

The Axial Form Factor Extracted from Elementary Targets

Aaron S. Meyer (asmeyer.physics@gmail.com)

on behalf of the **MINERvA** collaboration

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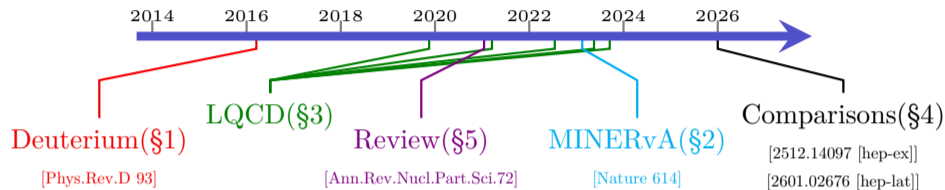


The Second Workshop of the Nuclear Radius Extraction Collaboration

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The Nucleon Axial Form Factor Over Time



Developments are overlapping chronologically, not straightforward

Order presented here (in parentheses) is motivated by precision

Least precise → most precise → implications

Also presents experimental data sources first, then theory

Deuterium → Hydrogen → LQCD

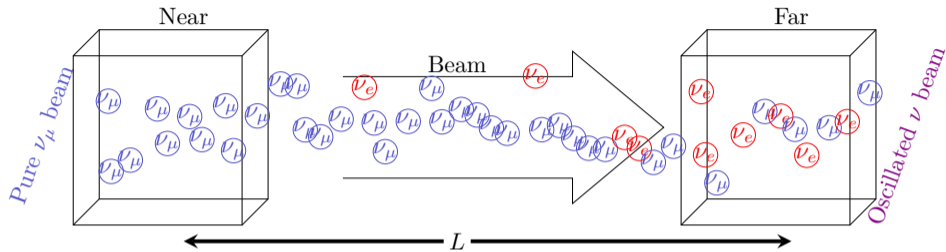
Special Thanks

Many people contributed to my work over the years:

1. Deuterium: Richard Hill, Rik Gran, Minerba Betancourt
2. MINERvA: Tejin Cai, Kevin McFarland, Miriam Moore
3. LQCD: Andreas Kronfeld, André Walker-Loud
4. Averaging: Sara Collins, Rajan Gupta, Lukas Koch,
Andreas Kronfeld, Sungwoo Park, Sasha Tomalak, Clarence Wret
5. Review: André Walker-Loud, Callum Wilkinson

Introduction

Motivated by accelerator neutrino oscillation experiments



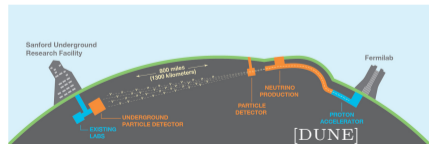
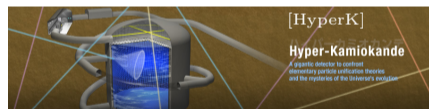
Neutrino oscillation: ν spontaneously change flavor

Oscillation parameters not completely known

$\Rightarrow \delta_{CP}$, mass hierarchy

Flagship experimental programs upcoming

\Rightarrow DUNE, HyperK



Neutrino interactions are hidden within a nuclear target

Small neutrino cross sections

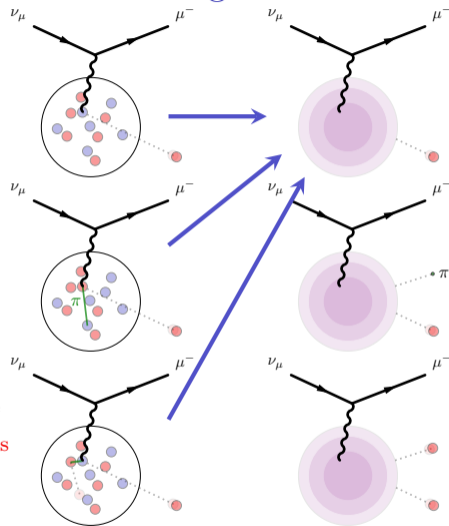
⇒ large nuclear targets (=detectors)

Nuclear environment complicates measurements:

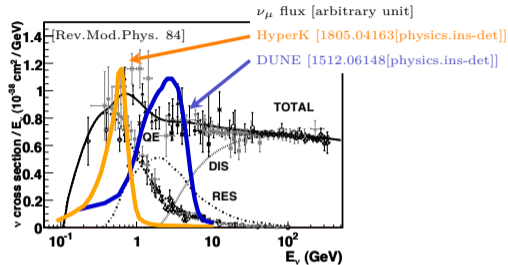
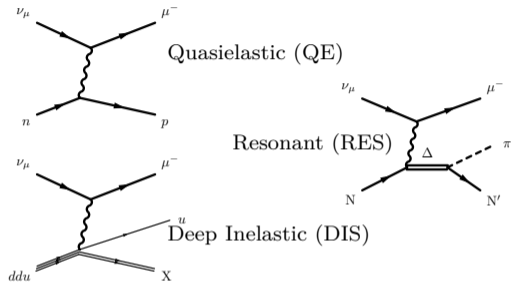
- ▶ Many allowed kinematic channels
- ▶ Reinteractions within nucleus
- ▶ Only final state particles are observable

Isolation of quasielastic depends on precise theory knowledge

⇒ **difficult, especially for weak interaction contributions**



Using neutrino-nucleon to learn about neutrino-nucleus



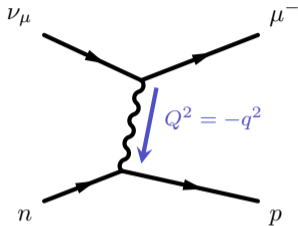
Isolate, quantify, improve quasielastic from neutrino-*nucleon* amplitudes

nucleon inputs \rightarrow *nuclear* uncertainties

How well do we know free nucleon quasielastic cross section from **elementary target sources**?

- ▶ Deuterium scattering
- ▶ Hydrogen scattering
- ▶ Lattice QCD

Axial form factor dominates cross section uncertainty



$$\mathcal{M}_{\text{CCQE}} \sim \langle \ell | \mathcal{J}^\mu | \nu_\ell \rangle \langle p | \mathcal{J}_\mu | n \rangle \quad \frac{d\sigma_{\text{CCQE}}}{dQ^2} \sim |\mathcal{M}_{\text{CCQE}}|^2$$

$$\begin{aligned} & \langle p_k | (\mathcal{V}_\mu - \mathcal{A}_\mu) | n_p \rangle \\ &= \bar{u}_k^{(p)} \left[\gamma_\mu F_1(Q^2) + \frac{i}{2M_N} \sigma_{\mu\nu} q^\nu F_2(Q^2) \right. \\ & \quad \left. + \gamma_\mu \gamma_5 F_A(Q^2) + \frac{1}{2M_N} q_\mu \gamma_5 F_P(Q^2) \right] u_p^{(n)} \end{aligned}$$

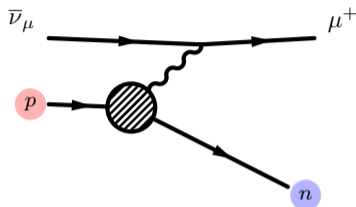
Simplest topology, lowest E_ν – neutrino scatters elastically with nucleon

Nucleon response described by *form factors*

- ▶ F_1, F_2 : vector \rightarrow constrained by eN scattering
- ▶ F_P : “induced pseudoscalar” \rightarrow subleading, related to other form factors
- ▶ F_A : axial form factor

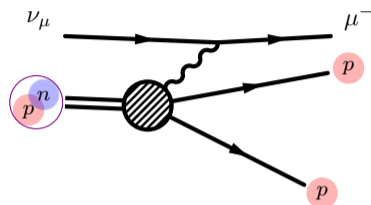
Deuterium Data

Hydrogen vs Deuterium



Hydrogen:

- ✓ Free nucleon
- ✓ Outgoing particles on a plane
- ✗ Antineutrino < neutrino cross section
- ✗ Neutron detection challenging



Deuterium:

- ✓ All particles charged
- ✓ Neutrino > antineutrino cross section
- ✗ Spectator proton not visible at low momentum
- ✗ Nuclear effects (small?)

Historically, hydrogen results were not competitive with deuterium

Promoting the z expansion parameterization

Dipole ansatz —

$$F_A(Q^2) = g_A \left(1 + \frac{Q^2}{m_A^2} \right)^{-2}$$

- ▶ Overconstrained by both experimental and LQCD data
- ▶ Incorrect behavior in limit $Q^2 \rightarrow \infty$

z expansion [Phys.Rev.D 84 (2011)] —

$$F_A(z) = \sum_{k=0}^{\infty} a_k z^k \quad z(Q^2; t_0, t_{\text{cut}}) = \frac{\sqrt{t_{\text{cut}} + Q^2} - \sqrt{t_{\text{cut}} - t_0}}{\sqrt{t_{\text{cut}} + Q^2} + \sqrt{t_{\text{cut}} - t_0}} \quad t_{\text{cut}} \leq (3M_\pi)^2$$

