

α_s from event shapes

Miguel Benitez



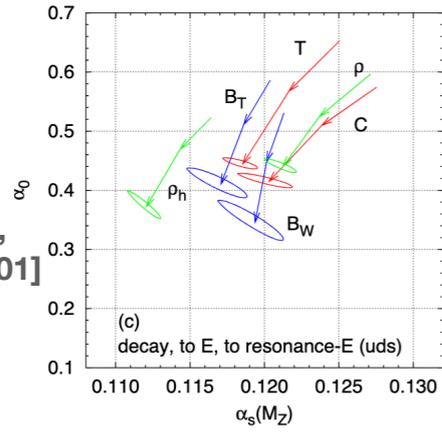
IUFFyM
Instituto Universitario
de Física Fundamental y Matemáticas

VNiVERSiDAD D SALAMANCA

α_s from e^+e^- event shapes

[See also prior work of Catani, Trentadue, Turnock, Webber 1993]

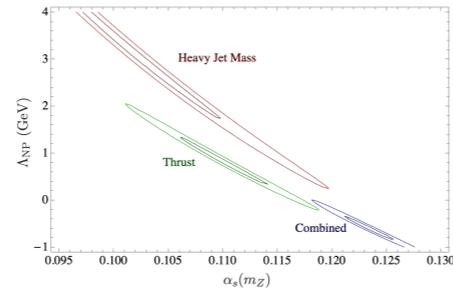
[Salam, Wicke 2001]



Secondly fits for the heavy-jet mass (a very non-inclusive variable) lead to values for α_s which are about 10% smaller than for inclusive variables like the thrust or the mean jet mass. This needs to be understood. It could be due to a difference in the behaviour of the perturbation series at higher orders.

[See also Dissertori et al 2007]

NNLL resummation with NNLO matching



Event Shape	$\alpha_s(m_Z)$	Λ_{NP} (GeV)	$\chi^2/d.o.f.$
Thrust	0.1101	0.821	66.9/47
Heavy Jet Mass	0.1017	3.17	60.4/43
Combined	0.1236	-0.621	453/92

[Chien, Schwartz 2010]

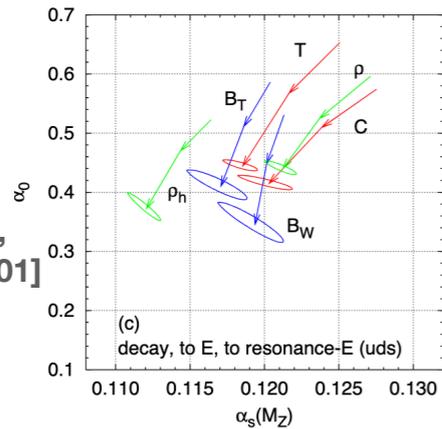
These studies used, in parts, only 25% of data bins:
 $0.08 < \rho < 0.18$



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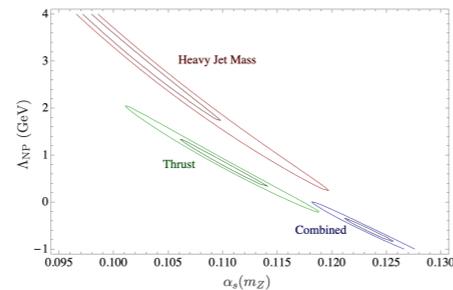
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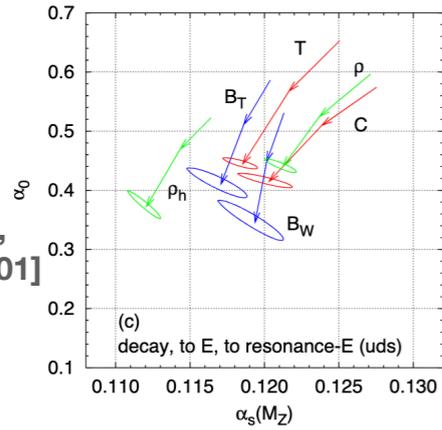
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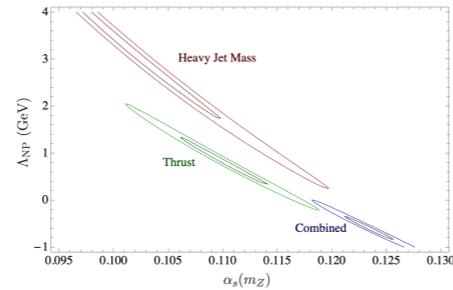


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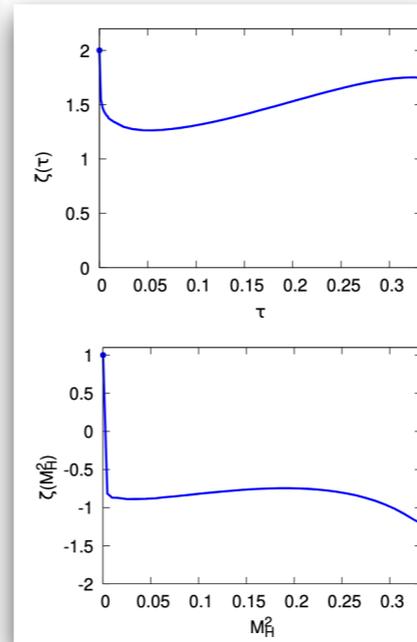


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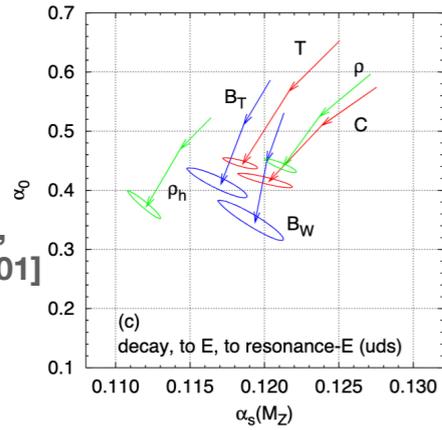
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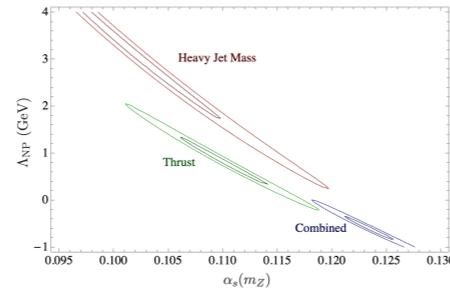
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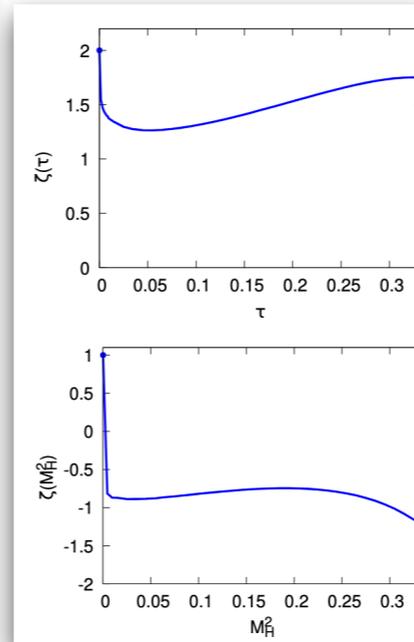


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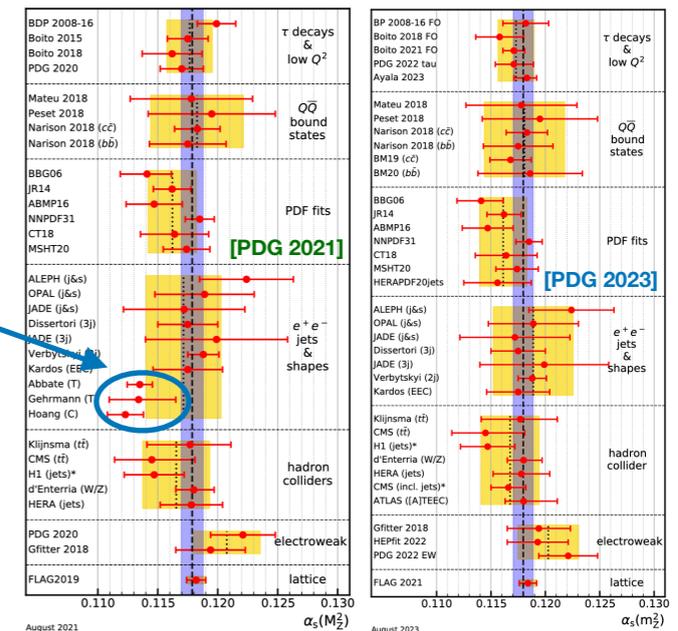
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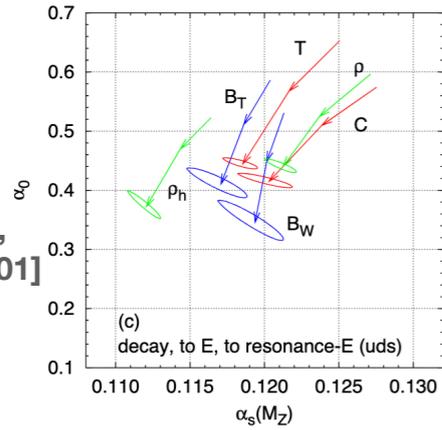
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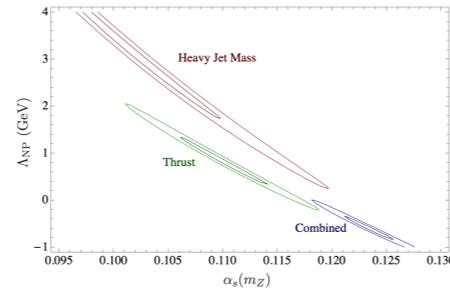


