

# Transverse Momentum Dependent parton distributions (TMDs)

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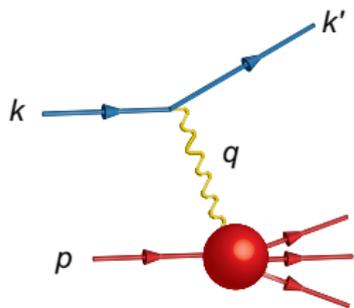
Lecture 1

- ▶ Why TMDs?
- ▶ TMD Factorization
- ▶ Quark TMDs
  - ▶ Gauge invariance and process dependence
  - ▶ Unpolarized distributions
  - ▶ Sivers function
- ▶ Summary

# Motivation

# One-dimensional distributions

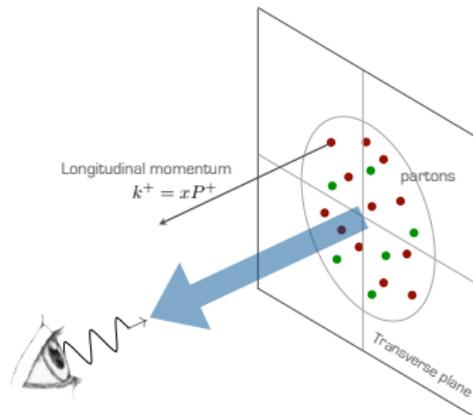
## Deep inelastic scattering



$x$ : fraction of proton's momentum carried by the struck quark

$Q^2 \equiv -q^2$ : defines the resolution of the measurement

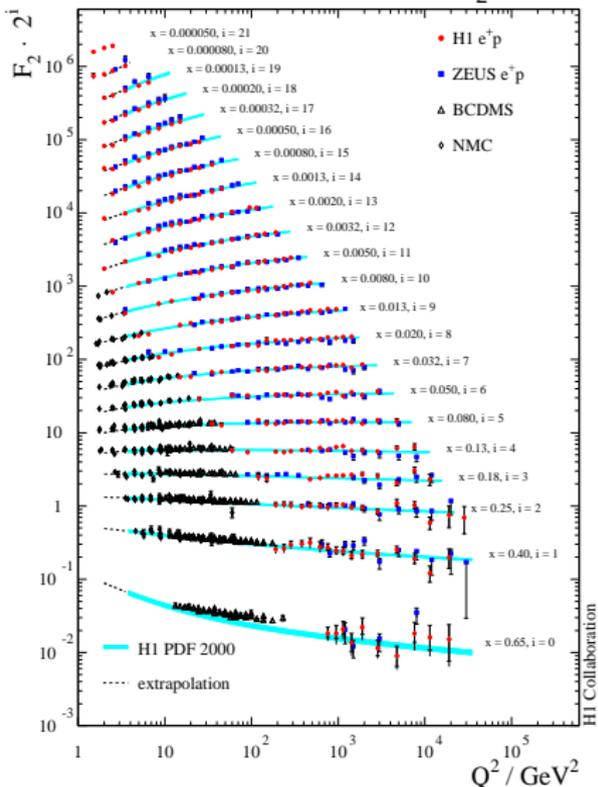
The probe defines a longitudinal direction



# One-dimensional distributions

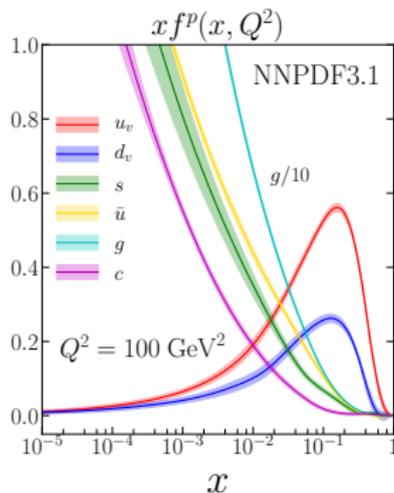
## Deep inelastic scattering

### Structure Function $F_2$



$$F_2(x, Q^2) = x \sum_q e_q^2 f_1^q(x, Q^2)$$

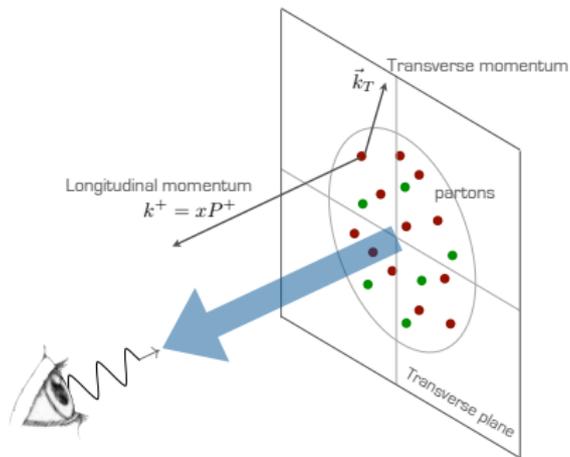
### 1D collinear parton distributions



Scaling violations: great success of QCD evolution!

# Transverse momentum dependent distributions (TMDs)

Three-dimensional distributions: provide information on the partonic longitudinal momentum and the two-dimensional transverse momentum



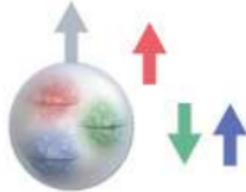
Renormalization scale  $\mu$  and the rapidity scale  $\zeta$  not shown explicitly

More detailed information on the proton's structure as compared to PDFs: 1D description is not always satisfactory, see i.e. spin effects

# Transverse momentum effects

## Proton spin puzzle

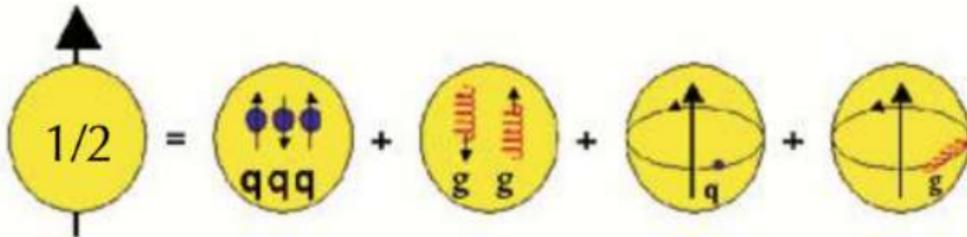
The proton has spin 1/2, the three *valence* quarks have also spin 1/2, we expect:



**However:** only 30% of the spin of the proton comes from the spin of the quarks

First measurement by the European Muon Collaboration (EMC, CERN 1987)

$$\vec{\mu}\vec{p} \rightarrow \mu X \quad A = \frac{(\vec{\mu}\vec{p}) - (\vec{\mu}\vec{p})}{(\vec{\mu}\vec{p}) + (\vec{\mu}\vec{p})}$$