- ▶ Small parameter expansion
- ▶ Sum rule constraints regulate large- Q^2 behavior

Deuterium constraints from dipole historically underestimated

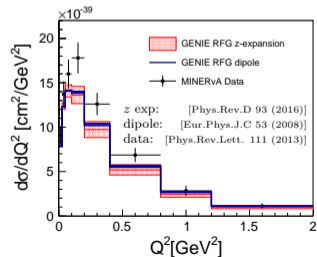
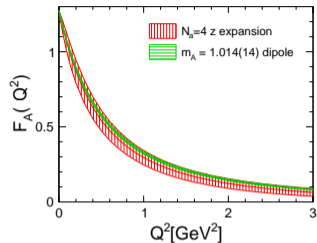
Previous conclusions from [Phys.Rev.D 93 (2016)]

- ▶ Fits to digitized distributions from original publications
- ▶ Dipole overconstrained by data
underestimated uncertainty $\times 10$
- ▶ Discrepancies in nuclear cross sections could be nucleon and/or nuclear origins

Large systematics at low Q^2

- ▶ Deuterium correction
- ▶ Efficiency correction

z expansion curve used as a fixed reference throughout presentation

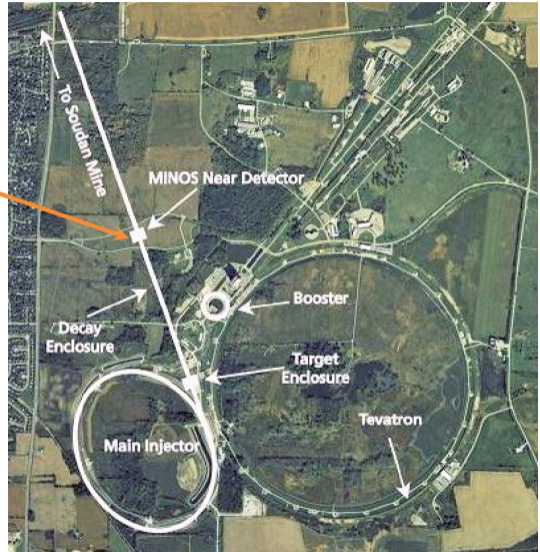


MINERvA Hydrogen Scattering

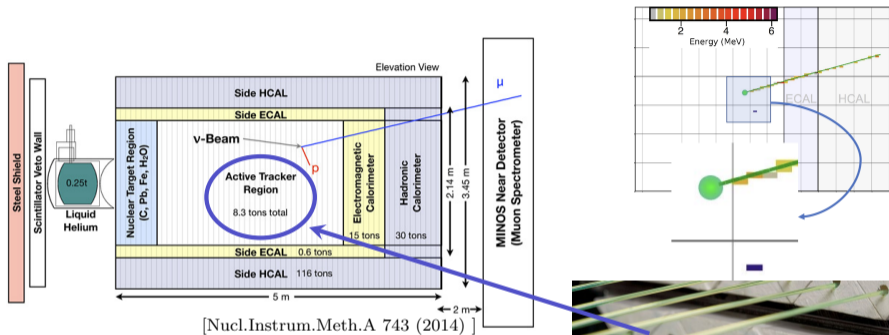
Fermilab Detector Complex



- ▶ MINERvA experiment ran from 2009–2019 at Fermilab
- ▶ Science goal: measure broad range of neutrino interactions on nuclei
⇒ improve neutrino-nucleus modeling
- ▶ Still producing scientific results!
- ▶ MINERvA just released their open data set for the community to use:
<https://minerva.fnal.gov/opendata>



Hydrogen in the MINERvA Detector



Scattering interaction $\bar{\nu}_\mu + p \rightarrow \mu^+ + n$
 from hydrogen in hydrocarbon scintillator strips

Neutrons detected primarily from protons with $^{12}\text{C}(n, np)^{11}\text{B}$ reaction

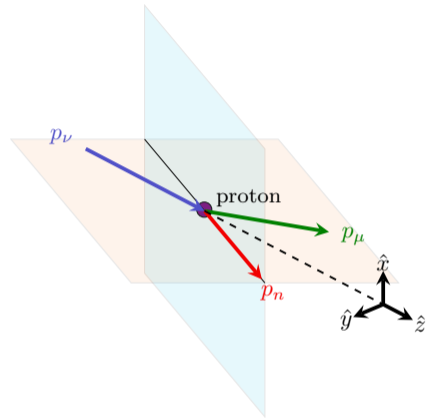
Event selection with $1.5 \text{ GeV} < E_\mu < 20 \text{ GeV}$, $\theta_\mu < 20^\circ$

