.} \quad N = \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \quad M_\nu = \begin{pmatrix} 0 & \frac{v}{\sqrt{2}} Y_D^* \\ \frac{v}{\sqrt{2}} Y_D^\dagger & M_R^\dagger \end{pmatrix}$$

- After diagonalization, the mass ( $N_m$ ) and flavor ( $N$ ) eigenstates are related by a  $6 \times 6$  unitary matrix  $\mathcal{U}$

$$N = \mathcal{U} N_m \quad \mathcal{U} = \begin{pmatrix} U_{aa} & U_{as} \\ U_{sa} & U_{ss} \end{pmatrix}_{\alpha i}$$

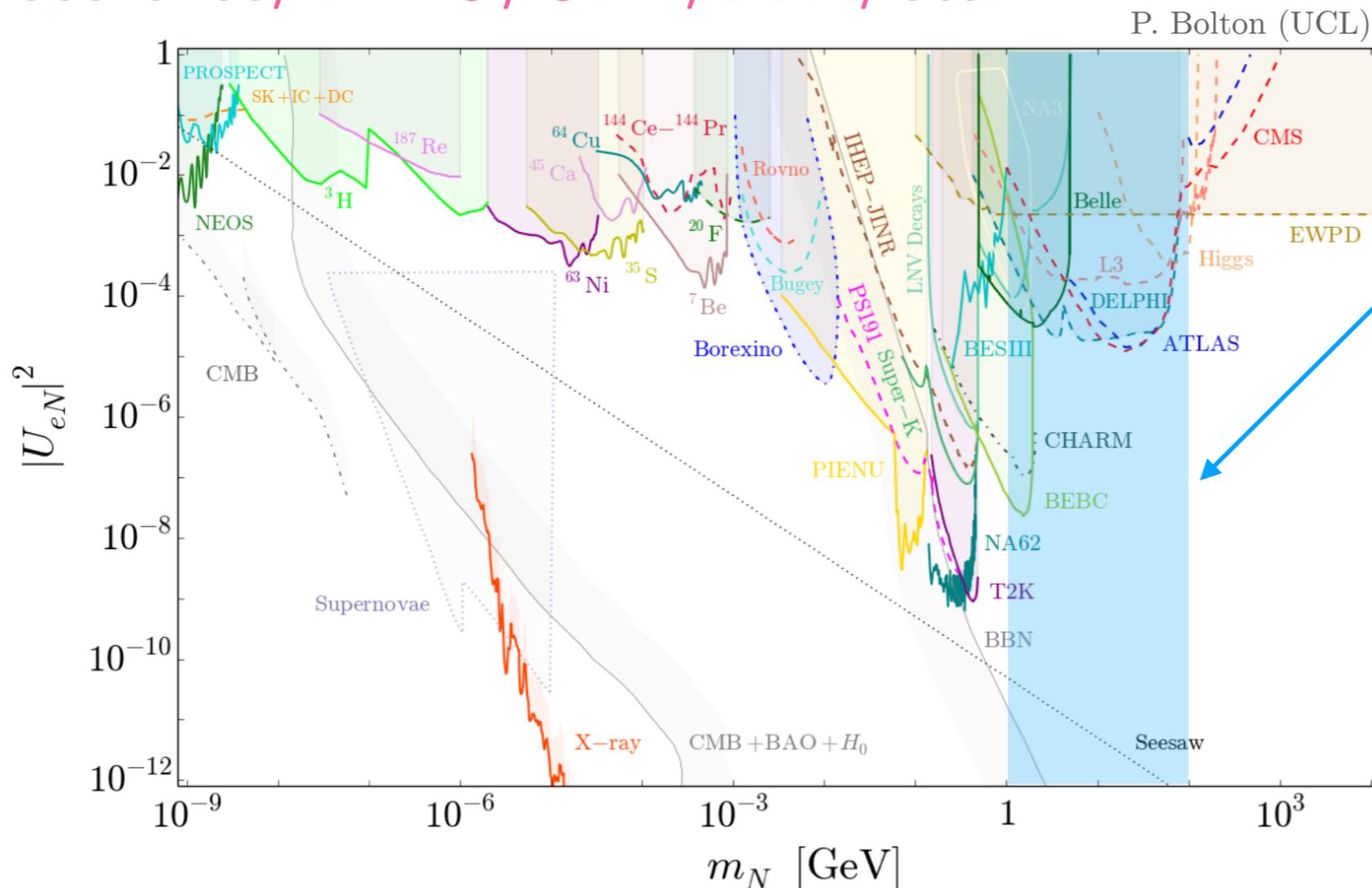


# The $\nu$ SM: the simple 3+3 case

- In virtue of the seesaw mechanism, the mixing angle scales as

$$U_{\alpha i}^2 \sim m_{\text{active}} / M_{\text{sterile}}$$

- Different experimental constraints depending on the mass range: **direct searches, EWPO, CLFV, BBN, etc.**

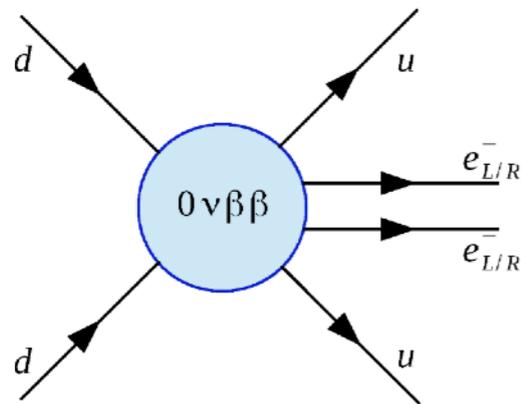


Mass range  $\mathcal{O}(1 - 100 \text{ GeV})$   
relevant for searches at the EIC

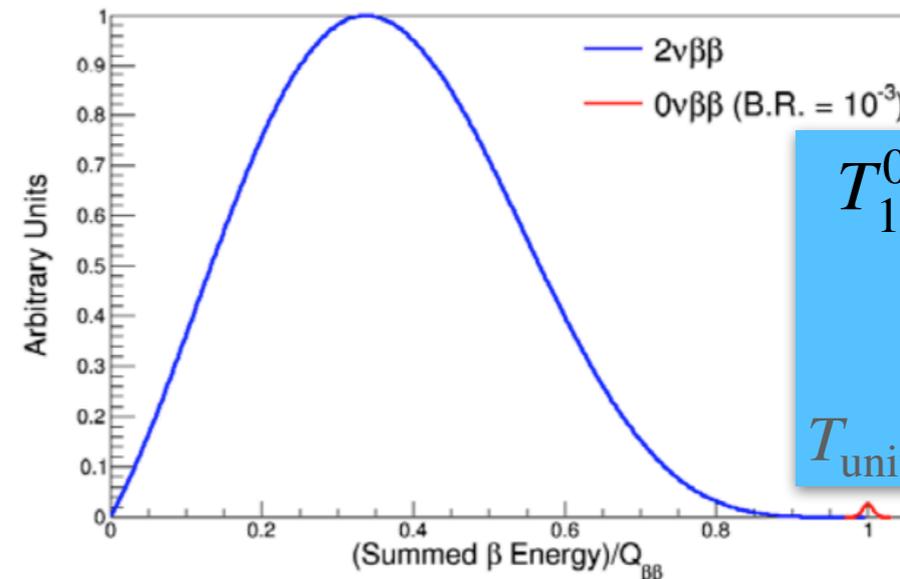
- Prompt & Displaced signatures  
[B. Batell et al. (2022)]