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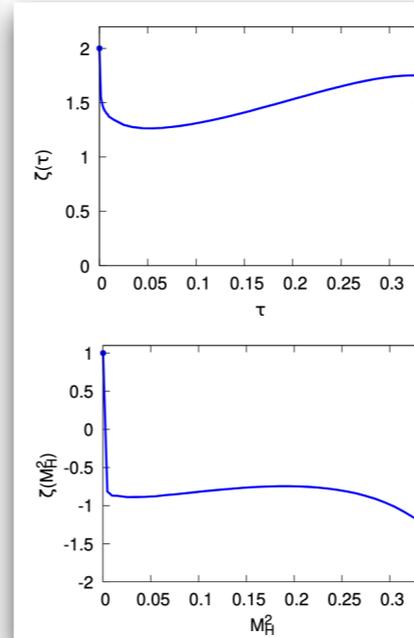


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On Determining $\alpha_s(m_Z)$ from Dijets in e^+e^- Thrust

Miguel A. Benitez^a, André H. Hoang^b, Vicent Mateu^a, Iain W. Stewart^{b,c} and Gherardo Vita^d

A Precise Determination of α_s from the Heavy Jet Mass Distribution

Miguel A. Benitez¹, Arindam Bhattacharya², André H. Hoang³, Vicent Mateu¹, Matthew D. Schwartz², Iain W. Stewart^{3,4} and Xiaoyuan Zhang²



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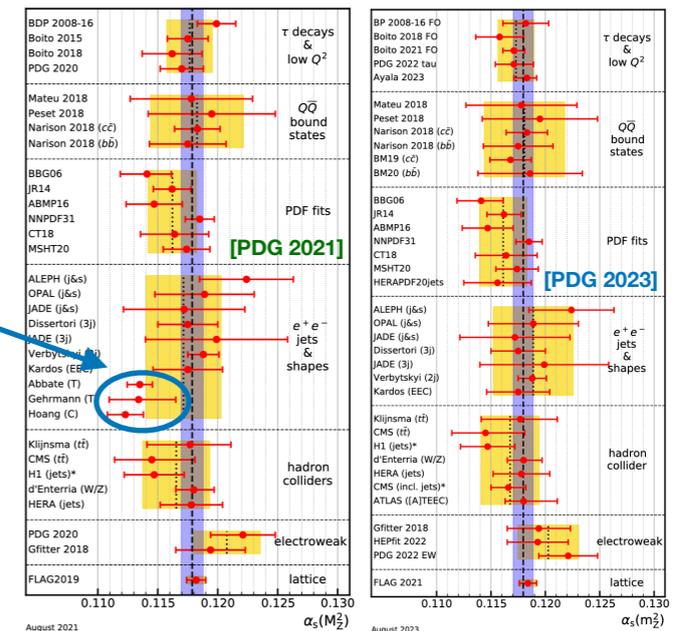
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Outline

How should you think of this talk?

- Summary of analyses that determined/discussed α_s from „classical“ e^+e^- event shape observables

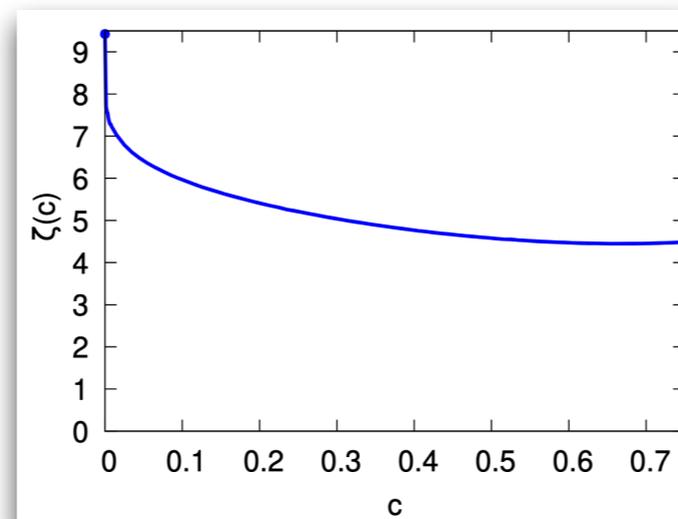
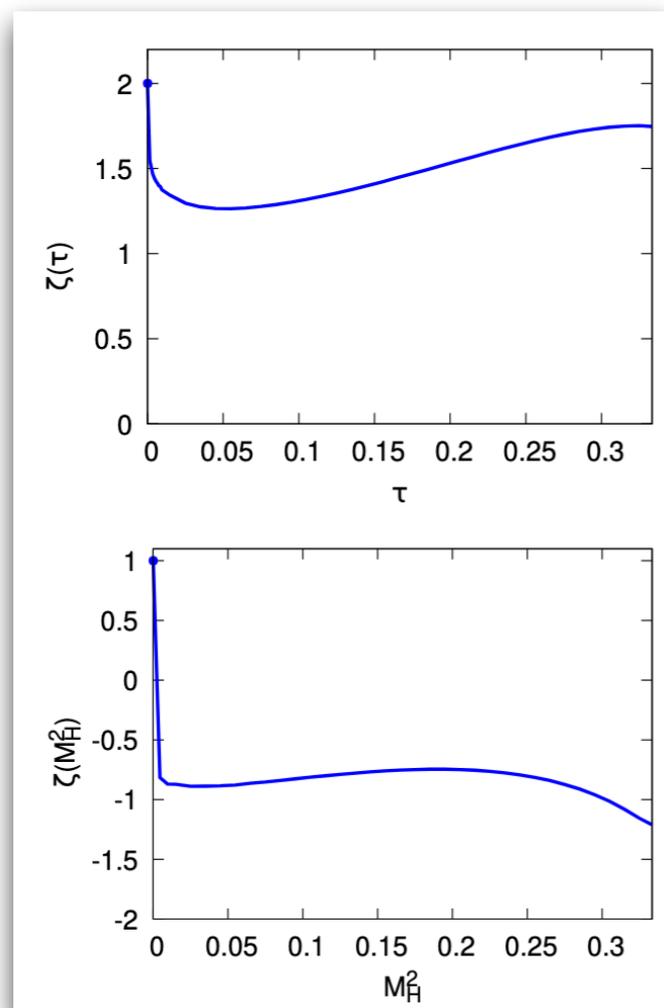
What this talk will not provide for you

- Results for e^+e^- involving Monte Carlo methods for NP corrections
- ENCs
- Technical details on
 - Renormalon analysis
[Caola et al. 2021, 2022]
 - Dijet resummation using Soft-Collinear Effective Theory (SCET)
 - Sudakov Shoulder resummation

Linear power corrections in the 3jet region

[Caola et al.
2021, 2022]

- Investigated the structure of linear renormalons in the three jet region by computing cross section for the process $\gamma^* \rightarrow q\bar{q}\gamma$
- added contributions arising from each one of the final state color dipoles to go from $\gamma^* \rightarrow q\bar{q}\gamma$ to $\gamma^* \rightarrow q\bar{q}g$



+ others

α_s extractions based on Fixed-Order

[Nason, Zanderighi 2023, 2025]

- Simultaneous fit to C -parameter, thrust and y_3 for α_s and NP parameter
- Analyzed different effects in addition to NP contribution

Variation	$\alpha_s(M_Z)$							
	CTy3		C		T		y_3	
	$\zeta(v)$	$\zeta(0)$	$\zeta(v)$	$\zeta(0)$	$\zeta(v)$	$\zeta(0)$	$\zeta(v)$	$\zeta(0)$
default	0.1181	0.1161	0.1169	0.1139	0.1168	0.1158	0.1155	0.1154
$\mu_R = \mu_0/2$	0.1167	0.1155	0.1141	0.1105	0.1159	0.1128	0.1122	0.1131
$\mu_R = 2\mu_0$	0.1167	0.1150	0.1212	0.1184	0.1208	0.1191	0.1157	0.1161
std scheme	0.1173	0.1153	0.1164	0.1118	0.1152	0.1148	0.1150	0.1149
p scheme	0.1160	0.1141	0.1164	0.1118	0.1152	0.1148	0.1137	0.1135
D scheme	0.1199	0.1173	0.1190	0.1153	0.1205	0.1170	0.1168	0.1166
$C_{11} = 1.5$	0.1165	0.1143	0.1151	0.1116	0.1154	0.1133	0.1142	0.1142
$C_{11} = 3$	0.1177	0.1159	0.1221	0.1116	0.1180	0.1172	0.1156	0.1154
non-pert scheme (b)	0.1193	0.1163	0.1191	0.1176	0.1185	0.1184	0.1154	0.1154
non-pert scheme (c)	0.1189	0.1167	0.1195	0.1172	0.1192	0.1191	0.1154	0.1154
minus non-pert error	0.1187	0.1161	0.1173	0.1139	0.1165	0.1158	0.1157	0.1154
plus non-pert error	0.1189	0.1161	0.1172	0.1139	0.1172	0.1158	0.1153	0.1154