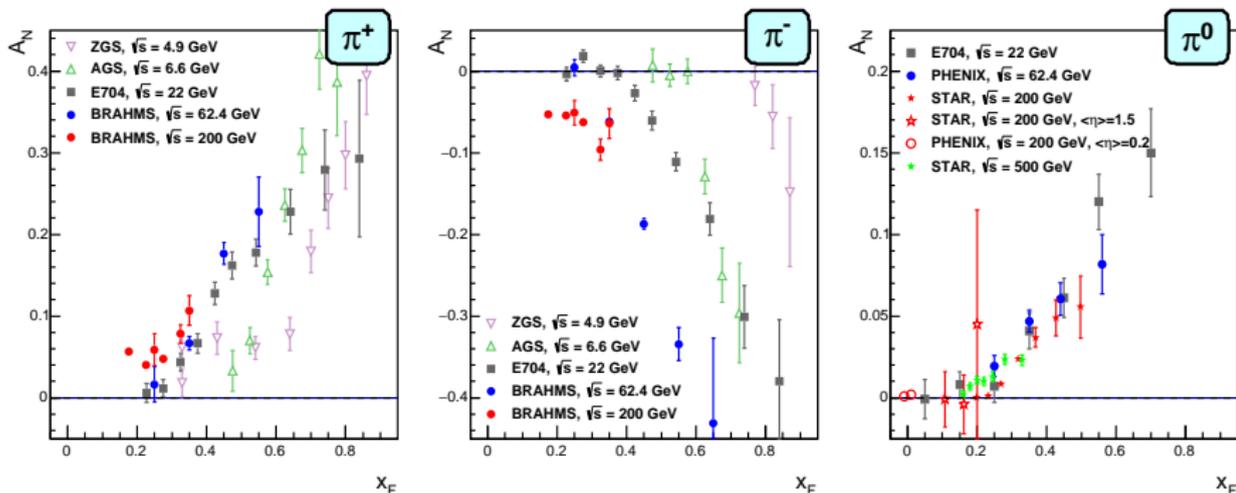
The partonic orbital angular momentum needs to be considered

# Transverse momentum effects

## Single spin asymmetries

$A_N$  in  $p^\uparrow p \rightarrow \pi X$  is a long standing puzzle, only a few % in twist-2 collinear QCD

$$A_N = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow} \quad x_F = \frac{2p_L}{\sqrt{s}}$$



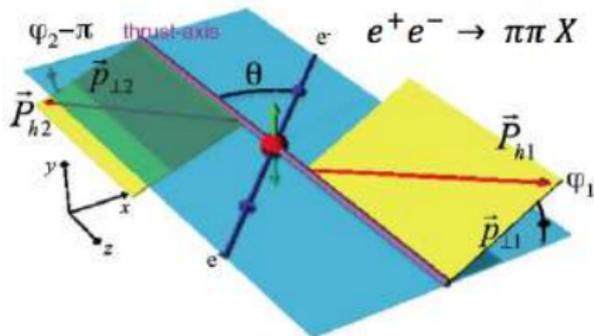
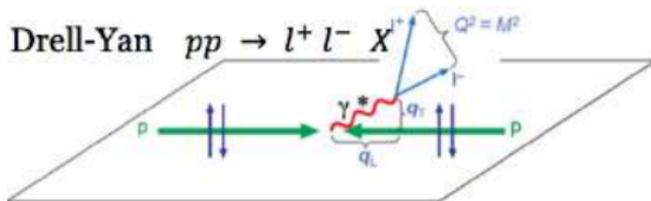
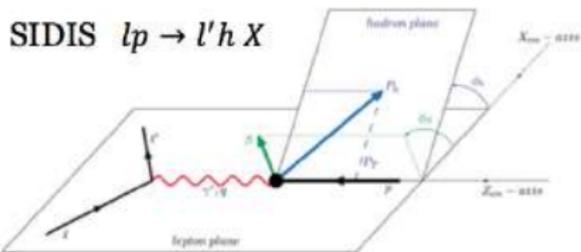
Almost energy independent

TMD factorization does not hold, collinear twist-3 approach more solid

Aschenauer, D'Alesio, Murgia, EPJA52 (2016)

# Quark TMDs

Two scale processes  $Q^2 \gg q_T^2$



Factorization proven

All orders in  $\alpha_s$   
Leading order in powers of  $1/Q$  (twist)

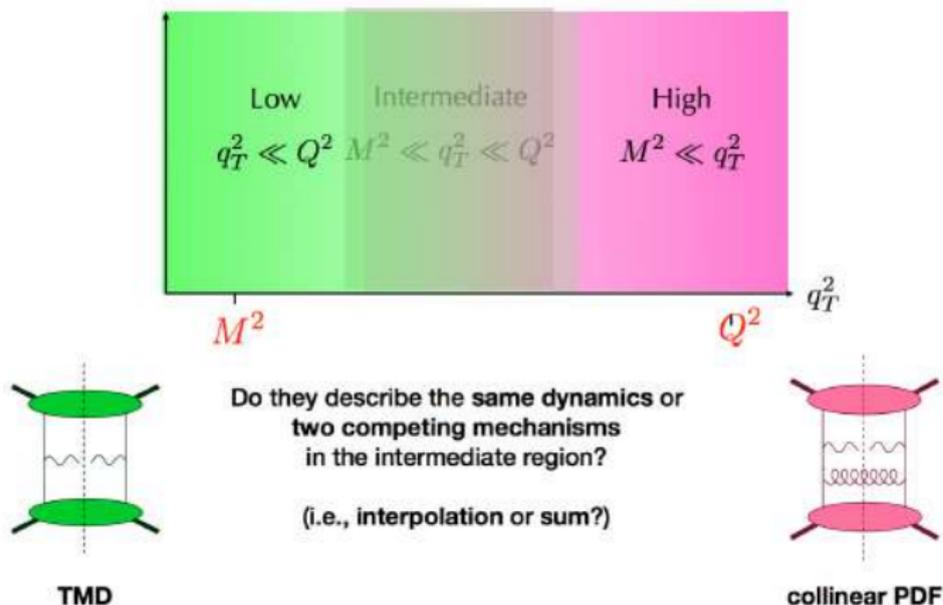
Collins, Cambridge University Press (2011)  
Boussarie et al, TMD handbook 2304.03302

## Attempts to establish factorization at one-loop and next-to-leading power

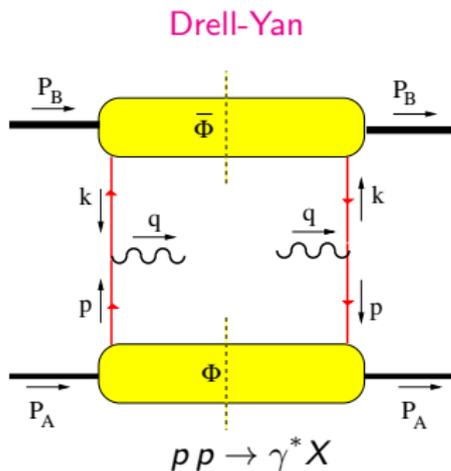
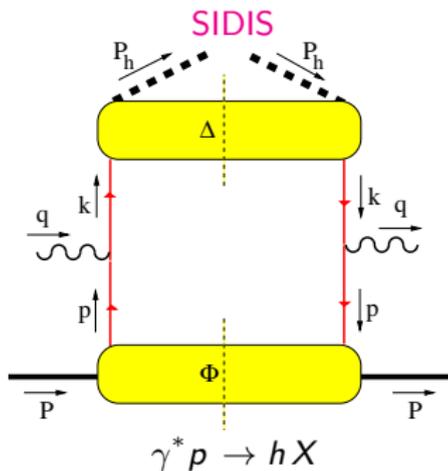
Rodini, Vladimirov, PRD 110 (2024)  
Gamberg, Kang, Shao, Terry, Zhao, 2211.13209