# Detour: $0\nu\beta\beta$ and lepton number violation

$$(N, Z) \rightarrow (N - 2, Z + 2) + 2e^-$$



$$\Delta L = 2$$



$$T_{1/2}^{0\nu} \gtrsim 10^{26} \text{ yr}$$

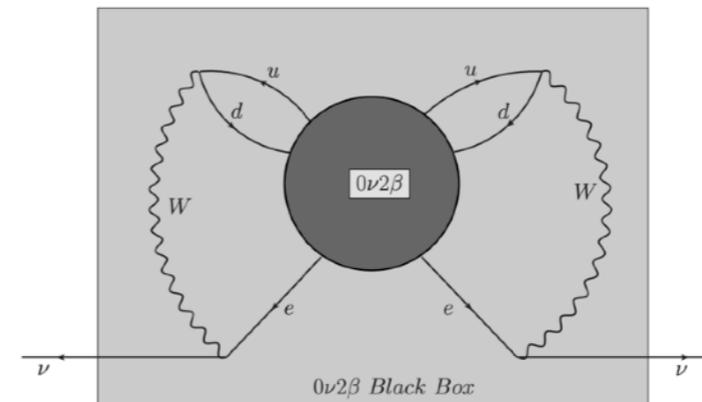
$$T_{1/2}^{2\nu} \simeq 10^{21} \text{ yr}$$

$$T_{\text{universe}} \gtrsim 10^{10} \text{ yr}$$

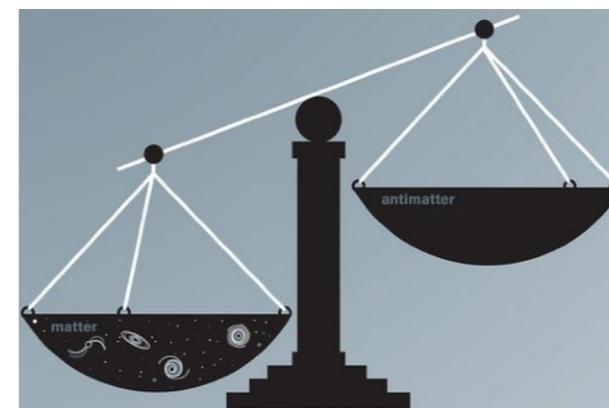
- SM conserves  $(B - L) \rightarrow 0\nu\beta\beta$  observation would signal **new physics**

✓ Demonstrate that neutrinos are **Majorana fermions**

✓ Establish a key ingredient to generate the **baryon asymmetry** via leptogenesis



Schechter-Valle  
1982



Fukugita-Yanagida  
1987

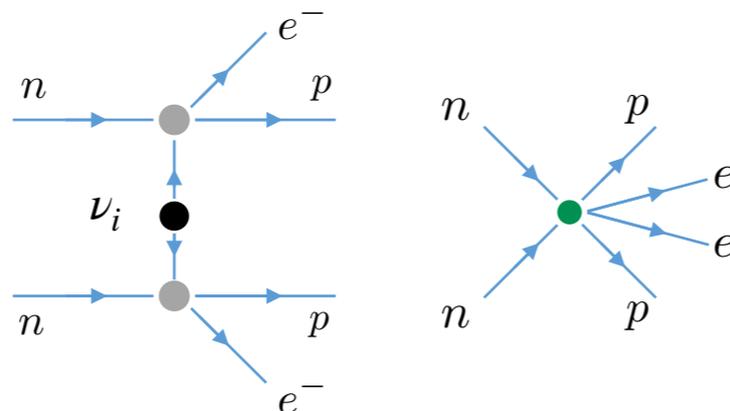
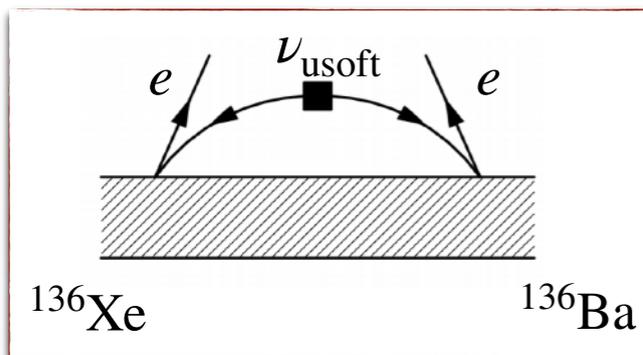
# Detour: $0\nu\beta\beta$ beyond light neutrinos

Ramsey-Musolf et al. '03; Cirigliano et al. '17; Detmold et al. '22; Nicholson et al. '18; Dekens et al. '24

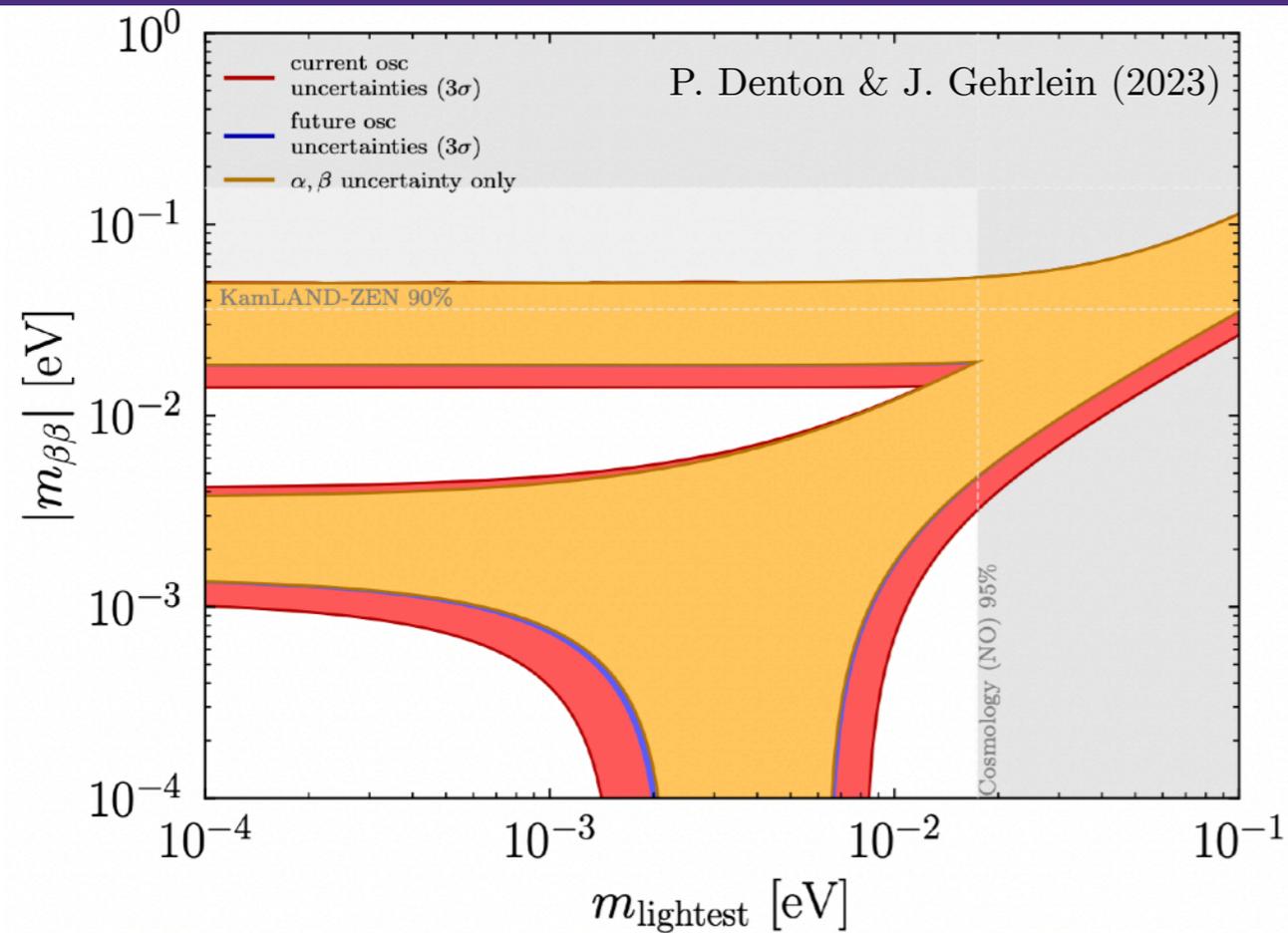
- The contribution of an active or sterile neutrino of mass  $m_i$  to the inverse half-life can be written as:

$$\left(T_{1/2}^{0\nu}\right)^{-1} = g_A^4 G_{01} \left| \sum_{i=1}^6 \frac{\mathcal{U}_{ei}^2 m_i}{m_e} A_\nu(m_i) \right|^2$$

- Here  $A_\nu$  is the amplitude, which receives contributions from (sterile) neutrinos with different momentum scalings, depending on their masses.



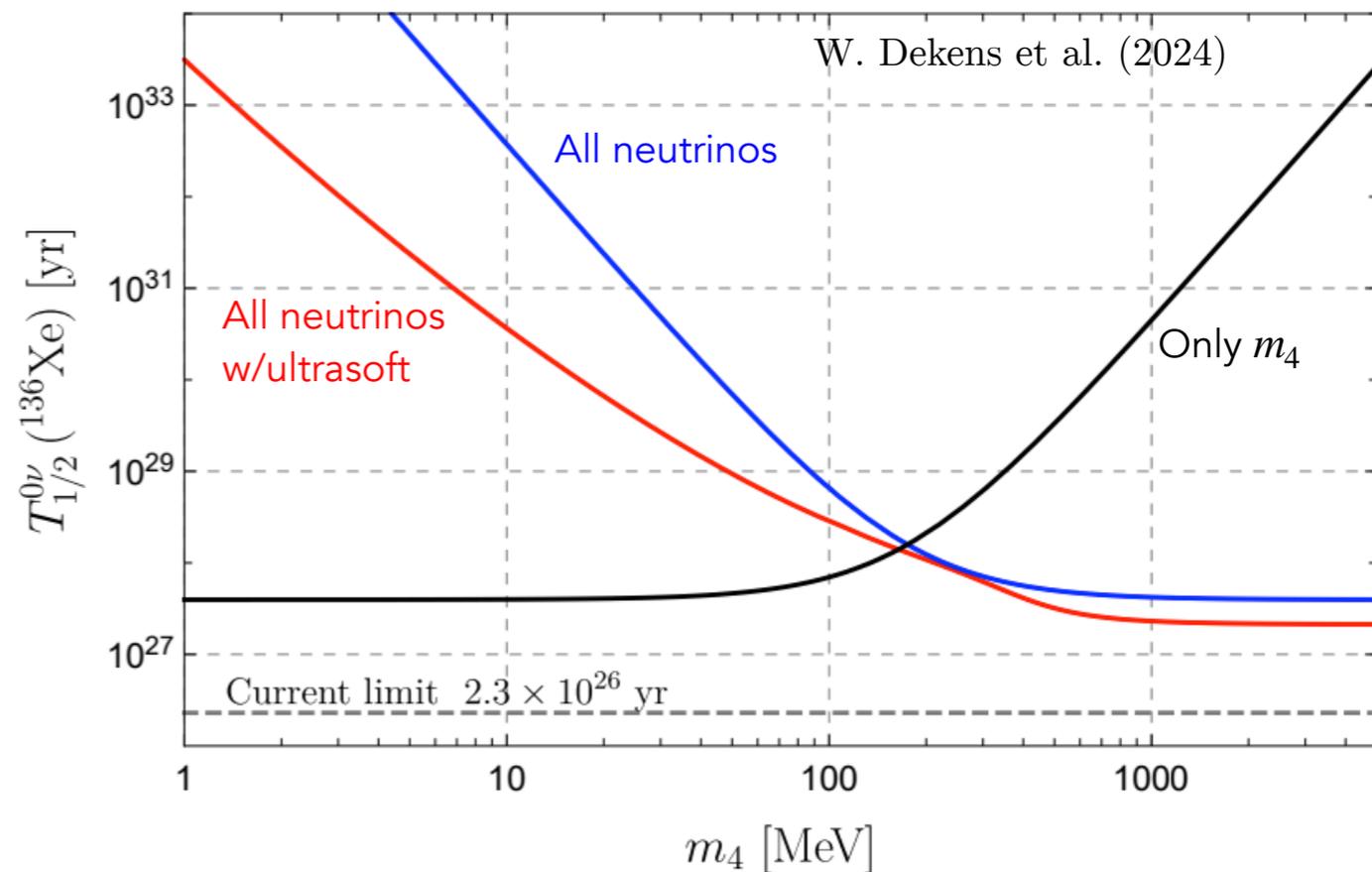
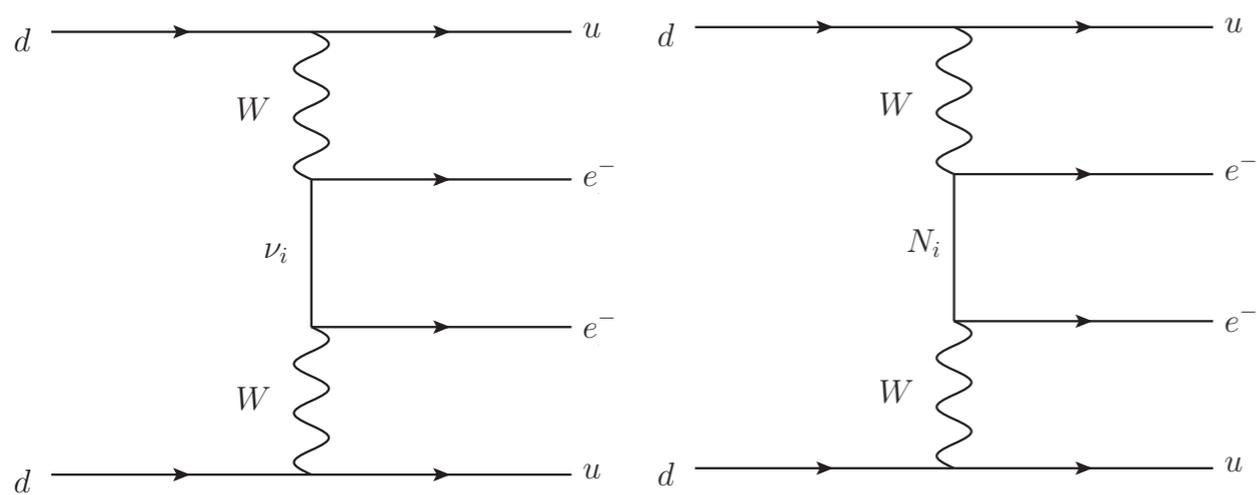
# Detour: $0\nu\beta\beta$ beyond light neutrinos



$$m_{\beta\beta} = \sum_{i=1}^3 \mathcal{U}_{ei}^2 m_i$$

$M_{\text{heavy}} \gg v_{\text{ew}}$

$$|m_{\beta\beta}^{\text{eff}}| = \frac{m_e}{g_A^2 |V_{ud}|^2 \mathcal{M}_\nu^{(3)} G_{01}^{1/2}} (T_{1/2}^{0\nu})^{-1/2}$$