$\zeta(v)$ = dipole model

$\zeta(0)$ = flat NP correction

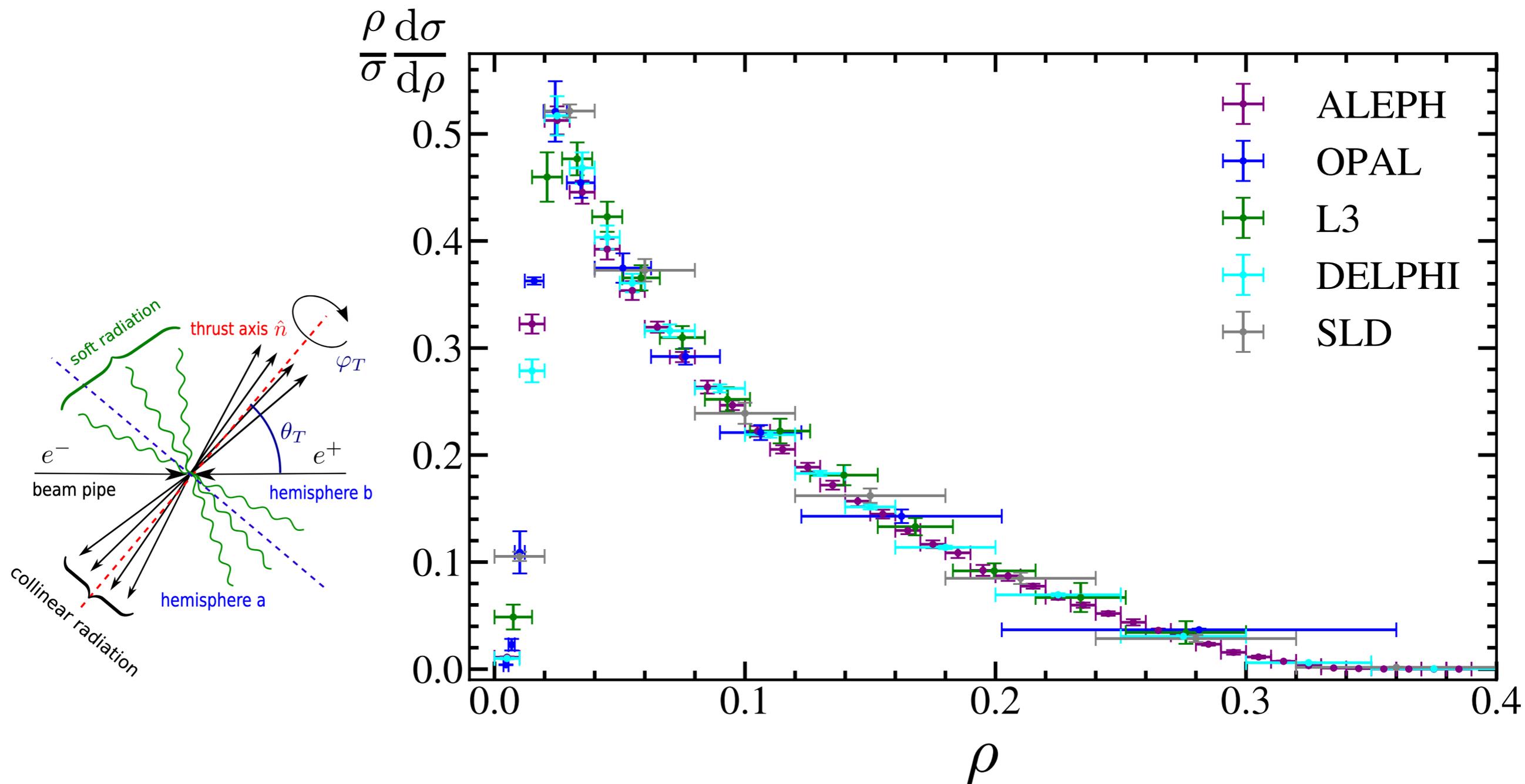
Conclusion of analyses: Uncertainties accompanying earlier α_s extractions using analytic methods to determine NP correction were underestimated

Event Shapes using SCET resummation

[MB, Hoang, Mateu, Stewart, Vita 2024]

[MB, Bhattacharya, Hoang, Mateu, Schwartz, Stewart, Zhang 2025]

- Scaling of observable important for theory prediction

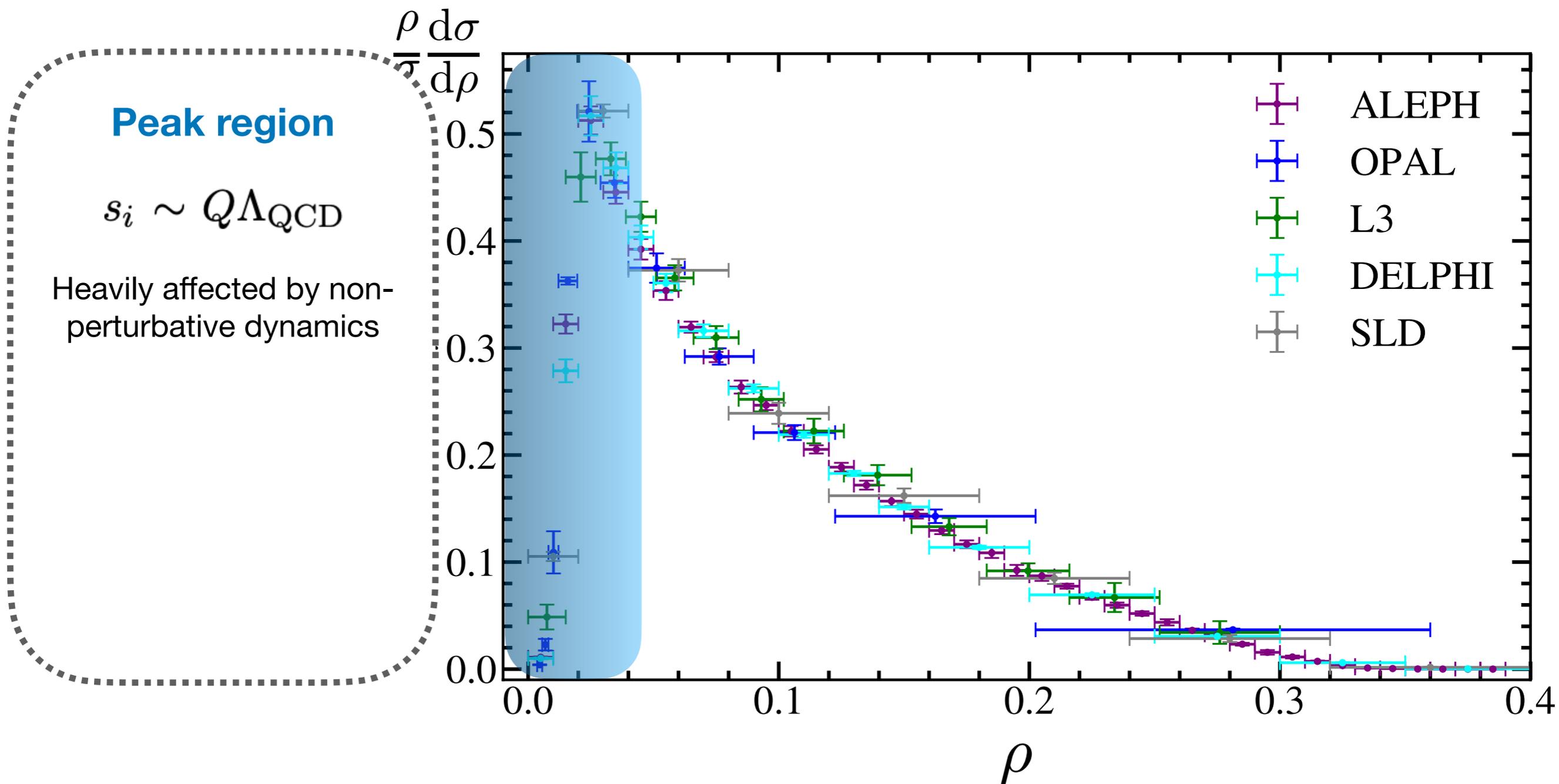


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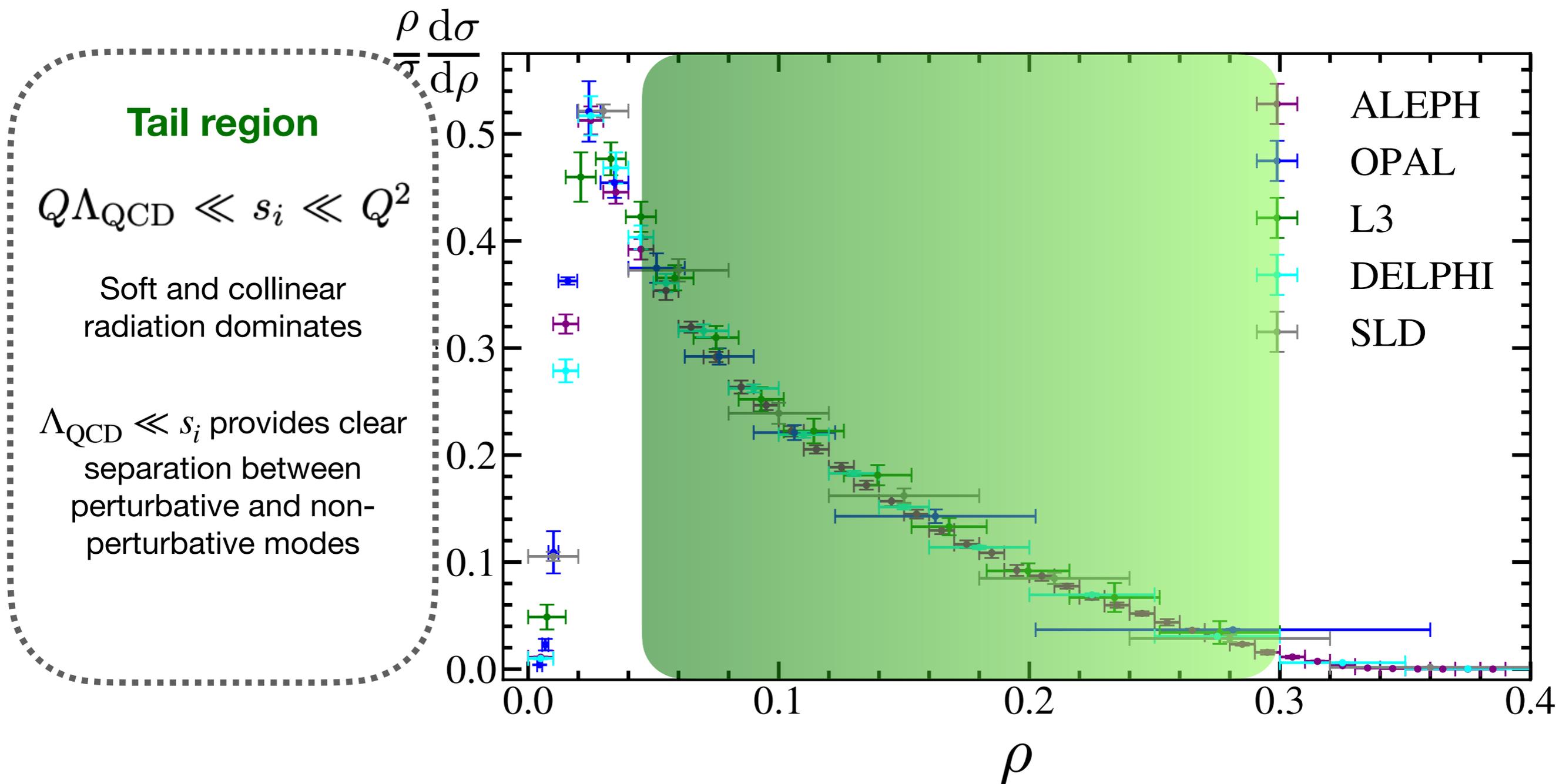