## Three physical scales, two theoretical tools

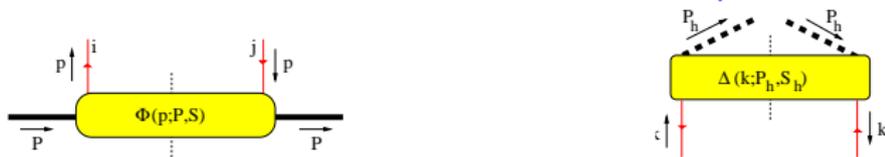
Bacchetta, Boer, Diehl, Mulders, JHEP 08 (2008)



Hard partonic interactions can be separated from nonperturbative correlators

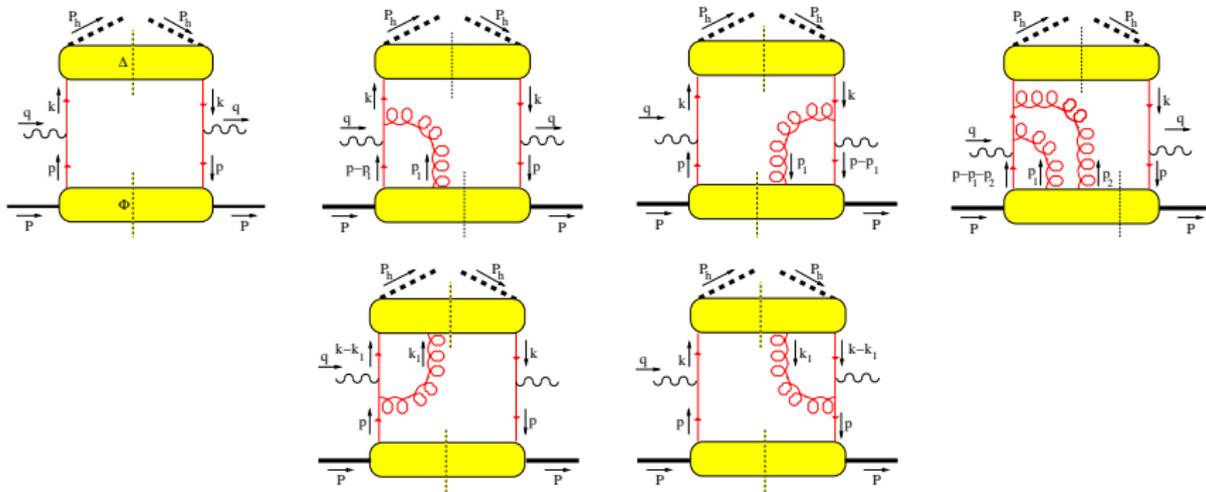


Parton correlators  $\Phi$  and  $\Delta$  describe the soft hadron  $\leftrightarrow$  parton transitions



Parametrized in terms of distribution and fragmentation functions

Resummation of all gluon exchanges leads to *gauge links* in the correlators  $\Phi, \Delta$



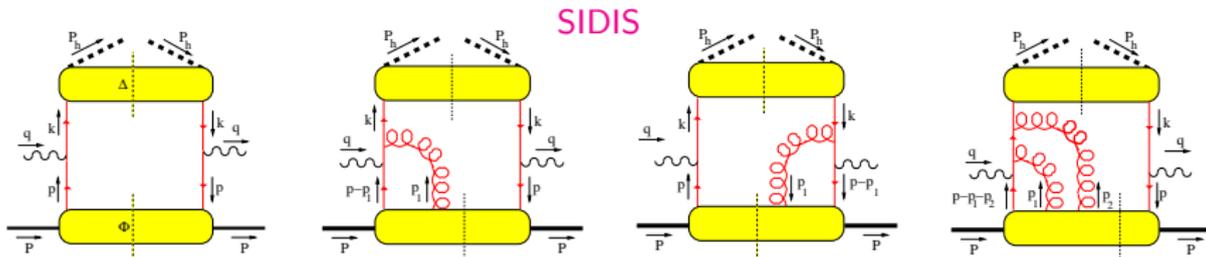
Boer, Mulders, Pijlman, NPB 667 (2003)

$$\mathcal{U}_{[0, \xi]}^C = \mathcal{P} \exp \left( -ig \int_{C[0, \xi]} ds_\mu A^\mu(s) \right)$$

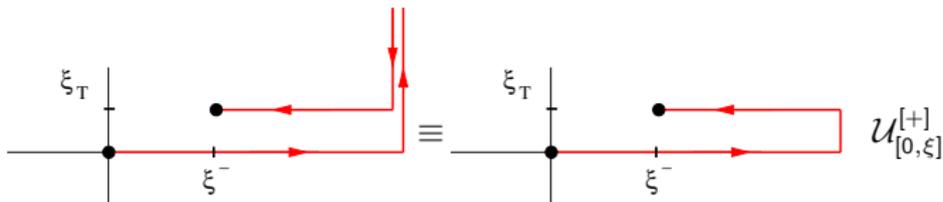
The path  $\mathcal{C}$  depends on the color interactions, *i.e.* on the specific process

Gauge invariant definition of  $\Phi$  (not unique)

$$\Phi^{[L]} \propto \langle P, S | \bar{\psi}(0) \mathcal{U}_{[0, \xi]}^C \psi(\xi) | P, S \rangle$$

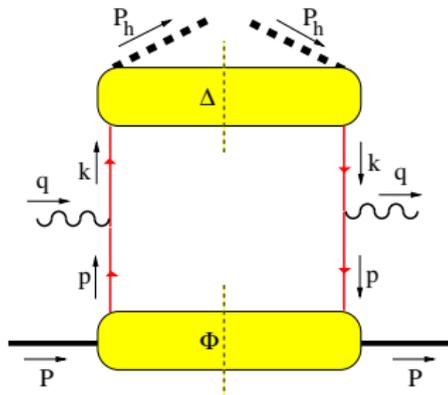


Belitsky, Ji, Yuan, NPB 656 (2003)  
Boer, Mulders, Pijlman, NPB 667 (2003)

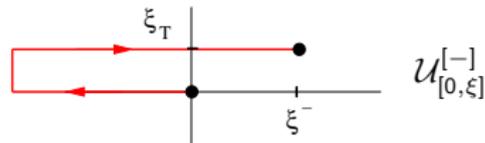
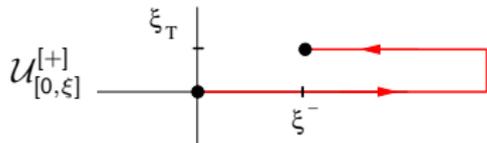
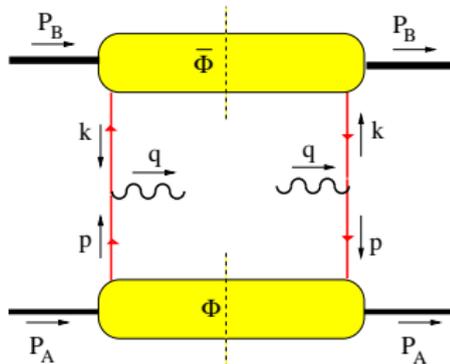