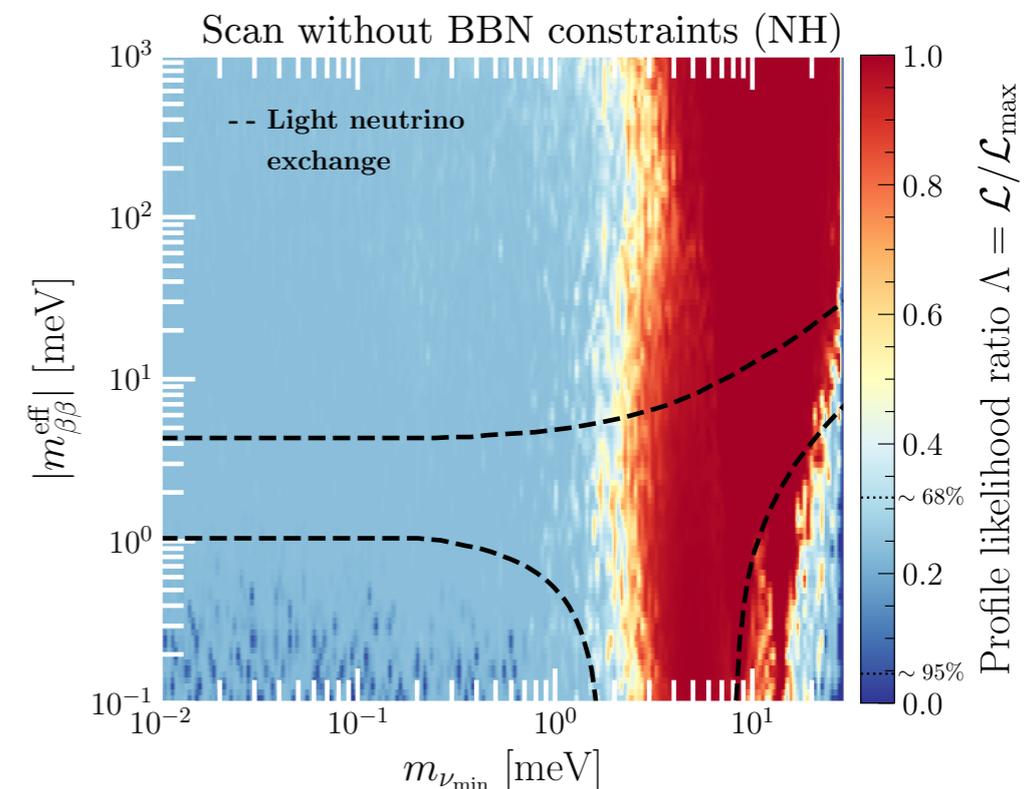
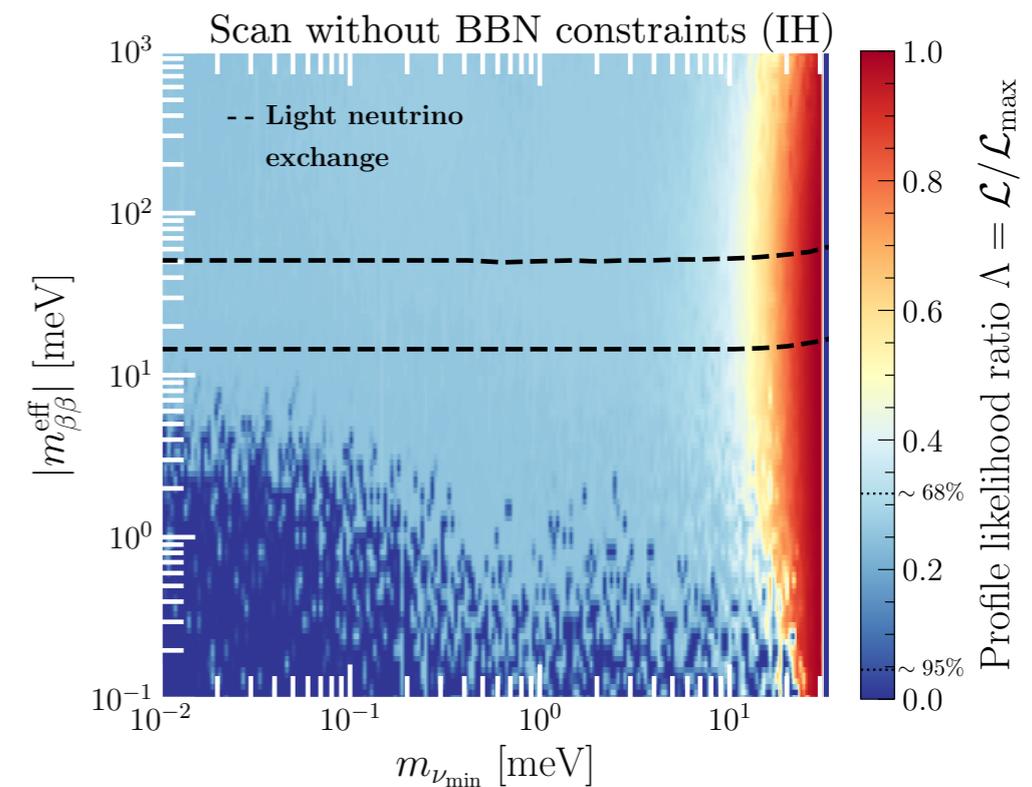


# The $\nu$ SM: the simple 3+3 case

Cirigliano, Dekens, SUQ (2024)

- Implications of current experimental constraints on  $0\nu\beta\beta$  beyond the light-neutrino exchange scenario:
  - Active neutrino oscillation data
  - EW precision observables & CKM unitarity
  - CLFV & Lepton universality
  - Direct searches (colliders, nuclear  $\beta$  decay, beam dumps, etc.)
  - **BBN**
- Broad range of sterile neutrino masses (from 1 keV to 10 TeV) and  $m_{\nu_{\min}} \neq 0$
- $T_{1/2}^{0\nu}$  computed using ChEFT and results presented using profile likelihoods