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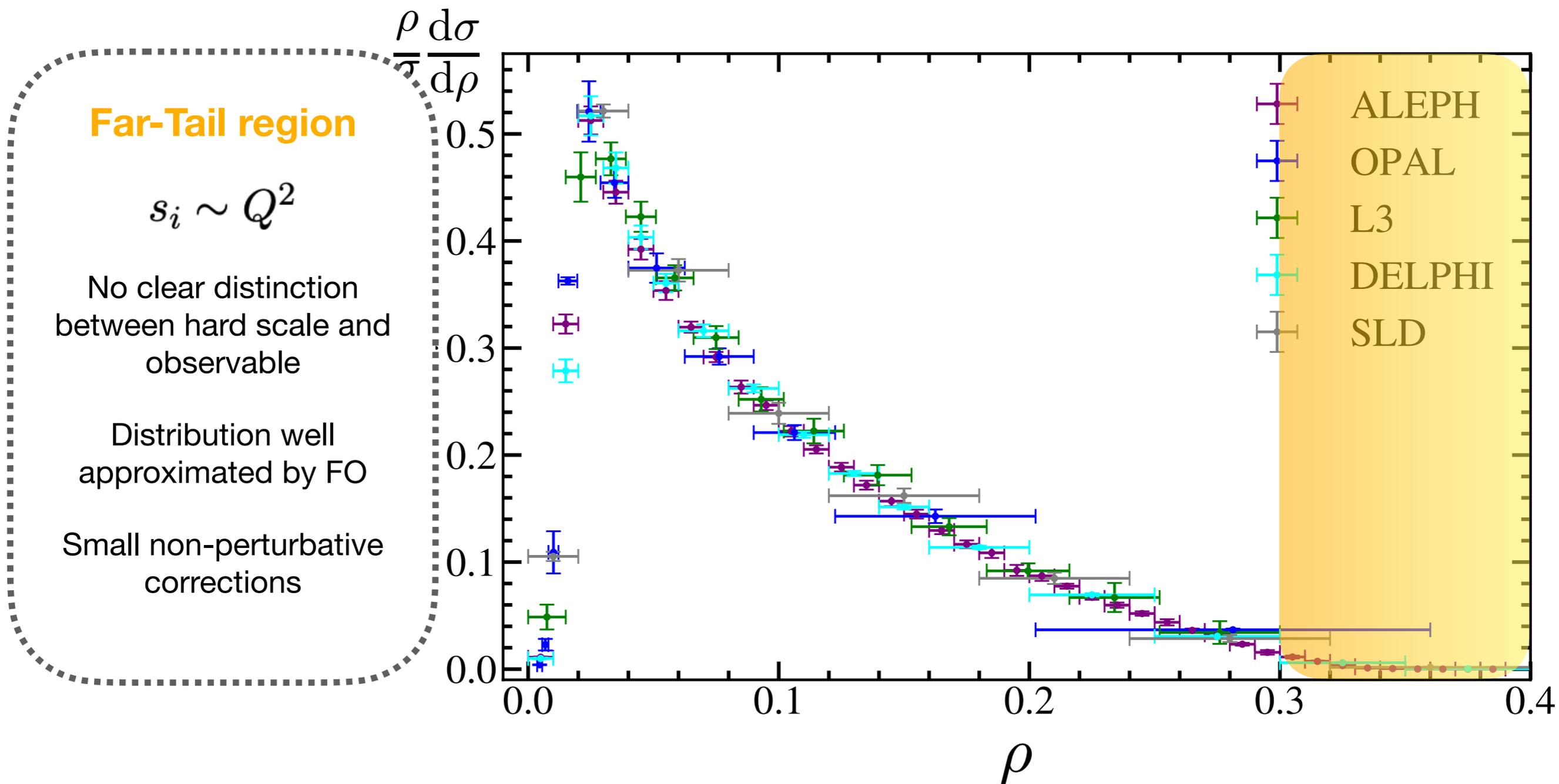


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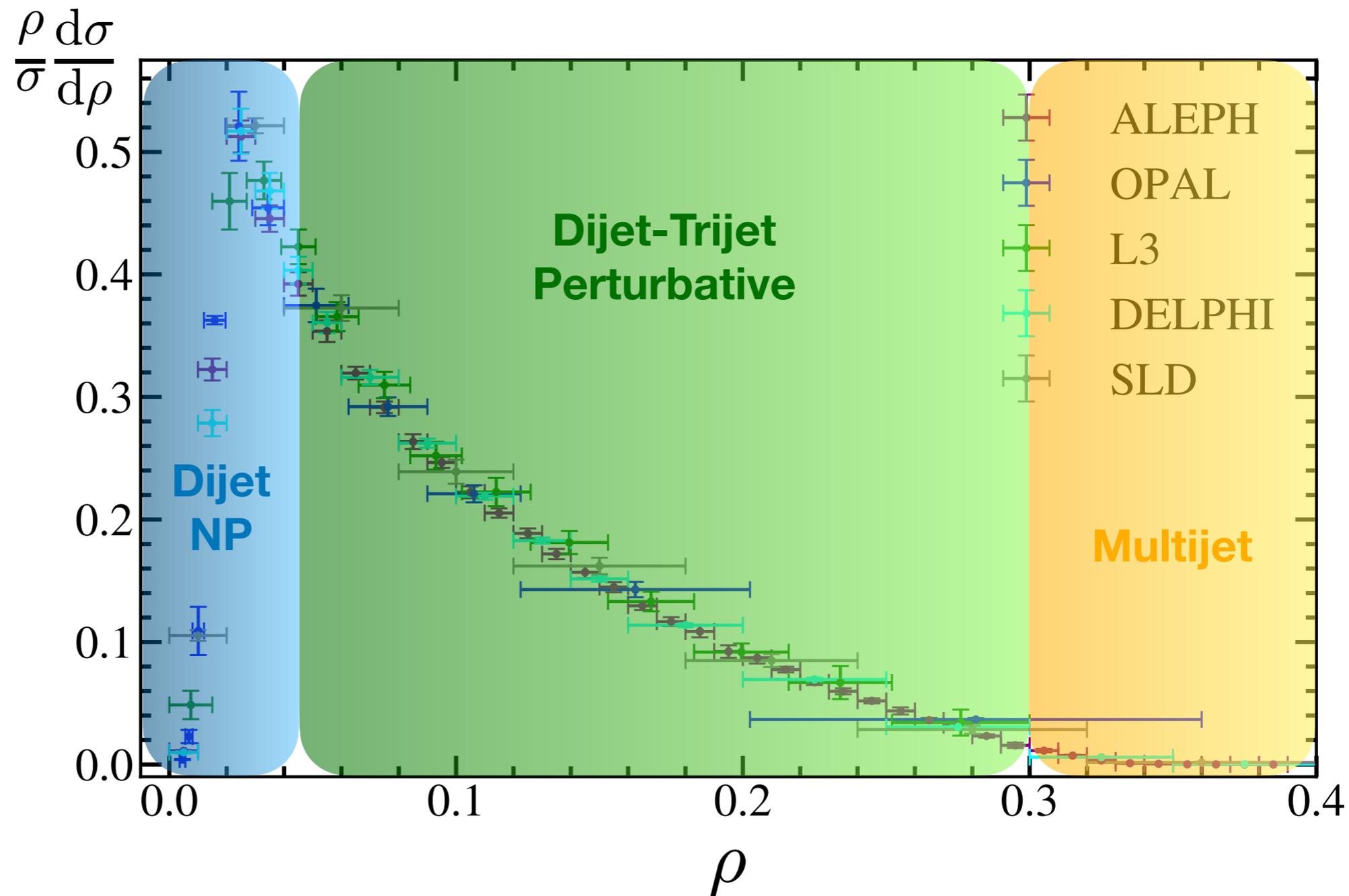