Possible effects in transverse momentum observables ( $\xi_T$  is conjugate to  $k_T$ )

SIDIS



Drell-Yan

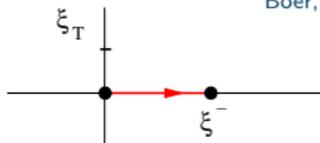


Belitsky, Ji, Yuan, NPB 656 (2003)

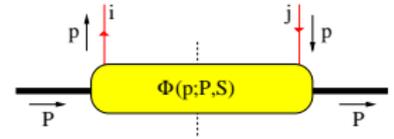
Boer, Mulders, Pijlman, NPB 667 (2003)

Boer, talk at RBRC Synergies workshop (2017)

$$\int dp_T \rightarrow \xi_T = 0 \rightarrow$$



the same in both cases



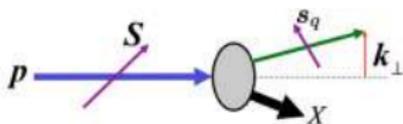
The quark correlator can be decomposed into eight leading-power TMDs

QUARKS	<i>unpolarized</i>	<i>chiral</i>	<i>transverse</i>
U	$f_1$		$h_1^\perp$
L		$g_{1L}$	$h_{1L}^\perp$
T	$f_{1T}^\perp$	$g_{1T}$	$h_{1T}^\perp, h_{1T}^\perp$

Angeles-Martinez *et al.*, Acta Phys. Pol. B46 (2015)  
 Mulders, Tangerman, NPB 461 (1996)  
 Boer, Mulders, PRD 57 (1998)

They are all known and can all be accessed in SIDIS (mostly COMPASS data)

Beyond the unpolarized  $f_1$ , helicity  $g_{1L}$  and transversity  $h_1$  surviving the collinear limit, we have five more. In particular the Sivers ( $f_{1T}^\perp$ ) and Boer-Mulders ( $h_1^\perp$ ):



$$\mathbf{S} \cdot (\mathbf{p} \times \mathbf{k}_\perp)$$

Sivers effect

$$\mathbf{s}_q \cdot (\mathbf{p} \times \mathbf{k}_\perp)$$

Boer-Mulders effect

Correlations between (proton or quark) spin and quark transverse momentum

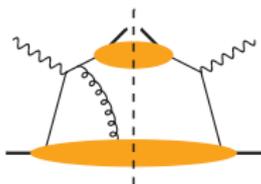
The Sivers effect is expected to give rise to transverse single spin asymmetries

Sivers, PRD 41 (1990)

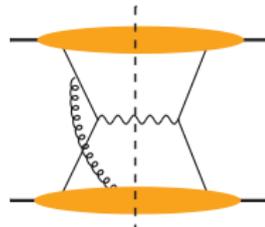
### Fundamental test of TMD theory

$$f_{1T}^{\perp [DY]}(x, k_{\perp}^2) = -f_{1T}^{\perp [SIDIS]}(x, k_{\perp}^2) \quad h_1^{\perp [DY]}(x, k_{\perp}^2) = -h_1^{\perp [SIDIS]}(x, k_{\perp}^2)$$

Collins, PLB 536 (2002)



FSI in SIDIS



ISI in DY



ISI/FSI lead to process dependence of TMDs, could even break factorization

Collins, Qiu, PRD 75 (2007)

Collins, PRD 77 (2007)

Rogers, Mulders, PRD 81 (2010)

TMDs are, or will be, under experimental investigation all over the world



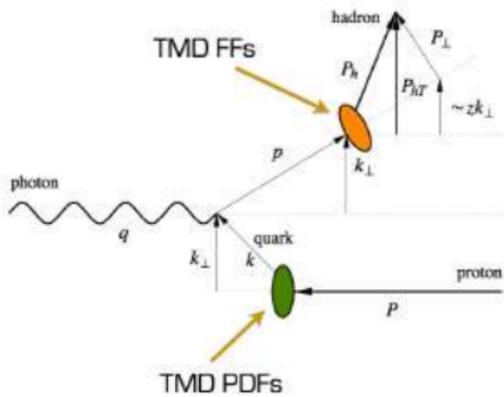
Bacchetta, Contalbrigo (2012) [updated by F. Murgia]

# Unpolarized quark TMDs

# Unpolarized quark TMDs

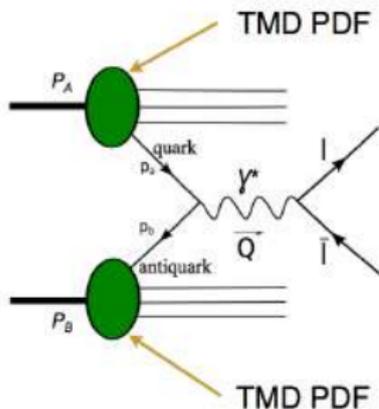
## SIDIS vs DY

$$l(\ell) + N(\mathcal{P}) \rightarrow l(\ell') + h(\mathcal{P}_h) + X$$



$$A + B \rightarrow \gamma^* \rightarrow l^+ l^-$$

$$A + B \rightarrow Z \rightarrow l^+ l^-$$

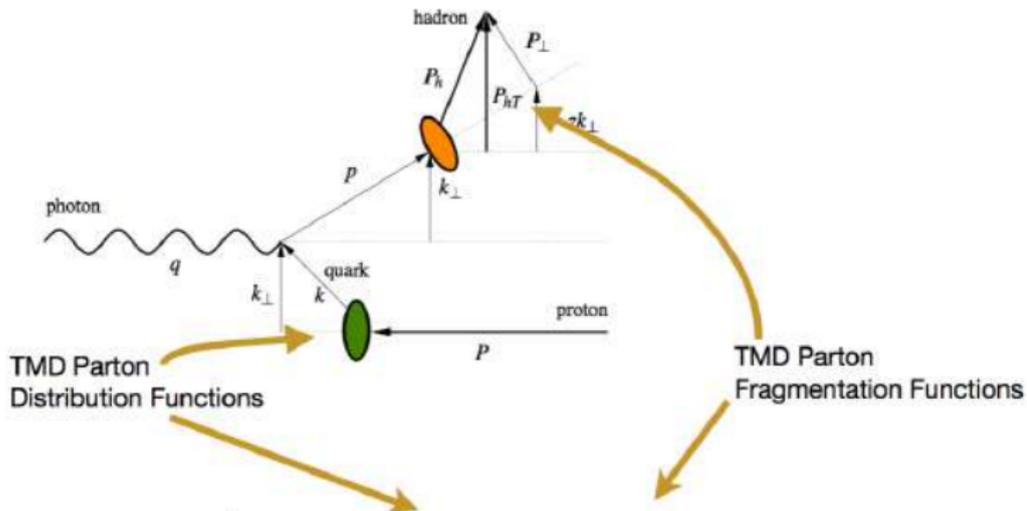


Highly complementary processes:

- ▶ DY: insight into quark-antiquark TMD PDFs
- ▶ SIDIS: particularly sensitive to flavor differences through TMD FFs

# Unpolarized quark TMDs

## SIDIS structure function



$$F_{UU,T}(x, z, P_{hT}^2, Q^2) = \sum_a \mathcal{H}_{UU,T}^a(Q^2; \mu^2) \int d^2 k_\perp d^2 P_\perp f_1^a(x, k_\perp^2; \mu^2) D_1^{h/a}(z, P_\perp^2; \mu^2) \times \delta^2(zk_\perp - P_{hT} + P_\perp) + Y_{UU,T}(Q^2, P_{hT}^2) + \mathcal{O}(M/Q)$$

In all TMD studies so far  $Y_{UU,T}(Q^2, P_{hT}^2) \approx 0$

Multiplicities:  $m_N^h(x, z, P_{hT}^2, Q^2) = \frac{d\sigma_N^h/dx dz dP_{hT}^2 dQ^2}{d\sigma_{DIS}/dx dQ^2} \approx \frac{2\pi |P_{hT}| F_{UU,T}(x, z, P_{hT}^2, Q^2)}{F_T(x, Q^2)}$

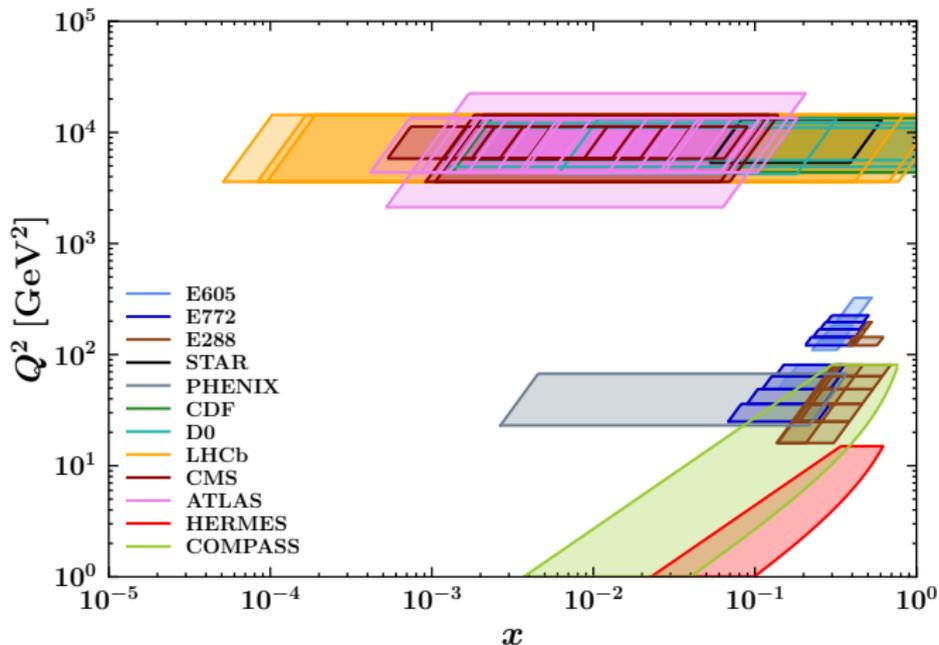
# Unpolarized quark TMDs

## Global extractions of unpolarized TMDs

	Accuracy	SIDIS HERMES	SIDIS COMPASS	DY fixed target	DY collider	$N$ of points	$\chi^2/N_{\text{points}}$
Pavia 2017 <a href="#">arXiv:1703.10157</a>	NLL	✓	✓	✓	✓	8059	1.55
SV 2019 <a href="#">arXiv:1912.06532</a>	$N^3LL^-$	✓	✓	✓	✓	1039	1.06
MAP22 <a href="#">arXiv:2206.07598</a>	$N^3LL^-$	✓	✓	✓	✓	2031	1.06
MAP24 <a href="#">arXiv:2405.13833</a>	$N^3LL$	✓	✓	✓	✓	2031	1.08
ART25 <a href="#">arXiv:2503.11201</a>	$N^3LL$	✓	✓	✓	✓	1209	1.05

# Unpolarized quark TMDs

$x - Q^2$  coverage



Bacchetta et al. (MAP24), JHEP 08 (2024)

HERMES and COMPASS are SIDIS experiments; other acronyms refer to DY data

$$\langle Q \rangle > 1.4 \text{ GeV}$$

$$0.2 < z < 0.7$$

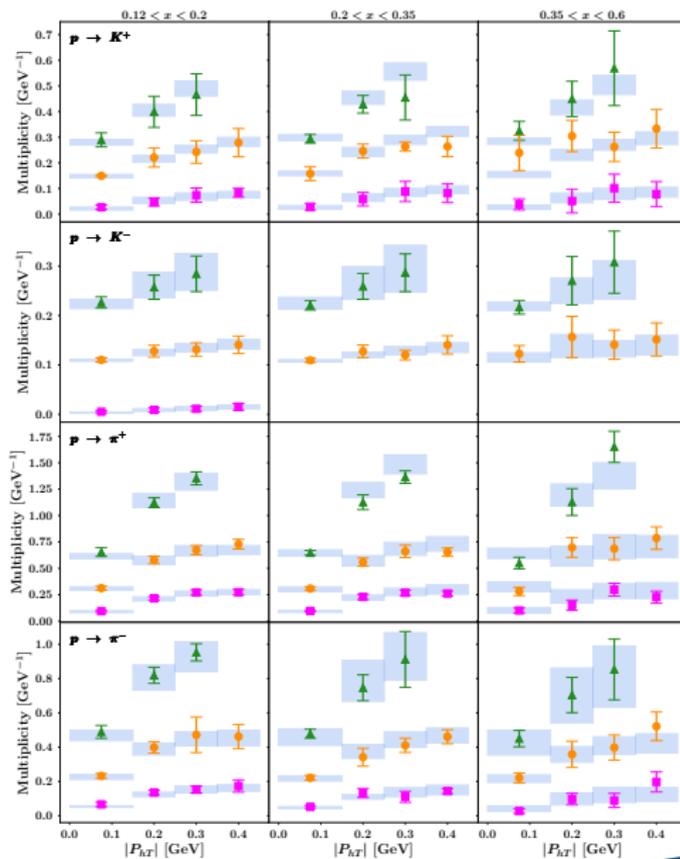
$$\text{Drell-Yan } q_T < 0.2 Q$$

$$P_{hT} < \min [\min [0.2Q, 0.5Qz] + 0.3\text{GeV}, zQ]$$

Fit of 100 replicas of the data

2031 data points (484 from DY and 1547 from SIDIS)