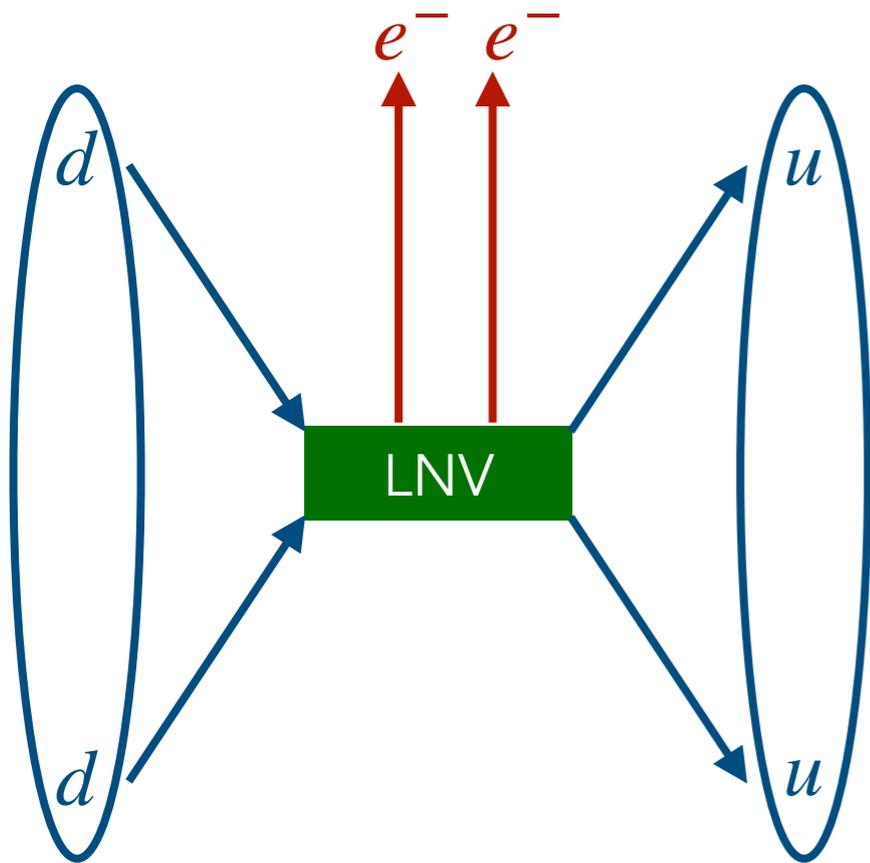
$$\mathcal{L}(\mathbf{x}) \equiv \max_{\mathbf{x}'} \mathcal{L}(\mathbf{x}, \mathbf{x}') , \quad \mathcal{L}(\mathbf{x}, \mathbf{x}') \equiv \mathbb{P}(\text{Data} | \mathbf{x}, \mathbf{x}')$$



# It's all coming back ♪♪



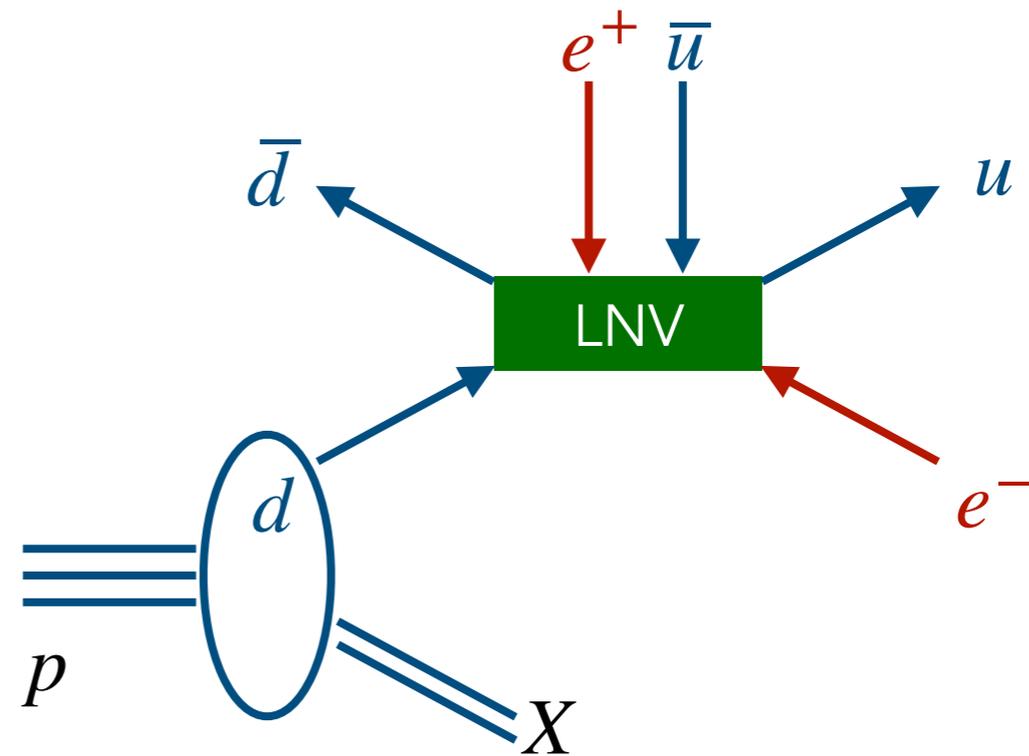
## $0\nu\beta\beta$ decay



$A(Z, N)$

$A(Z + 2, N - 2)$

## $ep$ collisions



$e^- p \rightarrow e^+ jjj$

(Based on M. Ramsey-Musolf's sketch)

# Sterile neutrinos at the EIC

In collaboration with V. Cirigliano, W. Dekens, K. Fuyuto, E. Mereghetti

- Focusing on the **LVN** process:  $e^- p \rightarrow \ell^+ jjj$

- ▶ Only one sterile neutrino  $\rightarrow \{m_N, U_{\ell N}\}$

- ▶ Mass range **3 – 100 GeV**

- ▶ Simulation pipeline:

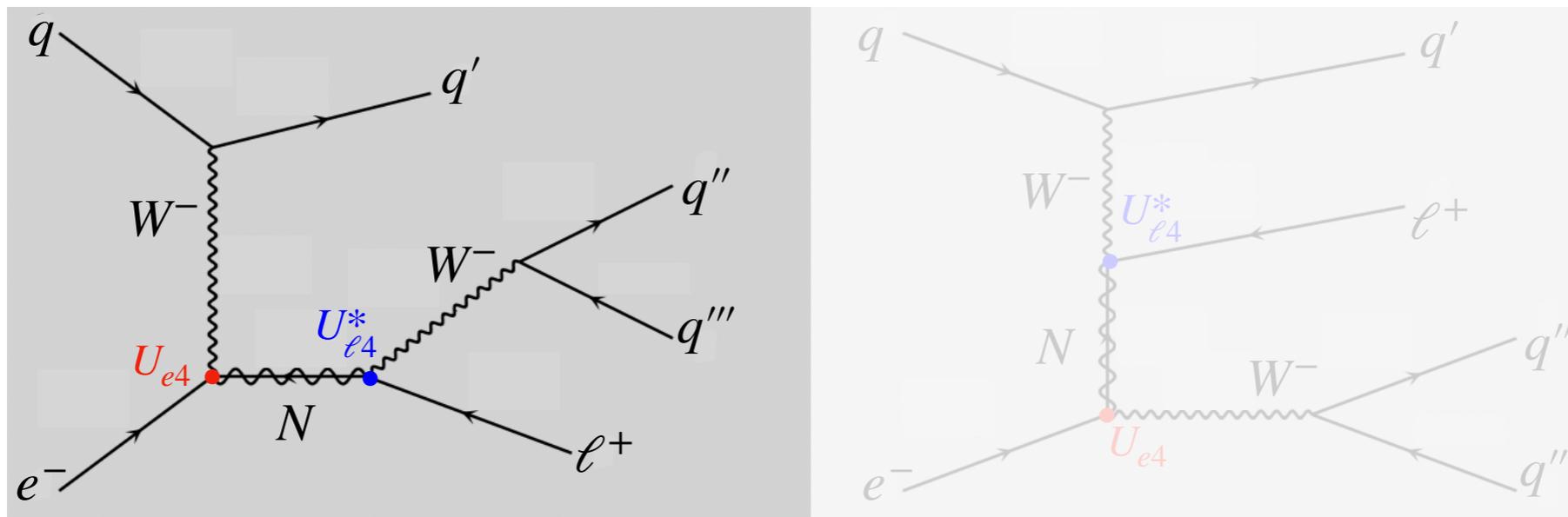
MadGraph + Pythia8 + Delphes M. Arratia, S. Sekula (2021)