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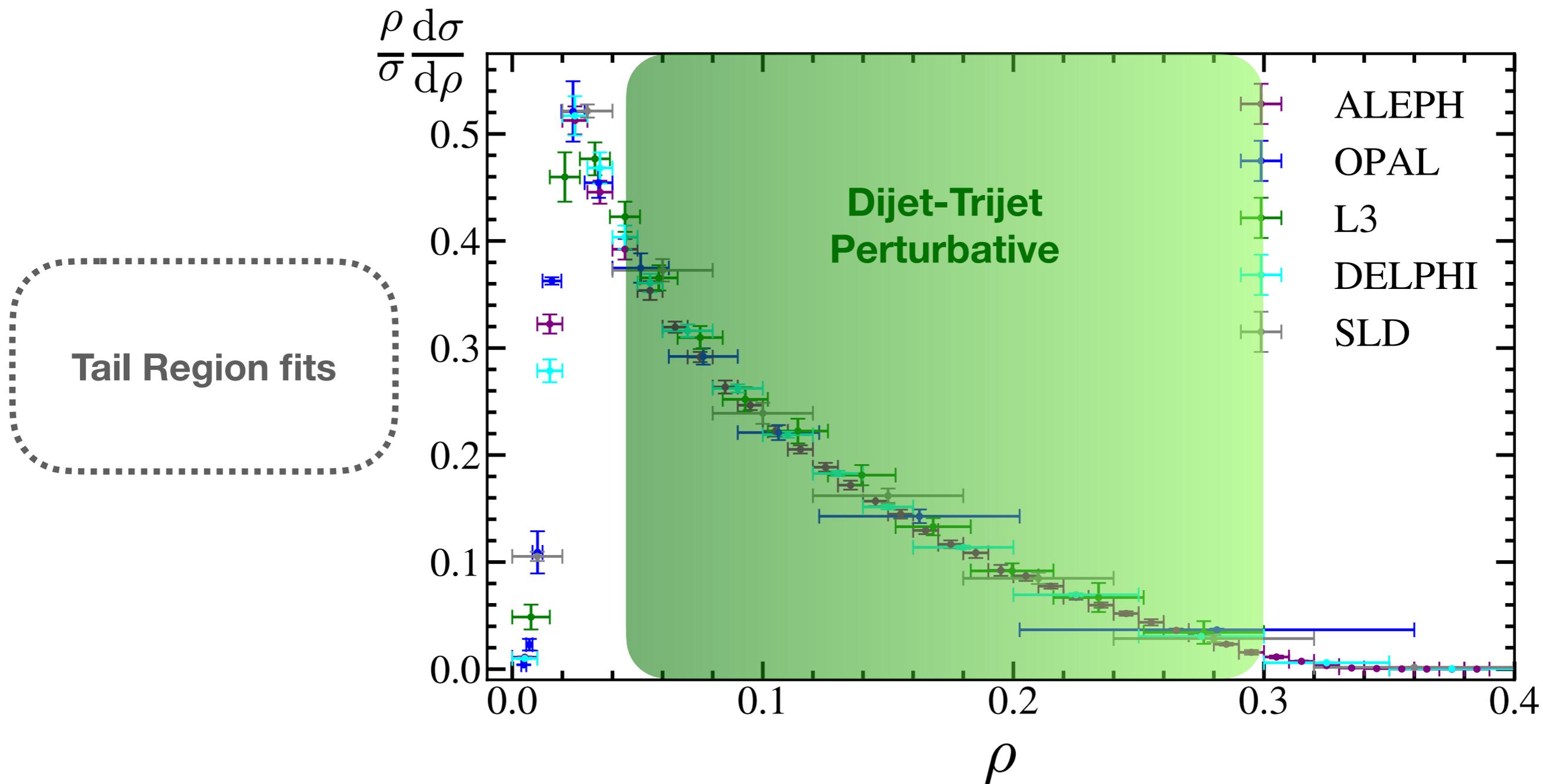


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The HJM distribution – dijet + shoulder resummation

Same methodology applies for thrust*

- HJM differential cross section factorizes in the dijet limit into global hard factor times two-dimensional convolution of two one-dimensional jet functions and two-dimensional soft and shape functions

$$d\sigma_{\text{dij}} = H_{\text{dij}} \times J_1 \times J_2 \otimes S_{1,2} \otimes F_{1,2}^{\Xi}(\Omega_1^\rho)$$

- Around symmetric trijet limit $\rho \rightarrow 1/3$, distribution factorizes as

$$d\sigma_{\text{sh}}^{\text{pert}} = H_{\text{sh}} \times J_1 \times J_2 \times J_3 \otimes S_{1,2,3}$$

- Matching between the dijet, fixed-order and shoulder regions done by writing full cross section as

$$d\sigma = \left[d\sigma_{\text{dij}} - d\sigma_{\text{dij}}^{\text{sing}} \right] + d\sigma_{\text{FO}} + \left[d\sigma_{\text{sh}} - d\sigma_{\text{sh}}^{\text{sing}} \right]$$

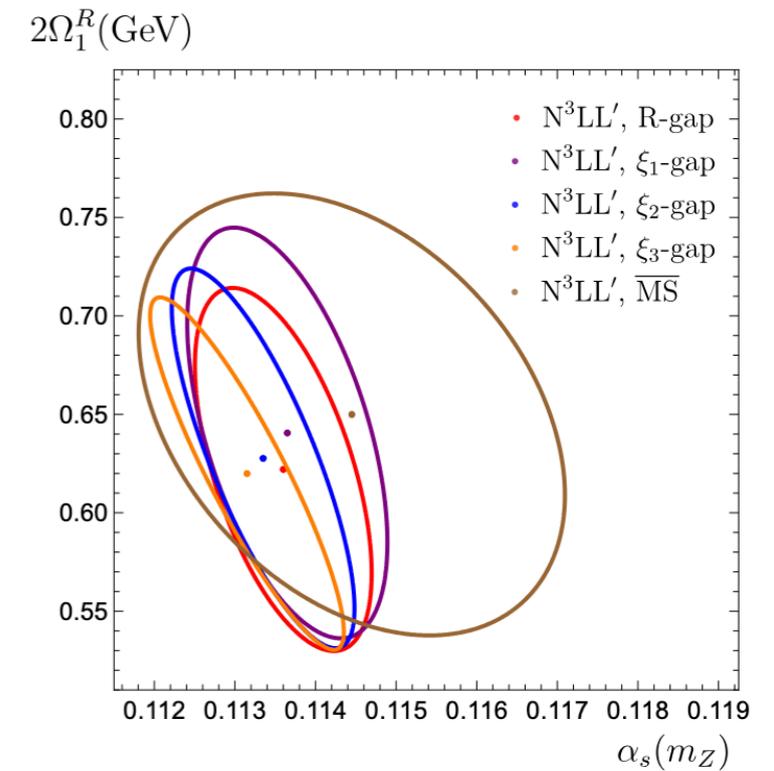
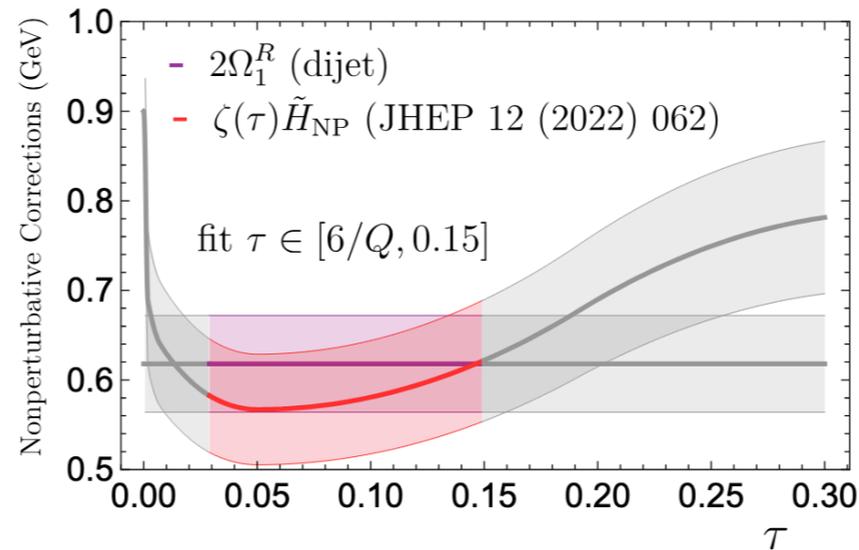
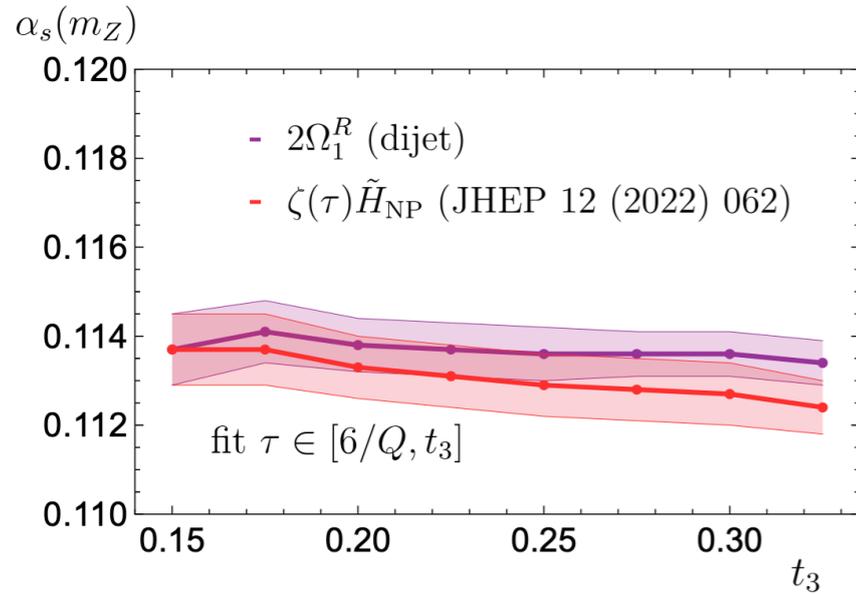
- Model power corrections around the symmetric trijet limit with non-perturbative shift parameter Θ_1

$$\frac{d\sigma_{\text{sh}}}{d\rho}(\rho) = \frac{d\sigma_{\text{sh}}^{\text{pert}}}{d\rho} \left(\rho - \frac{\Theta_1}{Q} \right)$$