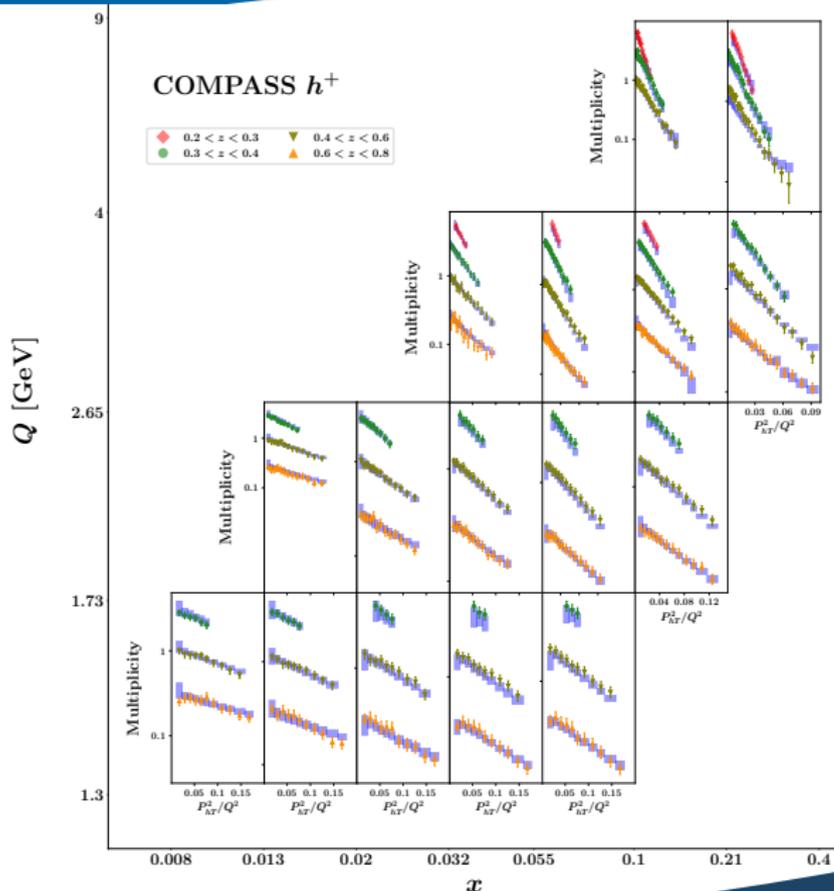
96 free parameters (flavor dependence of TMDs fully taken into account)



$$\chi^2/\text{dof} = 1.05$$

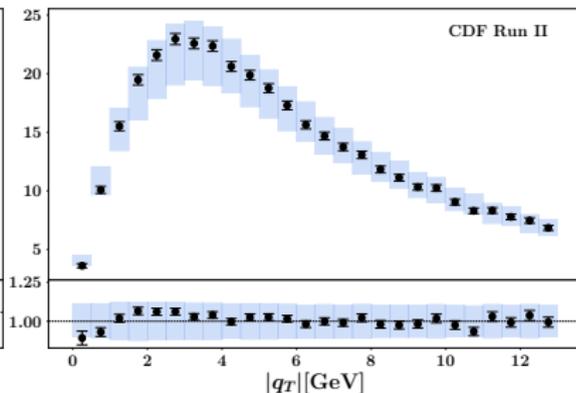
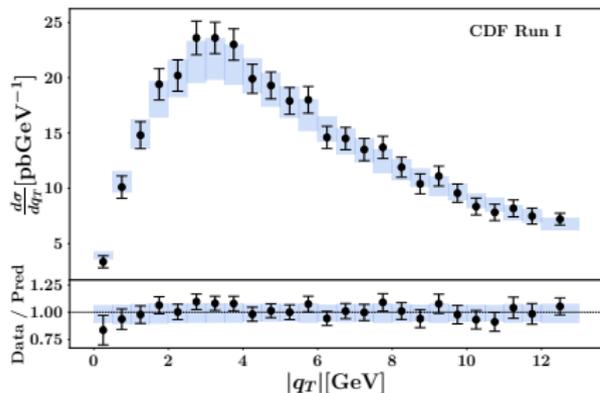


$\chi^2/\text{dof} = 0.94$

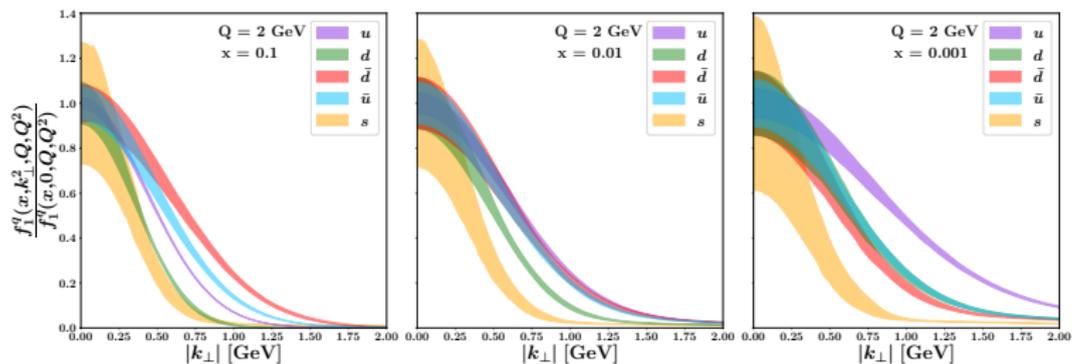


# Z-boson production in $p\bar{p}$ collisions

## CDF data



$$\frac{f_1(x_1, k_T; Q)}{f_1(x, 0; Q)}$$



Different flavors have very different  $k_\perp$ -dependence

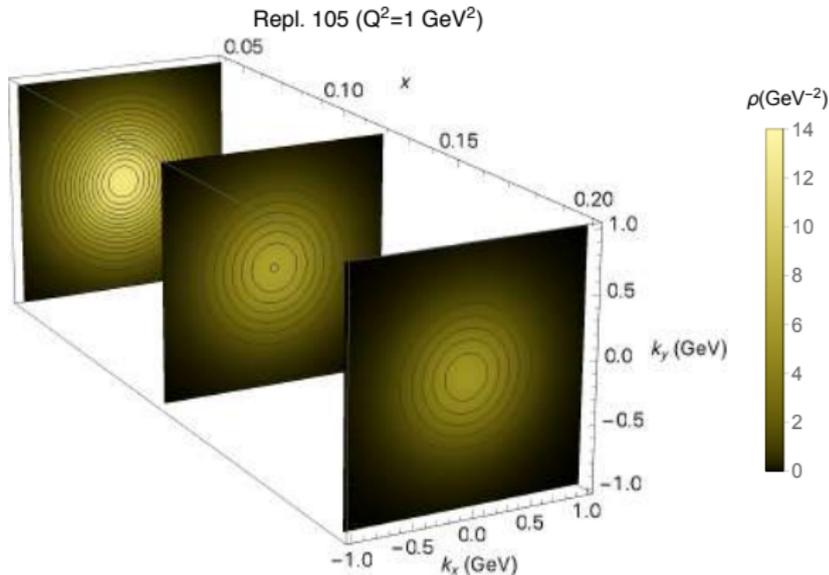
This behavior changes with  $x$

The  $u$ -quark TMD is the most constrained and widest at small and intermediate  $x$