- ▶ Zero-background analysis

T. Junk (1999)

P. N. Bhattiprolu, S. P. Martin, J. D. Wells (2020)

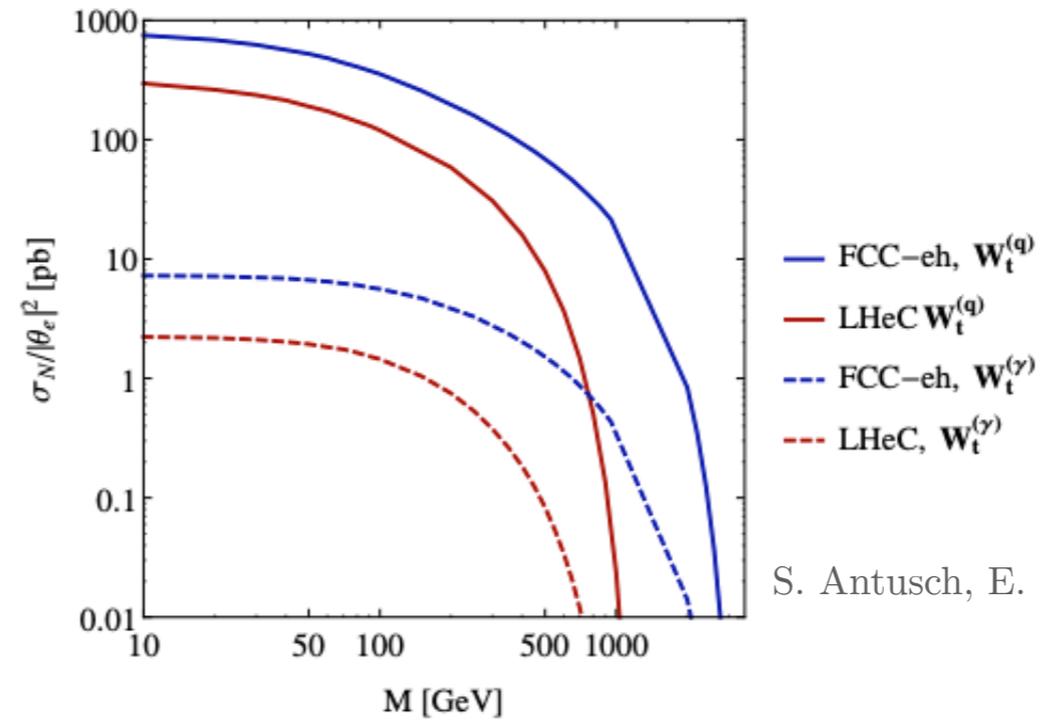
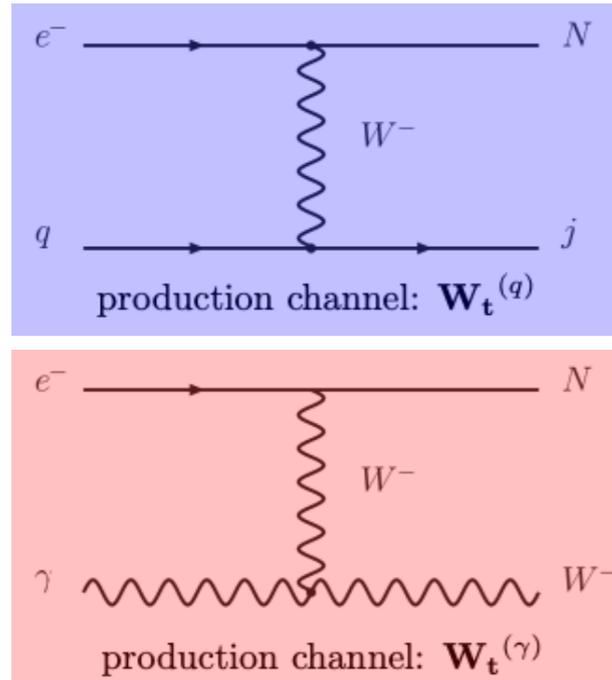
$$\mathcal{L} \supset \frac{g}{\sqrt{2}} U_{iI} W_\mu^- \ell_i^\dagger \bar{\sigma}^\mu N_I + \frac{g}{2c_W} U_{iI} Z_\mu \nu_i^\dagger \bar{\sigma}^\mu N_I + \text{H.c.}$$



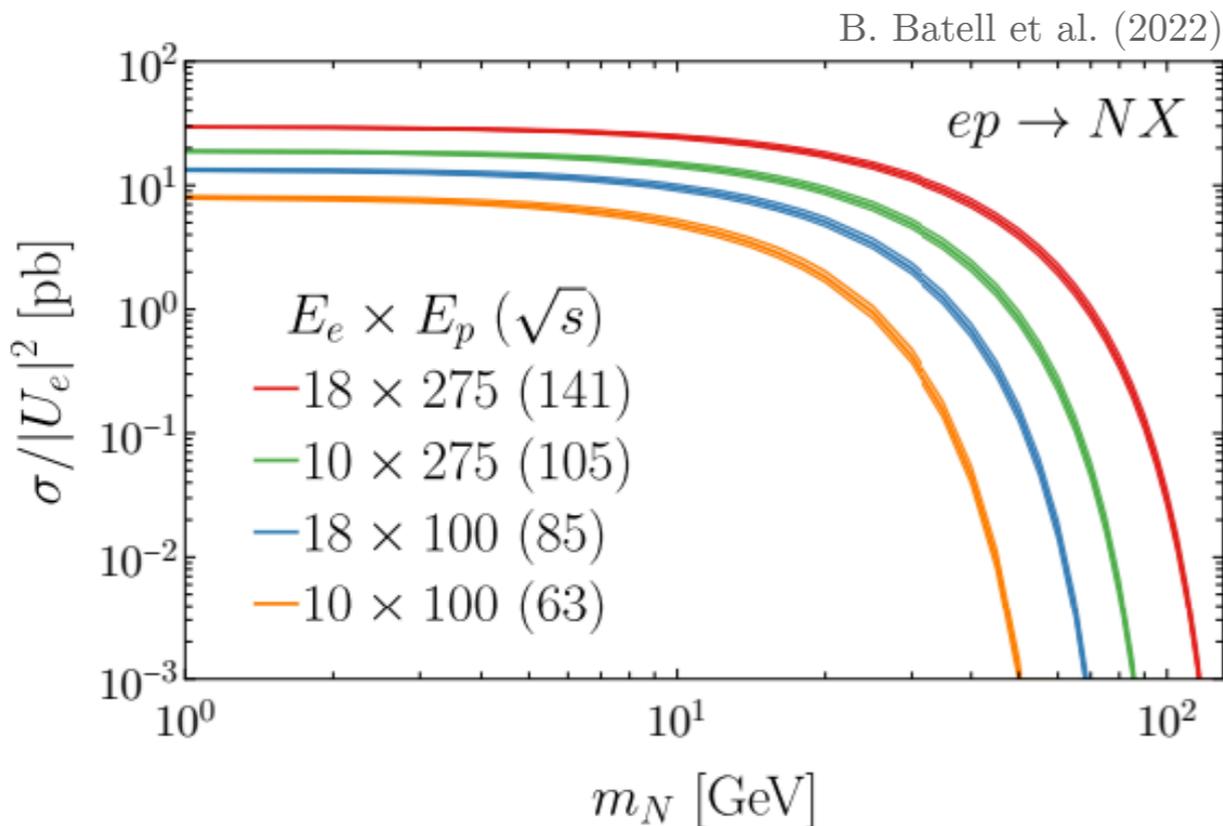
Dominant contribution:  $\sigma \simeq \frac{|U_{eN} U_{\ell N}^*|^2}{\sum_{\ell'} |U_{\ell' N}|^2} \sigma_0(m_N)$