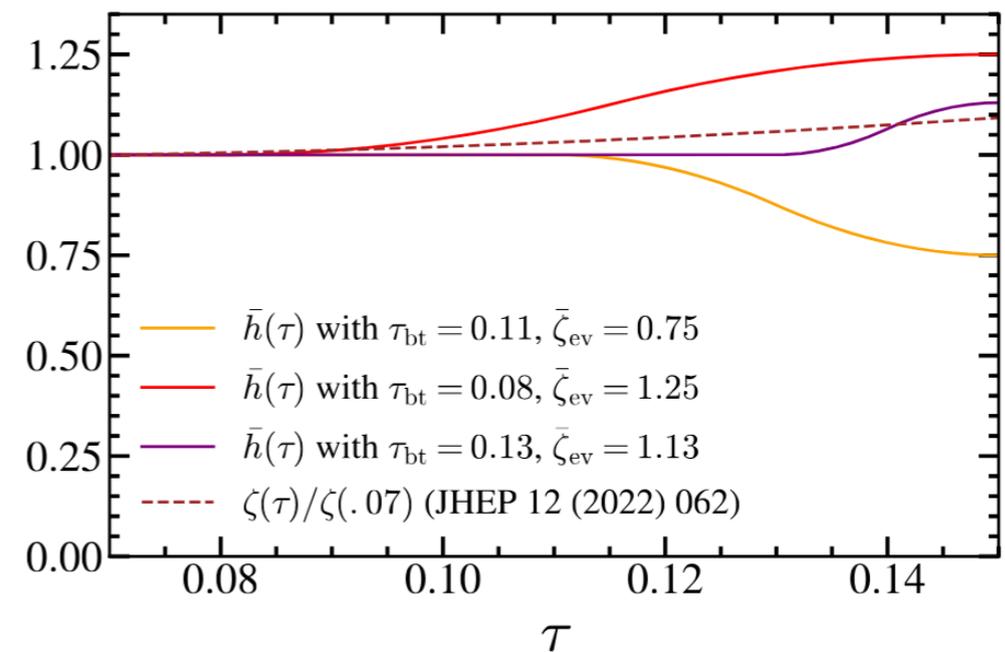
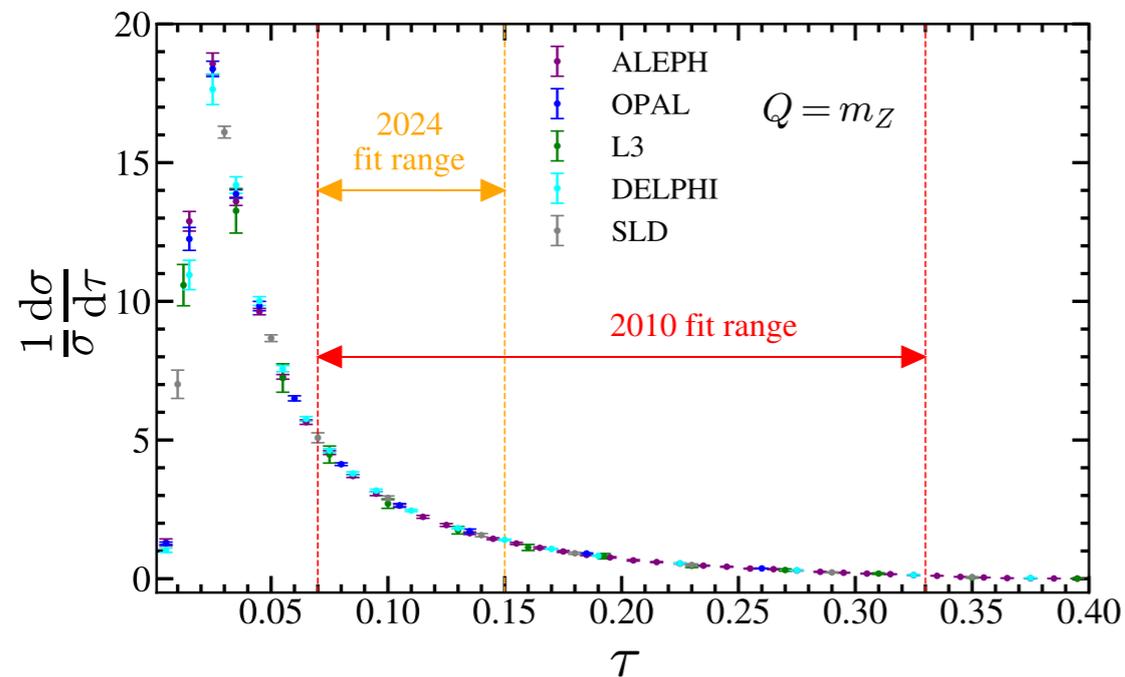
***1d soft and shape functions, no shoulder**

α_s from Thrust

- Impact of power corrections and renormalon-subtraction scheme



- Deviation from dijet treatment of power corrections — decrease of fit range



α_s from Thrust

- Summary of all effects analyzed

	$\delta\alpha_s(m_Z)$	$\delta\Omega_1^R$	Included in [50]
Experiment	0.0003	0.010	✓
Ω_1/α_s	0.0007	0.026	✓
Total Experiment + Ω_1/α_s	0.0008	0.028	✓
Ω_2 hadronization	0.0002	0.013	✓
3jet hadronization	0.0002	0.010	
Subleading power dijet	0.0002	0.004	
Total subleading hadronization	0.0003	0.017	
Perturbative	0.0008	0.037	✓
Total	0.0012	0.049	

Considering all effects addressed in recent literature,
total uncertainty experiences only slight increase

- Final result

$$\alpha_s(m_Z) = 0.1136 \pm 0.0012_{\text{tot}}$$

$$\Omega_1^R = 0.311 \pm 0.049_{\text{tot}} \text{ GeV}$$

$$\chi^2/\text{dof} = 0.86$$

Modified fit procedure for HJM

- Use χ^2 function including theoretical and experimental uncertainties

Experiment

35 GeV < Q < 207 GeV
(700 experimental datapoints)

Minimal Overlap Model treats correlations of systematic uncertainties on experimental measurements

$$\sigma_{ij}^{\text{exp}} = \delta_{ij}(\Delta_i^{\text{stat}})^2 + \delta_{D_i D_j} \min(\Delta_i^{\text{sys}}, \Delta_j^{\text{sys}})^2$$

Theory

Theory uncertainties assessed through renormalization scale variation
→ not Gaussian + highly correlated

Employ flat random scan: M = 5000 sets of k ≤ 17 parameters generated, each produces theory prediction for data-point x_i

Determine $\bar{x}_i = (x_i^{\text{max}} + x_i^{\text{min}})/2$ and $\Delta_i^{\text{theo}} = (x_i^{\text{max}} - x_i^{\text{min}})/2$

Correlation coefficient r_{ij} among bins $r_{ij}^{\text{theo}} = \frac{\langle (x_i - \bar{x}_i)(x_j - \bar{x}_j) \rangle}{\sqrt{\langle (x_i - \bar{x}_i)^2 \rangle} \sqrt{\langle (x_j - \bar{x}_j)^2 \rangle}}$

Theory covariance matrix results from scaling correlation coefficient by 1 - σ uncertainties

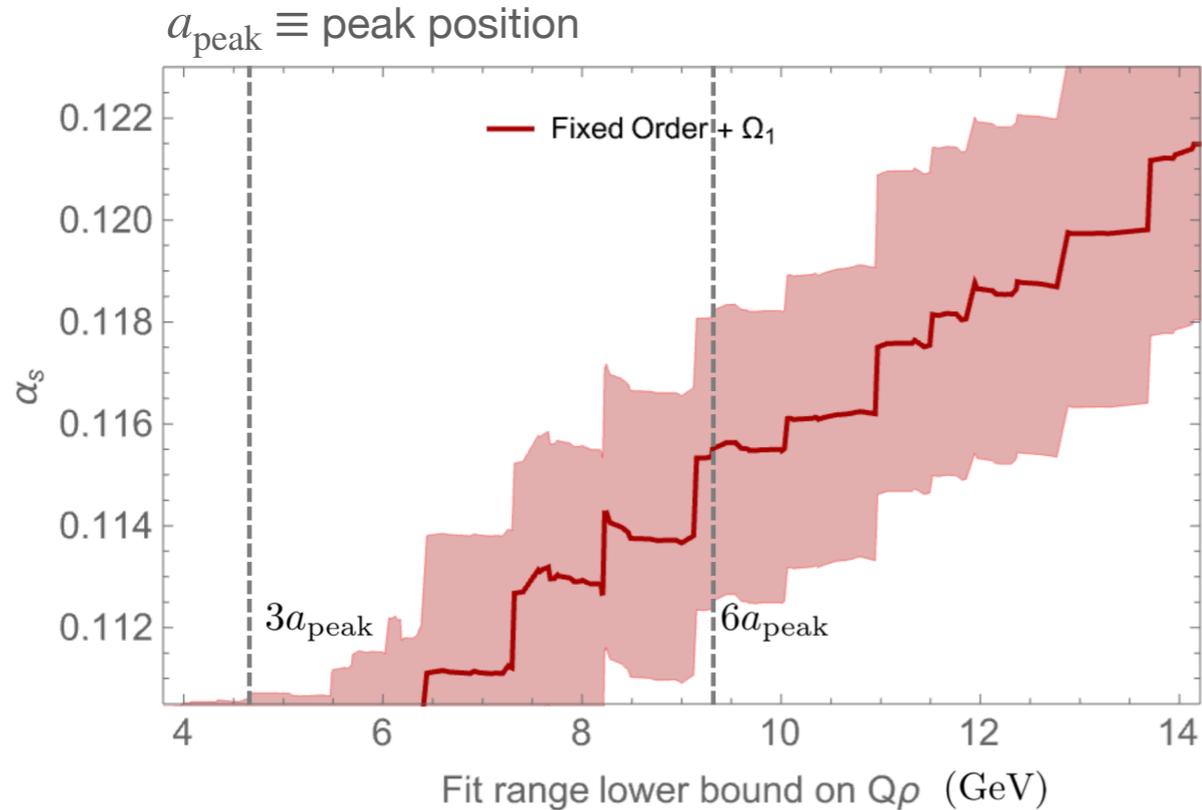
$$\sigma_{ij}^{\text{theo}} = \Delta_i^{\text{theo}} \Delta_j^{\text{theo}} r_{ij}^{\text{theo}}$$