Selecting Quasielastic Interactions

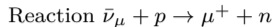
Reaction $\bar{\nu}_\mu + p \rightarrow \mu^+ + n$

Two-body elastic scattering: everything lies in a plane

From μ kinematics, predict n outgoing angle



Selecting Quasielastic Interactions

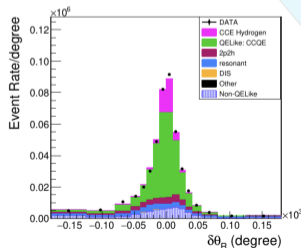
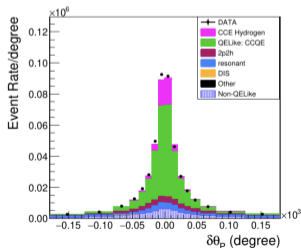
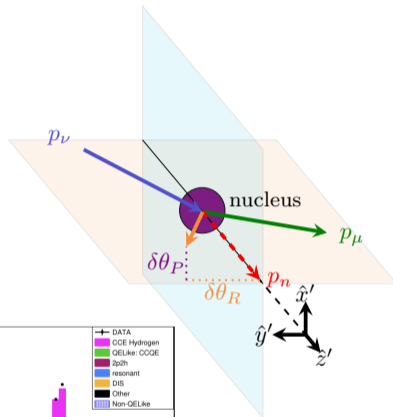


Two-body elastic scattering: everything lies in a plane

From μ kinematics, predict n outgoing angle

Motion of nucleons in nucleus causes angles to deviate

⇒ might lie outside of reaction plane



[Nature 614 (2023)]

Signal & Background

Data constrained backgrounds:

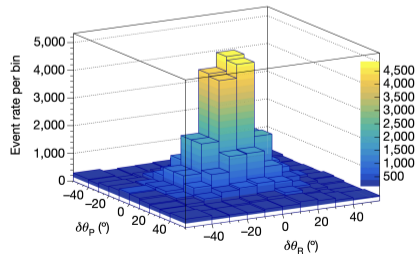
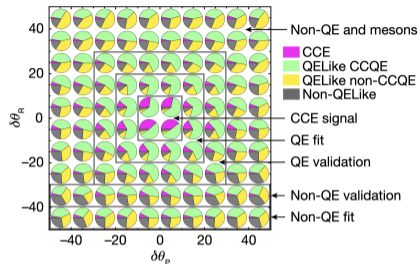
1. Fit to Q^2 for choices of angle deviation
2. Validated against separate region outside of fit & signal
3. Fit used for background of signal region

Backgrounds also validated against neutrino scattering mode

(only $\nu_\mu + C \rightarrow \mu^- + p + X$, no elastic)

Large background subtractions \implies statistics dominated

[Nature 614 (2023)]



Hydrogen & Deuterium Fitting

Updates since Deuterium Fit

Previous datasets:

- ▶ ANL, BNL, FNAL $\nu_\mu D \rightarrow \mu^- pp$
deuterium bubble chamber event distributions

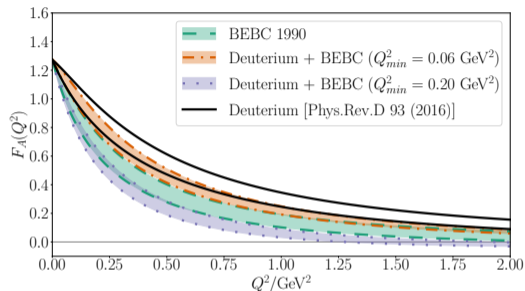
Two additional datasets:

- ▶ BEBC 1990 $\nu_\mu D \rightarrow \mu^- pp$ [Nucl.Phys.B 343]
- ▶ MINER ν A 2023 $\bar{\nu}_\mu p \rightarrow \mu^+ n$ [Nature 614 (2023)]

Updated vector form factors:

- ▶ Use Borah *et al.* z expansion [Phys.Rev.D 102 (2020)]