# Sterile neutrinos at the EIC

In collaboration with V. Cirigliano, W. Dekens, K. Fuyuto, E. Mereghetti



S. Antusch, E. Cazzato, O. Fischer (2016)



## EIC settings:

✓  $\sqrt{s} = 141 \text{ GeV}$

✓  $\mathcal{L} = 100 \text{ fb}^{-1}$

✓  $P_e = -70 \%$

✓ General-purpose detector (Yellow Report)

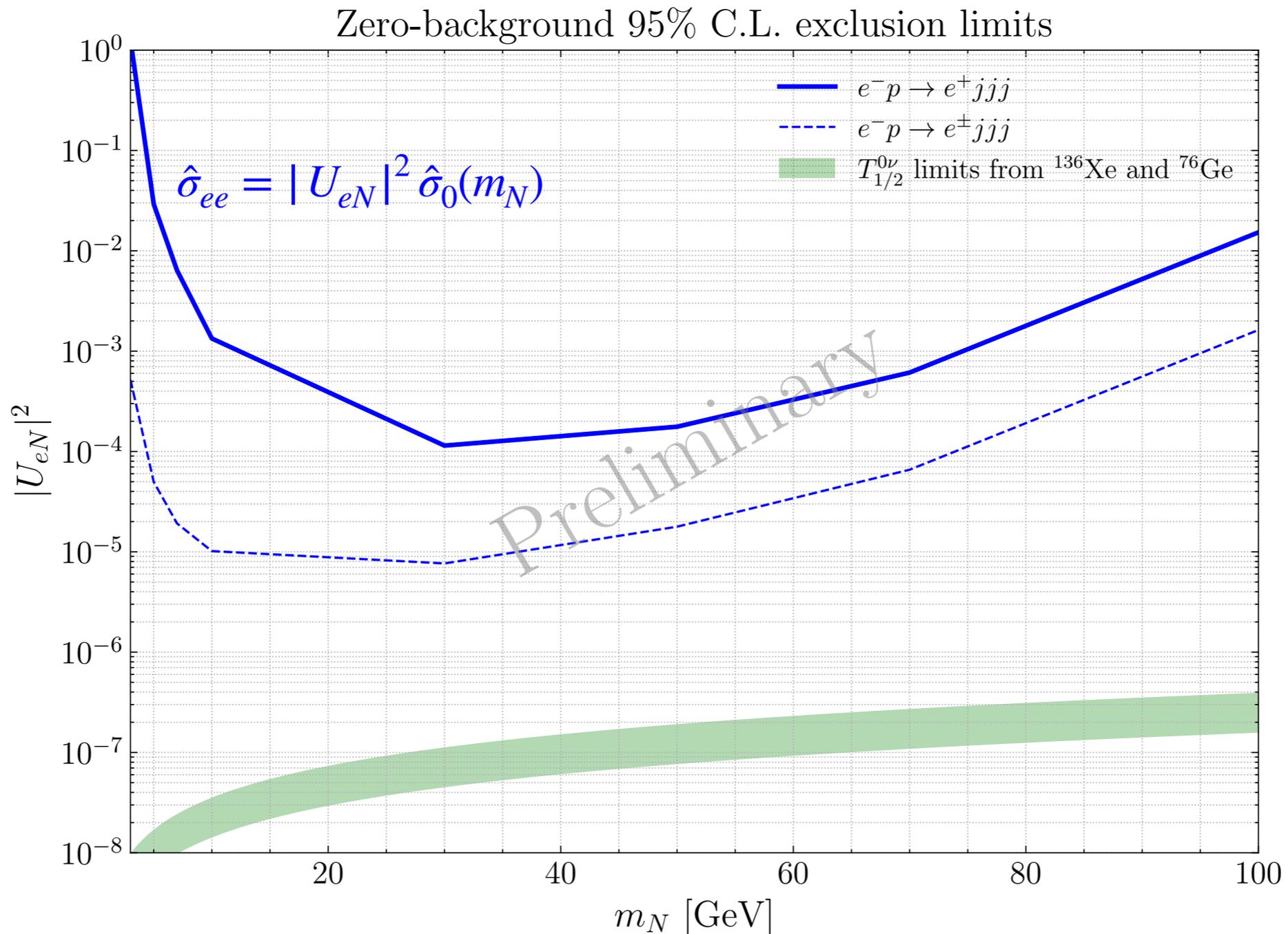
M. Arratia, S. Sekula (2021)

$$\sigma(P) = \frac{1}{2}[(1 - P)\sigma_- + (1 + P)\sigma_+].$$

Inputs are welcomed!

# Sterile neutrinos at the EIC — $ee$ sector

- Let's study the process  $e^-p \rightarrow e^+jjj$ , the direct competitor of  $0\nu\beta\beta$   
 $(U_{\mu N} = U_{\tau N} \equiv 0)$



# Sterile neutrinos at the EIC — $e\ell$ sector

- Some low-energy constraints:

$$\text{CR}(\mu^- \rightarrow e^+, N)$$

P. Domin, A. Faessler, S. Kovalenko,  
F. Simkovic (2004)

$$|U_{eN}| |U_{\mu N}| \lesssim 4.25 \left( \frac{m_N}{\text{GeV}} \right) \left( \frac{m_\mu}{Q_{\text{had}}} \right)^3 \simeq 3.1 \times 10^3 \left( \frac{m_N}{\text{GeV}} \right)$$