- Total covariance matrix = sum of theoretical and experimental: $\sigma_{ij}^{\text{tot}} = \sigma_{ij}^{\text{theo}} + \sigma_{ij}^{\text{exp}}$

- χ^2 reads:
$$\chi^2 = \sum_{i,j=1}^{N_{\text{bins}}} (\bar{x}_i - x_i^{\text{exp}}) (\bar{x}_j - x_j^{\text{exp}}) (\sigma_{\text{tot}}^{-1})_{ij}$$

Fit results – Fixed Order

- Results for α_s using fit range $a/Q \leq \rho \leq 0.3$ for different a

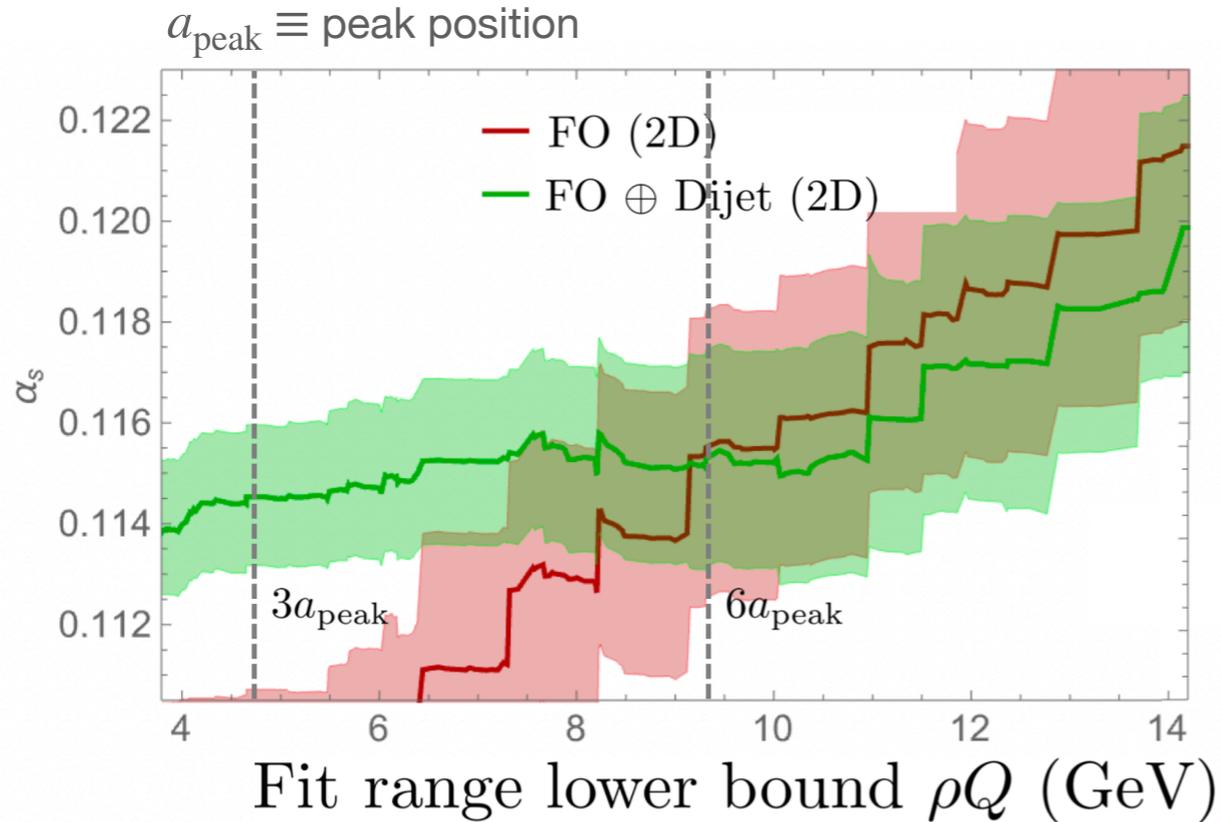


- Results for α_s very sensitive to fit range
- Large **fit range uncertainty** even with restriction $a \in [5a_{\text{peak}}, 8a_{\text{peak}}]$
- Impossible to extract sensible value of α_s without arbitrary choice of fit range

Model	$\alpha_s(m_Z)$	th+exp	Ω_1^ρ	Θ_1	fit range	χ^2/dof	Ω_1^ρ [GeV]	Θ_1 [GeV]
Fixed Order 2D	0.1166 ± 0.0034	± 0.0014	± 0.0027	–	± 0.0015	1.108	0.06 ± 0.13	–

Fit results – Dijet resummation

- Results for α_s using fit range $a/Q \leq \rho \leq 0.3$ for different a

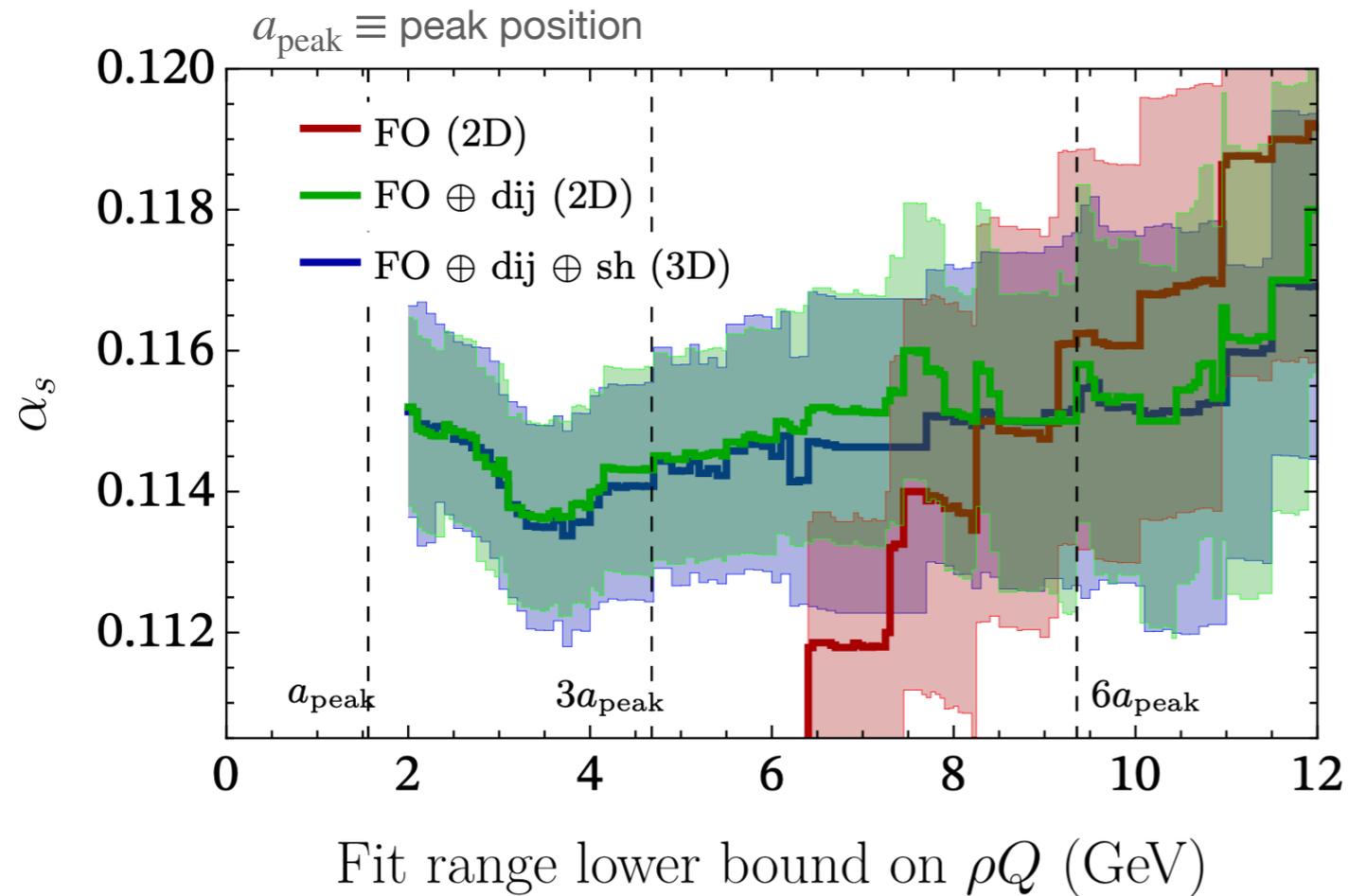


- Fit value remarkably insensitive to fit range
- Small **fit range uncertainty** for $a \in [3a_{\text{peak}}, 6a_{\text{peak}}]$
- Data prefers positive power correction (rightward shift of distribution)

Model	$\alpha_s(m_Z)$	th+exp	Ω_1^ρ	Θ_1	fit range	χ^2/dof	Ω_1^ρ [GeV]	Θ_1 [GeV]
Fixed Order 2D	0.1166 ± 0.0034	± 0.0014	± 0.0027	–	± 0.0015	1.108	0.06 ± 0.13	–
FO + dijet 2D	0.1148 ± 0.0018	± 0.0010	± 0.0014	–	± 0.0004	1.055	0.53 ± 0.09	–