Deuterium & BEBC are consistent



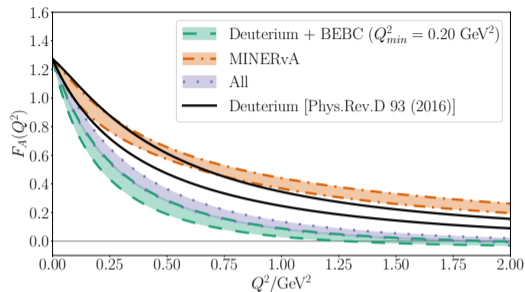
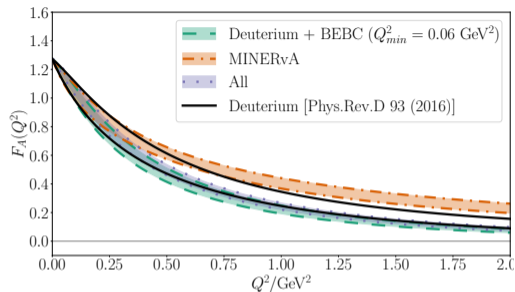
fit	$Q_{\min}^2 = 0.06 \text{ GeV}^2$		$Q_{\min}^2 = 0.20 \text{ GeV}^2$	
	$\chi_{\text{data}}^2/\text{DoF}$	$p_{\Delta\chi^2}$	$\chi_{\text{data}}^2/\text{DoF}$	$p_{\Delta\chi^2}$
BEBC 1990	6.3/ 9		6.3/ 9	
Deuterium	107.8/104		91.8/ 98	
Deut+BEBC	116.1/111		100.4/105	
$\Delta\chi^2$	1.9/ 1	0.16	2.4/ 1	0.12

$$\Delta\chi^2 = \chi_{A+B}^2 - \chi_A^2 - \chi_B^2 \quad \Rightarrow \quad 1 \text{ degree of freedom test of compatibility}$$

p values are sensible – different Q^2 cuts pull opposite directions

\Rightarrow Poorly-described low- Q^2 region, systematics likely still too small

Deuterium & MINERvA not consistent



Apparent improvement to p value at $Q_{\min}^2 = 0.06 \text{ GeV}^2$

only from poorly-described data at low Q^2

fit	$Q_{\min}^2 = 0.06 \text{ GeV}^2$		$Q_{\min}^2 = 0.20 \text{ GeV}^2$	
	$\chi_{\text{data}}^2/\text{DoF}$	$p_{\Delta\chi^2}$	$\chi_{\text{data}}^2/\text{DoF}$	$p_{\Delta\chi^2}$
All Deuterium	116.1/111		100.4/105	
MINERvA	9.1/ 16		9.1/ 16	
All	129.5/125		120.9/119	
$\Delta\chi^2$	4.3/ 1	0.04	11.3/ 1	8×10^{-4}

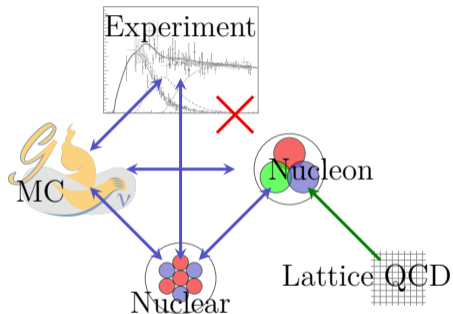
Remnant systematic effects in deuterium data?

Lattice QCD

LQCD as an additional source of constraint

LQCD is a complement to experiment

- ✓ First principles calculations of amplitudes
- ✓ No nuclear effects
- ✓ Robust uncertainty quantification
- ✓ Systematically improvable
- ✓ Computers are (relatively) inexpensive



Lattice QCD gives first-principles predictions of QCD

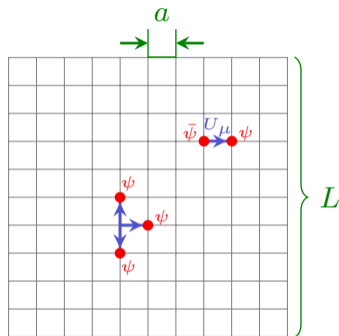
Numerical evaluation of path integral, Euclidean spacetime

Quark, gluon degrees of freedom:

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}U \exp(-S) \mathcal{O}_\psi [U]$$

Parameters: $am_{\text{bare}}, \beta = 6/g_{\text{bare}}^2$

Matched to: e.g. $\frac{M_\pi}{M_\Omega}, \frac{M_K}{M_\Omega}, M_\Omega$

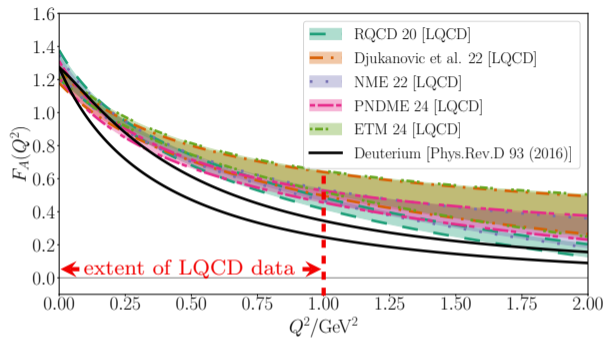


Gluon+ $q\bar{q}$ loop contributions to all orders

“Complete” error budget has extrapolation guided by:

- ▶ Effective Field Theory [a]
- ▶ Finite Volume Chiral Perturbation Theory [L, M_π]

LQCD predicts slow falloff with Q^2

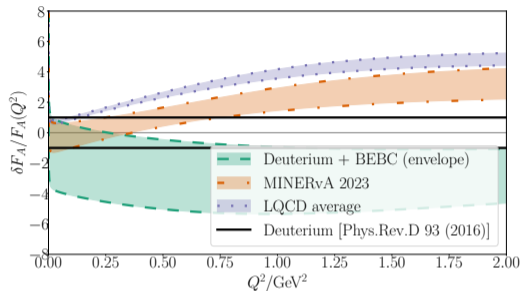
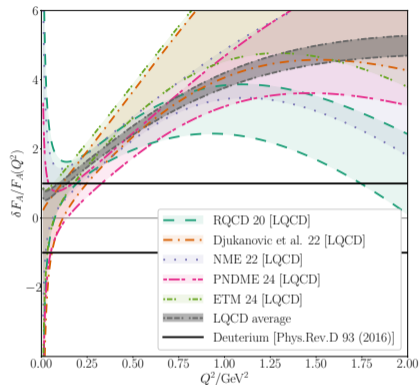


LQCD results maturing:

- ▶ Many results, complete error budgets
- ▶ Small systematic effects observed (expectation: largest at $Q^2 \rightarrow 0$)
- ▶ Nontrivial consistency checks (internal and between collaborations)

Fits averaged based on form factor values, derivatives

MINERvA hints at consistency with LQCD average



LQCD dominates joint fit with MINERvA

Plotted with previous deuterium as reference

99% confidence upper bound allows for consistency

fit	ignore unknown		derate		
	$\chi^2_{7=0} / \text{DoF}$	$p_{\Delta\chi^2}$	$\chi^2_{99\%} / \text{DoF}$	$p_{\Delta\chi^2}$	
LQCD	7.1/ 8		6.0/ 8		
MINERvA	9.2/16		9.2/16		
MINERvA+LQCD	19.5/22		18.5/22		
$\Delta\chi^2$	3.2/ 1	0.08	3.4/ 1	0.07	

Implications

One-Slide Digression: Axial Radius

Neutrino oscillation cares about cross section
integrated over Q^2

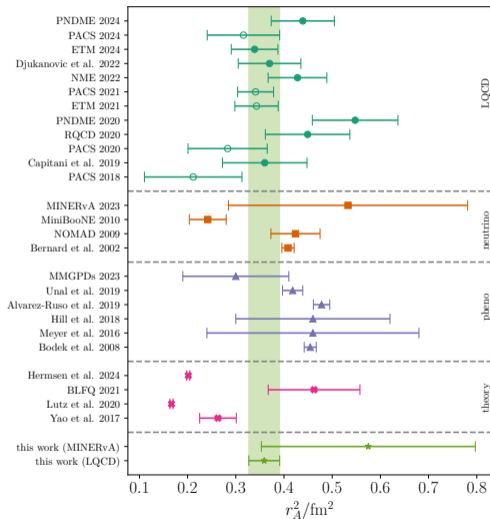
Form factor distilled to single number:

$$r_A^2 = -6 \left. \frac{1}{g_A} \frac{dF_A}{dQ^2} \right|_{Q^2=0}$$

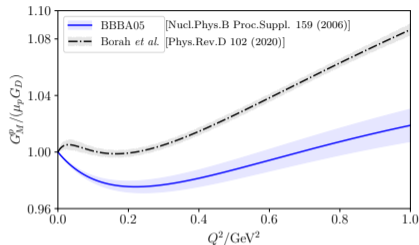
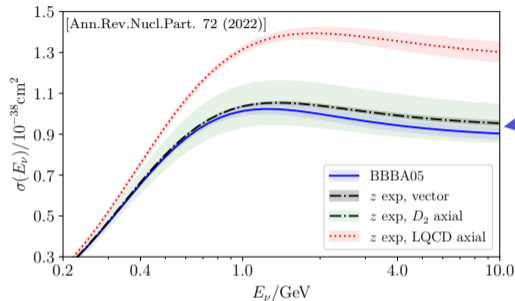
⇒ Lossy reduction