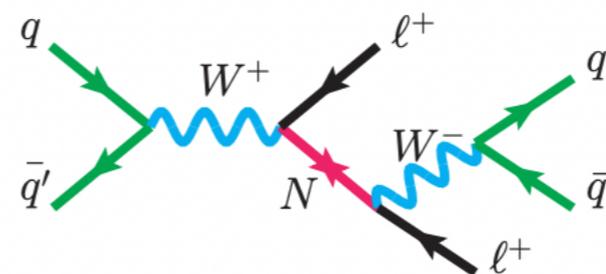
$$\tau^- \rightarrow e^+ \pi^- \pi^-$$

Y. Liao, X.-D. Ma, H.-L. Wang (2021)

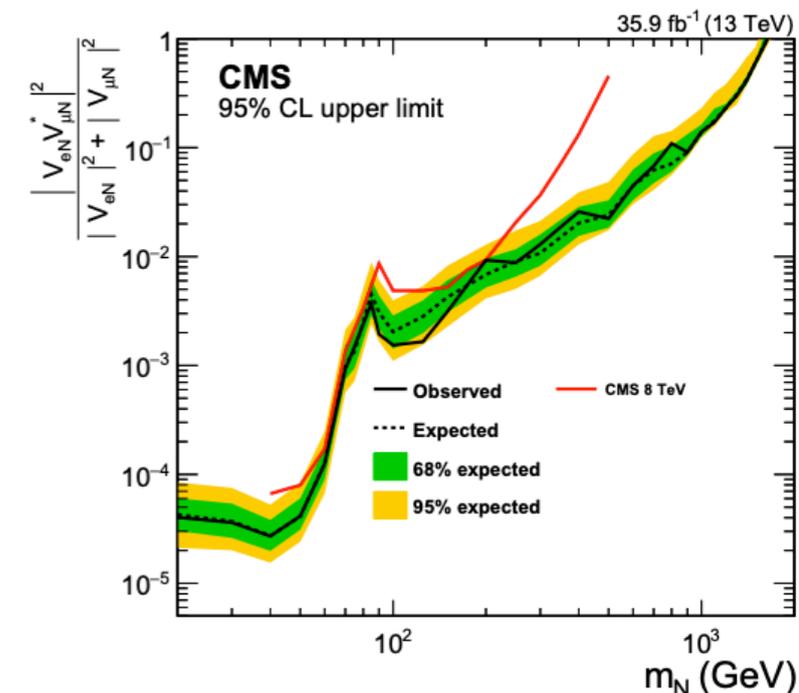
$$|U_{eN}| |U_{\tau N}| \lesssim 6.2 \times 10^3 \left( \frac{m_N}{\text{GeV}} \right)$$

- The current EIC design doesn't consider specific  $\mu/\tau$  ID strategies:
  - Using  $ee$  events, **assuming** similar reconstruction properties
  - $e\mu$  ( $U_{\tau N} \equiv 0$ ) as a case study

$$\hat{\sigma} = \frac{|U_{eN} U_{\mu N}^*|^2}{|U_{eN}|^2 + |U_{\mu N}|^2} \hat{\sigma}_0(m_N)$$

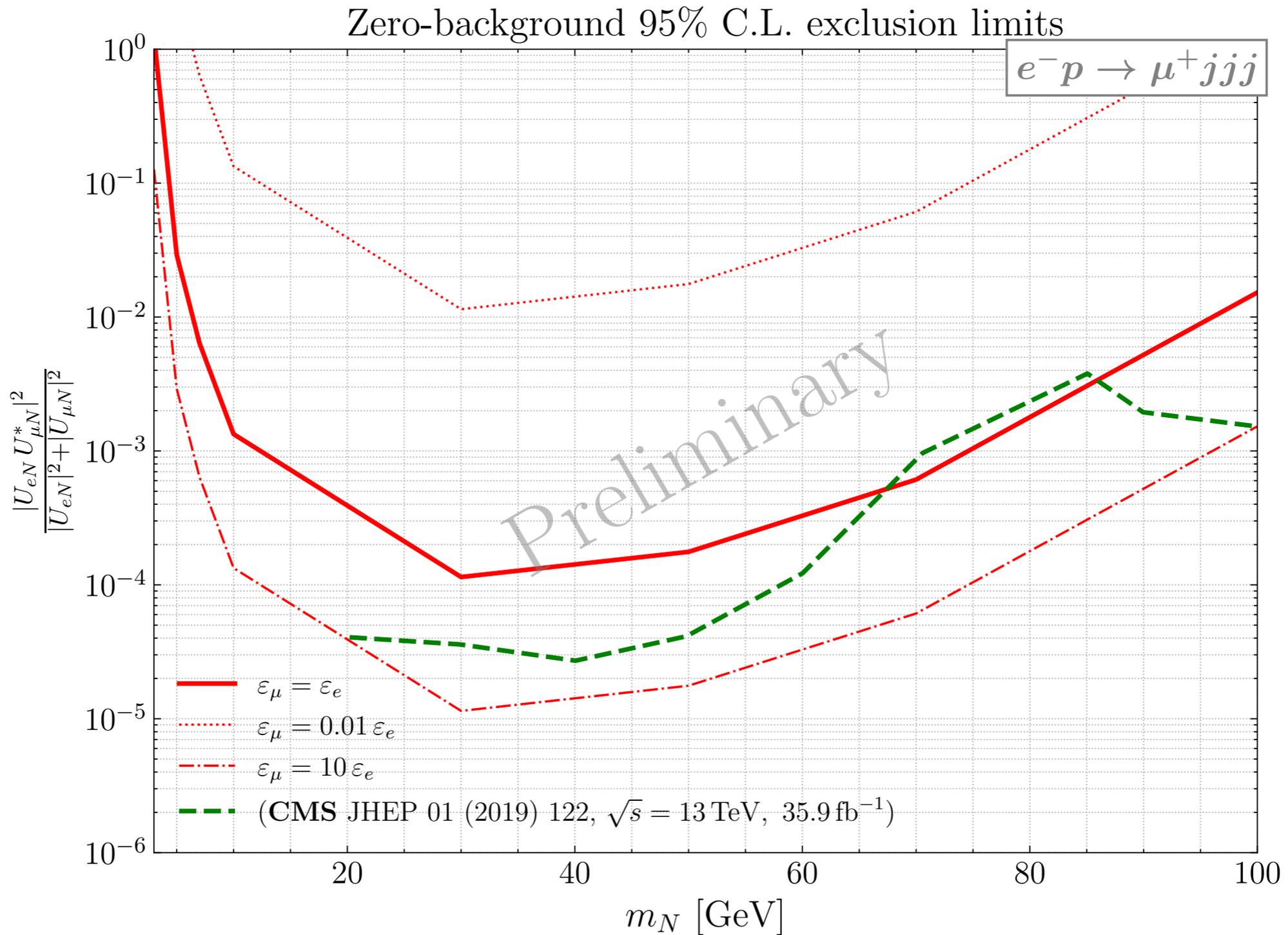


CMS-EXO-17-028 (2019)



# Sterile neutrinos at the EIC — $e\mu$ sector

$$(U_{\tau N} \equiv 0)$$



# Some conclusions

- What are sterile neutrinos?
  - ➔ *Sterile neutrinos are a simple, helpful, and harmless extension of the Standard Model.*
- Are they a reasonable assumption?
  - ➔ *It is not hard to believe they are hiding somewhere inside an unbounded parameter space.*
  - ➔ *They may play a central role in explaining why active neutrinos have tiny, nonzero masses. However, even in this scenario, the allowed parameter space remains unresolved.*
- Should they exist in nature?
  - ➔ *Strong experimental evidence, but no discovery yet*
- Does the EIC provide a discovery opportunity?
  - ➔ *Tremendous potential, and we need the community's support*

# Thanks for your attention!

Lilo



Spin