Fit results – Dijet + Shoulder resummation

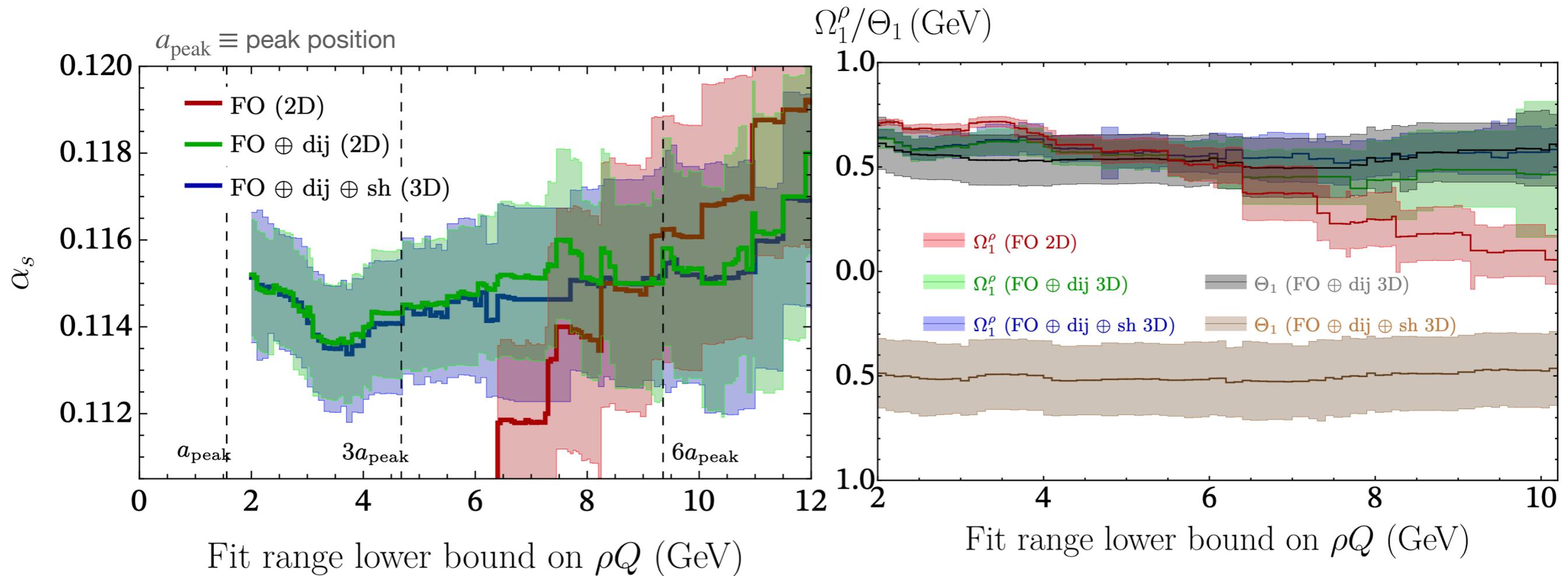
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- Fit value remarkably insensitive to fit range
- Small fit range uncertainty for $a \in [3a_{\text{peak}}, 6a_{\text{peak}}]$
- **But what about the power corrections?**

Fit results – Dijet + Shoulder resummation

- Results for α_s , Ω_1^ρ and Θ_1 using fit range $a/Q \leq \rho \leq 0.3$ for different a



Data favors negative power corrections only with shoulder!

Summary of HJM analysis

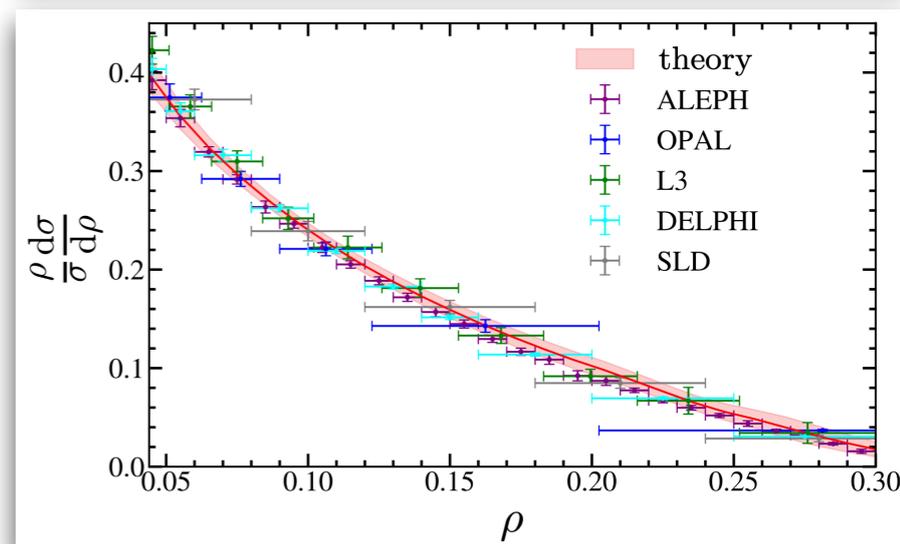
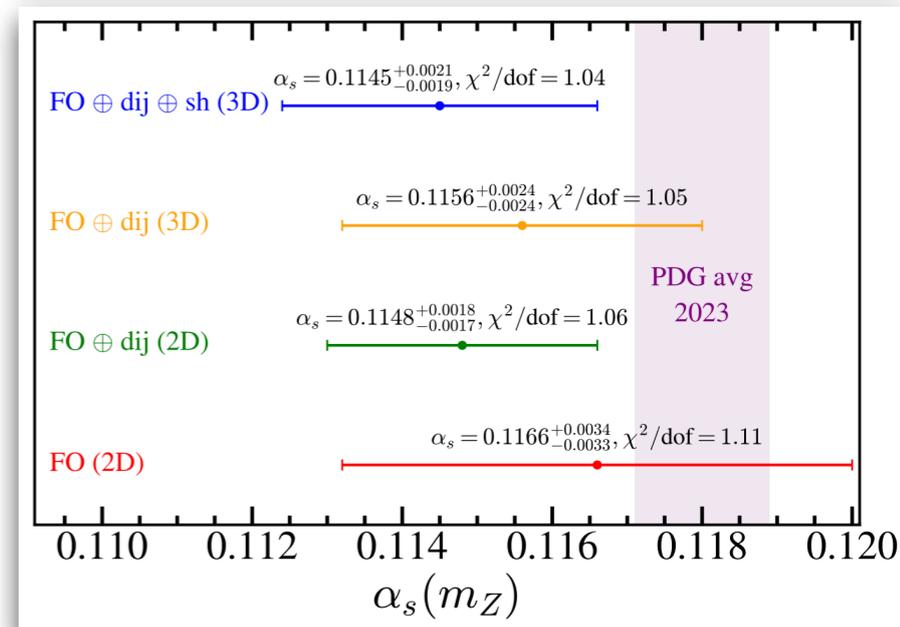
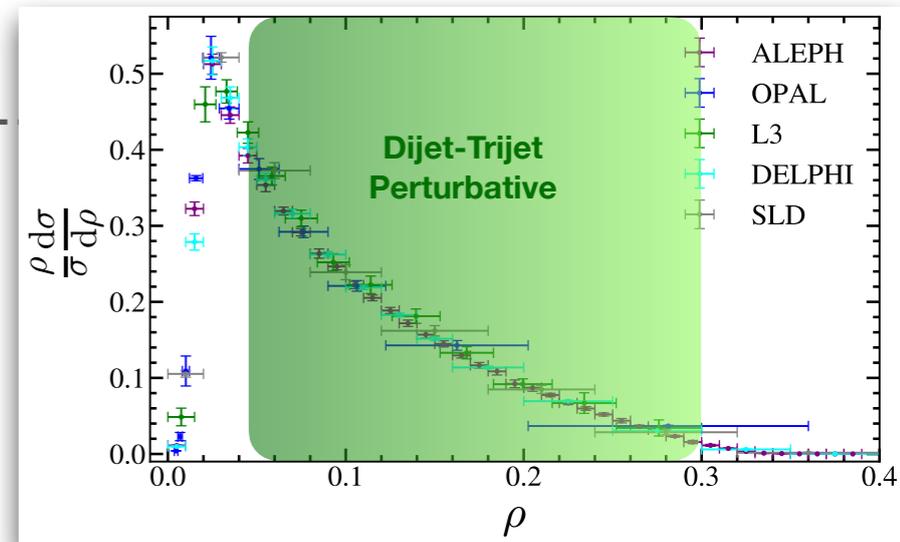
- Innovations include
 - Improved treatments of dijet/OPE and trijet/shoulder region
 - Inclusion of theory correlations during fitting
 - Careful attention to the range of data used for fitting
- Found fits are minimally sensitive to fit range when including resummation, in contrast to fixed-order perturbation theory (essentially linear dependence on lower bound)
- Found evidence for negative power correction in tail of distribution only if Sudakov shoulder resummation is included
- Extracted value is

$$\alpha_s(m_Z) = 0.1145^{+0.0021}_{-0.0019}$$

$$\Omega_1^\rho = 0.57 \pm 0.09 \text{ GeV}, \quad \Theta_1 = -0.50 \pm 0.17 \text{ GeV}$$

$$\chi^2/\text{dof} = 1.04$$

compatible with Thrust and C-parameter results



What do we learn from all of this?

- Perfectly valid to investigate impact on different sources of uncertainty, e.g. NP corrections, hadron mass effects, ... However, this needs to be based on a robust theoretical description
- For this particular class of observables, a fixed-order prediction does not provide the required robust basis in the region typically used for α_s determinations
- Analytic resummation provides such a robust basis. In this case, using SCET, robust theoretical predictions are obtained
- Difference to world average may be related to statistical fluctuations — 1.6σ discrepancy can't be considered incompatible with world average
- Thorough investigation on theoretical side should be accompanied by a corresponding investigation on the experimental side

What do we learn from all of this?

- Perfectly valid to investigate impact on different sources of uncertainty, e.g. NP corrections, hadron mass effects, ... However, this needs to be based on a robust theoretical description
- For this part, the required resources are not available
- Analytic results are not available
- Difference between theory and experiment is a discrepancy
- Thorough investigation is required corresponding to the experimental data

Thank you for your attention!



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