⇒ Of interest to nuclear applications

Fit	r_A^2/fm^2		
	$k_{\max} = 6$	$k_{\max} = 7$	[PRD 93 (2016)]
MINERvA	0.575(222)	0.565(149)	
LQCD	0.369 (30)	0.341 (62)	
Deuterium	0.334(254)	0.434(215)	0.46(22)
MINERvA+LQCD	0.364 (30)	0.408 (55)	



Fits suggest enhanced nucleon quasielastic cross section



LQCD prefers 30-40% enhancement of ν_μ CCQE cross section

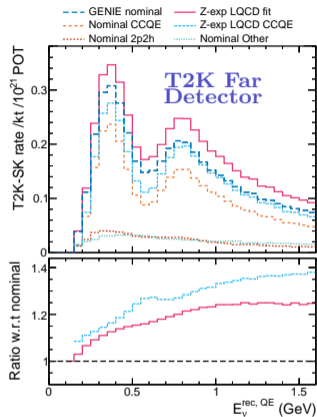
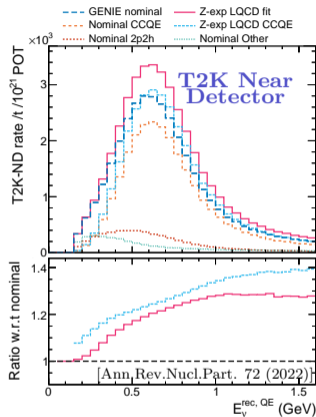
Recent Monte Carlo tunes require 20% enhancement of QE

[Phys.Rev.D 105 (2022)] [Phys.Rev.D 106 (2022)]

With improved precision, sensitive to

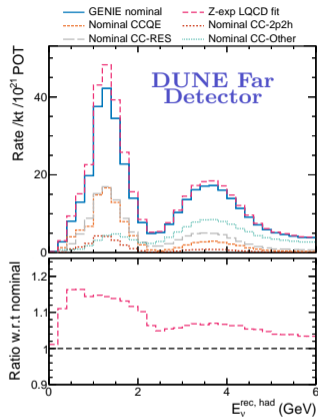
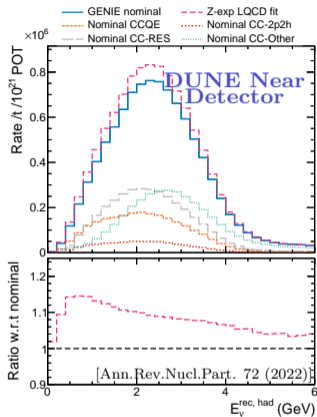
- ▶ BBBA vs z expansion vector form factor difference
- ▶ isospin-breaking corrections? [Phys.Rev.Lett. 129 (2022)]

E_ν -dependent neutrino event rates (T2K)



- ▶ Dashed dark blue (GENIE nominal) vs solid magenta (z exp LQCD fit)
- ▶ QE enhancements produce 10-20% event rate enhancement, E_ν -dependent
- ▶ Oversimplification here: nontrivial compensation with other cross sections during Monte Carlo tuning

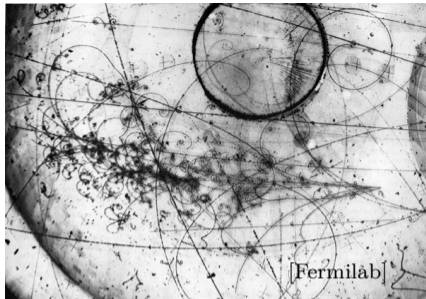
E_ν -dependent neutrino event rates (DUNE)



- ▶ Solid dark blue (GENIE nominal) vs dashed magenta (z exp LQCD fit)
- ▶ QE enhancements produce 10-20% event rate enhancement, E_ν -dependent
- ▶ Oversimplification here: nontrivial compensation with other cross sections during Monte Carlo tuning

Concluding Remarks

Outlook



- ▶ Nucleon axial form factor **uncertainty historically significantly underestimated**
- ▶ Evidence that QE cross section underestimated,
 \implies beyond deuterium 1σ uncertainty band
- ▶ MINERvA approach provides **new handle on neutrino-nucleon scattering**
- ▶ LQCD gives constraints to supplement/enhance experimental data
- ▶ Consistency between hydrogen/LQCD; **inconsistency with deuterium**
- ▶ Nontrivial implications of LQCD/MINERvA results for oscillation experiments
- ▶ Future measurements needed! LQCD as leading constraint until then

Thank you for your attention!