# Sivers effect

# Sivers effect

## Distribution of unpolarized quarks



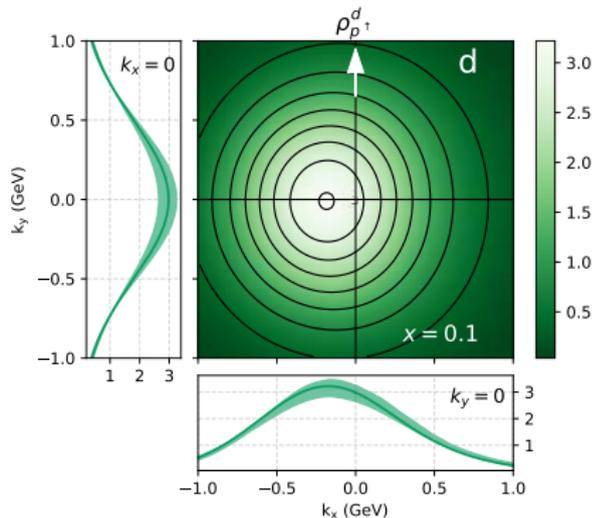
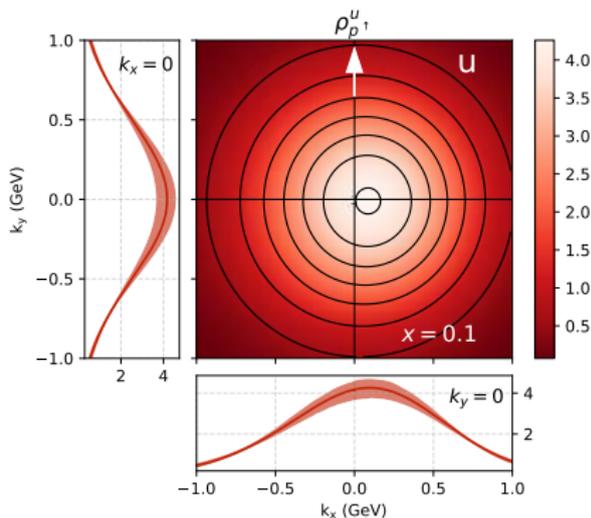
For unpolarized protons, the distribution of unp. quarks is cylindrically symmetric

What happens if the proton is transversely polarized?

Same formalism can be used to have a consistent picture (125 data points)

Distortion in the transverse plane of the TMD quark distribution in a  $p^\uparrow$

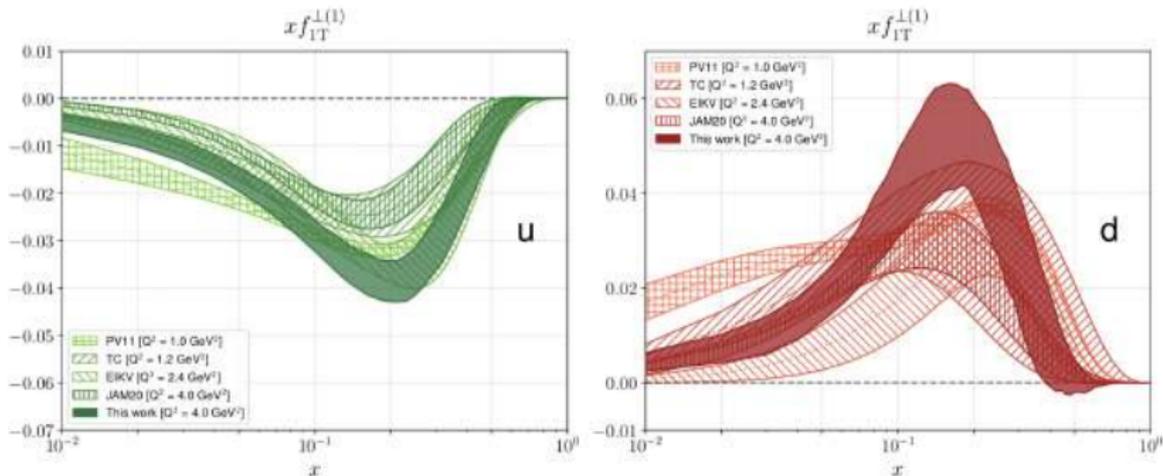
$$\Phi_{q/p^\uparrow}^{[\gamma^+]}(x, k_x, k_y) = f_1^q(x, k_T^2) - \frac{k_x}{M} f_{1T}^{\perp q}(x, k_T^2) \quad [Q^2 = 4 \text{ GeV}^2]$$



Bacchetta, Delcarro, CP, Radici, PLB 827 (2022)

Non zero Sivers effect related to parton orbital angular momentum

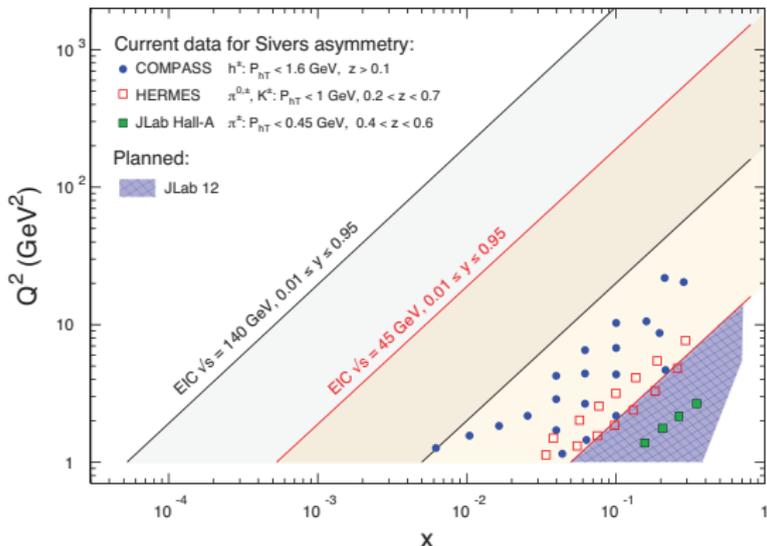
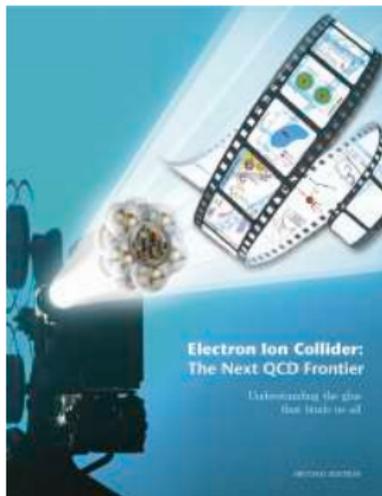
$$f_{1T}^{\perp(1)q}(x) = \int d^2k_T \frac{k_T^2}{2M_p^2} f_{1T}^{\perp q}(x, k_T^2)$$



Bacchetta, Delcarro, CP, Radici, PLB 827 (2022)

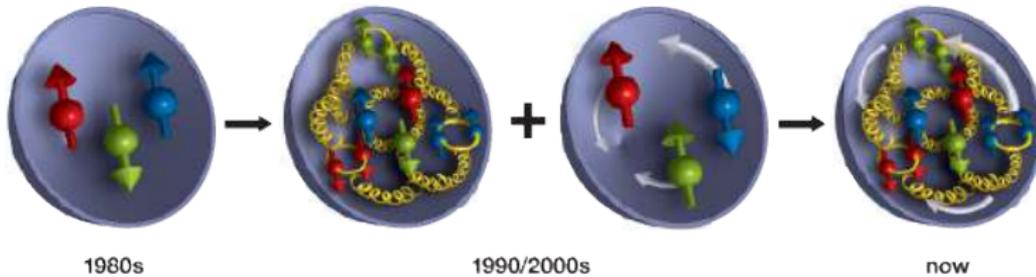
More data from CERN, JLab, EIC will help to reduce error bands and extend the ranges in  $x$  and  $Q^2$

# The future Electron Ion Collider



- ▶ Access to small- $x$  domain
- ▶ Space, momentum and spin distributions of gluon and sea quarks
- ▶ Missing and complementary information on TMDs and GPDs

# Summary



- ▶ Much progress in our understanding of the nucleon: evidence for going beyond 1D
- ▶ TMDs provide a 3D description of the partonic structure of the nucleon; their determination requires a continuous interplay between theory and experiment
- ▶ TMD distributions are affected by ISI/FSI, encoded in the gauge links, which render them gauge invariant but process dependent
- ▶ Unpolarized quark TMDs extracted from several hundred data points
- ▶ Evidence for nonzero Sivers function and its sign change

# Back-up slides

Convolutions of TMDs are products in position space; the FT of a TMD is defined as:

$$\hat{f}_1^q(x, \mathbf{b}_T^2; \mu, \xi) = \int d^2 k_\perp e^{i\mathbf{b}_T \cdot \mathbf{k}_\perp} f_1^q(x, \mathbf{k}_\perp^2; \mu, \xi)$$

Collins-Soper-Sterman (CSS) evolution scheme

$$\hat{f}_1^q(x, \mathbf{b}_T^2; \mu_f, \xi_f) = \text{Evo} [(\mu_f, \xi_f) \leftarrow (\mu_i, \xi_i)] \times \hat{f}_1^q(x, \mathbf{b}_T^2; \mu_i, \xi_i)$$

At small  $b_T$  (large  $k_\perp$ ), TMDs can be calculated in perturbative QCD:

$$\hat{f}_1^q(x, \mathbf{b}_T^2; \mu_i, \xi_i) = \sum_a C_{qa}(x, \mathbf{b}_T^2, \alpha_s(\mu_i), \mu_i, \xi_i) \otimes f_1^a(x, \mu_i)$$

The dependence on the scales is governed by the evolution equations

$$\frac{\partial \hat{f}_1}{\partial \ln \mu} = \gamma(\mu, \zeta) \quad \frac{\partial \hat{f}_1}{\partial \ln \sqrt{\zeta}} = K(\mu) \quad \frac{\partial K}{\partial \ln \mu} = \frac{\partial \gamma}{\partial \ln \sqrt{\zeta}} = -\gamma_K(\alpha_s(\mu))$$

$\gamma_K$  : cusp anomalous dimension

After solving the evolution equations:

$$\hat{f}_1(x, \mathbf{b}_T^2; \mu_f, \zeta_f) = [C \otimes f_1](x, \mathbf{b}_T^2; \mu_i, \zeta_i) \\ \times \exp \left\{ K(\mu_i) \ln \frac{\sqrt{\zeta_f}}{\sqrt{\zeta_i}} + \int_{\mu_i}^{\mu_f} \frac{d\mu}{\mu} \left[ \gamma_F(\alpha_s(\mu)) - \gamma_K(\alpha_s(\mu)) \ln \frac{\sqrt{\zeta_f}}{\mu} \right] \right\} \\ \gamma_F(\alpha_s(\mu)) = \gamma(\mu, \mu^2)$$

In order to avoid large logarithms in the evolution kernel  $K$  and the coefficients  $C$ :

$$\mu_i = \sqrt{\zeta_i} \equiv \mu_b = 2e^{-\gamma_E} / |\mathbf{b}_T|$$

Log accuracies of the TMD evolution vs.  $\mathcal{O}(\alpha_s^m)$  corrections in TMD ingredients

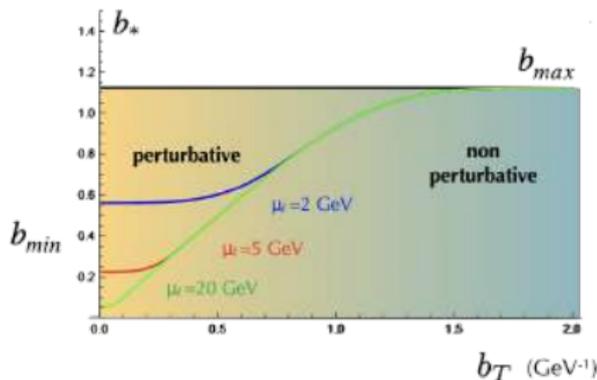
Accuracy $N^n\text{LL}$	$\mathcal{O}(\alpha_s^m)$ perturbative order				
	$\mathcal{H}$ and $C$	$K$ and $\gamma_F$	$\gamma_K$	PDF and $\alpha_s$ evolution	FF evolution
NLL	0	1	2	LO	LO
$N^2\text{LL}$	1	2	3	NLO	NLO
$N^3\text{LL}$	2	3	4	NNLO	NNLO

To avoid hitting the Landau pole at large  $b_T$  and to smoothly match the fixed-order calculation at small  $b_T$ :

$$\mu_{b_*} = \frac{2e^{-\gamma_E}}{b_*} \quad b_* \equiv b_{\max} \left( \frac{1 - e^{-b_T^4/b_{\max}^4}}{1 - e^{-b_T^4/b_{\min}^4}} \right)^{\frac{1}{4}} \quad 1 \text{ GeV} \leq \mu_{b_*} \leq \mu_f$$

$$b_{\max} = 2e^{-\gamma_E} \approx 1.123 \text{ GeV}^{-1}$$

$$b_{\min} = \frac{2e^{-\gamma_E}}{\mu_f}$$



These choices are arbitrary: they should be checked/challenged in the future

$$\hat{f}_1^q(x, \mathbf{b}_T^2; \mu_f, \zeta_f) = \sum_q [C_{qa} \otimes f_1](x, \mathbf{b}_T^2; \mu_{b_*}, \mu_{b_*}^2) \\ \times \exp \left\{ K(b_*, \mu_{b_*}) \ln \frac{\sqrt{\zeta_f}}{\sqrt{\mu_{b_*}^2}} + \int_{\mu_{b_*}}^{\mu_f} \frac{d\mu}{\mu} \left[ \gamma_F(\alpha_s(\mu)) - \gamma_K(\alpha_s(\mu)) \ln \frac{\sqrt{\zeta_f}}{\mu} \right] \right\} \\ \times f_{1NP}(x, \mathbf{b}_T^2; \zeta_f, Q_0)$$

$K(b_*, \mu_{b_*})$ : perturbative part of the Collins-Soper kernel  $K$

The nonperturbative part  $g_K$  is contained in  $f_{1NP}$

$f_{1NP} \rightarrow 1$  for  $b_T \rightarrow 0$ : it is parametrized at  $Q_0$  and fitted to the data