Backup

LQCD references

Reference	N_{ens}	$N_{\text{ens}}^{\text{phys}}$	quark action	fit method	parameterization
[RQCD 2020]	37	2	clover	χ PT-inspired	z^2
[NME 2022]	7	0	clover	Bayesian prior exponential	z^2
[Mainz 2022]	14	1	clover	summation + z expansion	z^2
[PNDME 2023]	13	2	clover (on HISQ)	Bayesian prior exponential	z^2
[ETM 2023]	3	3	twisted mass	Bayesian prior exponential	z^3

Notes:

- ▶ $g_A \sim a_0$ is an output for LQCD
fixed to g_A from experiment in these fits (not in input parameterizations)
- ▶ these fits will use sum rules (input parameterizations do not)
- ▶ RQCD, NME, Mainz results highly correlated with unknown correlations
(use covariance derating [Phys.Rev.D 111 (2025)])

Averaging strategy

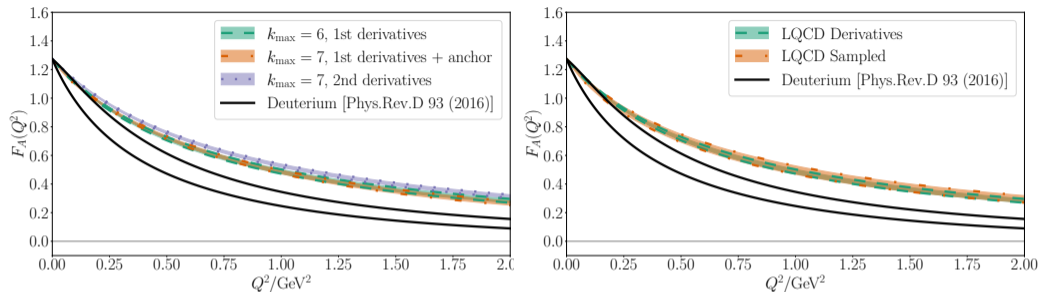
Want same z expansion parameterization used in experiment fitting:

- ▶ g_A fixed to exact experimental value $g_A = 1.2754$
- ▶ 4 sum rules regulate large- Q^2 behavior
- ▶ no priors imposed

Procedure for matching LQCD results with **different F_A parameterizations**:

1. fit to derivatives $(d/dQ^2)^n F_A(Q^2)$ for $n \in \{0, \dots, n_{\max}\}$ with $n_{\max} \leq k_{\max} - 4$
2. derivatives evaluated at $Q^2 = \max[| -t_0|, 0.05 \text{ GeV}^2]$;
3. test additional anchor point $F_A(Q^2)$ at $Q^2 = 0.75 \text{ GeV}^2$

LQCD average insensitive to many variations



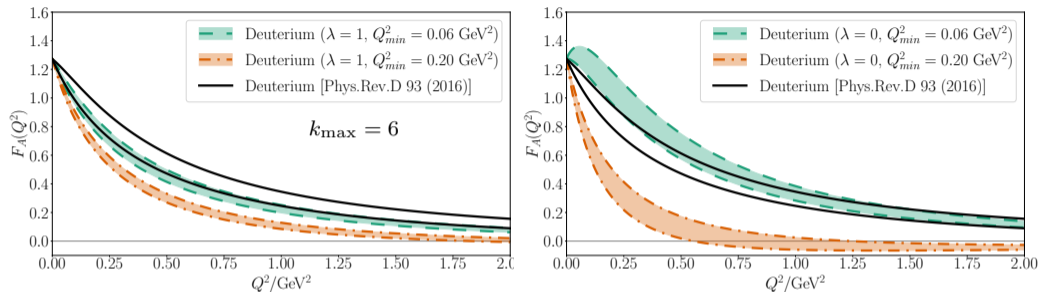
Sampling uses FLAG strategy [2411.04268 [hep-lat]] with Schmelling procedure for unknown correlations

$p_{?=0}$: p value with unknown correlations set to 0

$p_{99\%}$: p value 99% confidence upper bound from derating [Phys.Rev.D 111 (2025)]

fit	$p_{?=0}$	$p_{99\%}$
$k_{\max} = 6$, 1st derivatives (nominal)	0.52	0.65
$k_{\max} = 7$, 1st derivatives (not shown)	0.43	0.55
$k_{\max} = 7$, 1st derivatives + anchor	0.05	0.17
$k_{\max} = 7$, 2nd derivatives	0.32	0.32
$k_{\max} = 6$, sampled	—	—

Degeneracy introduced with old deuterium experiments

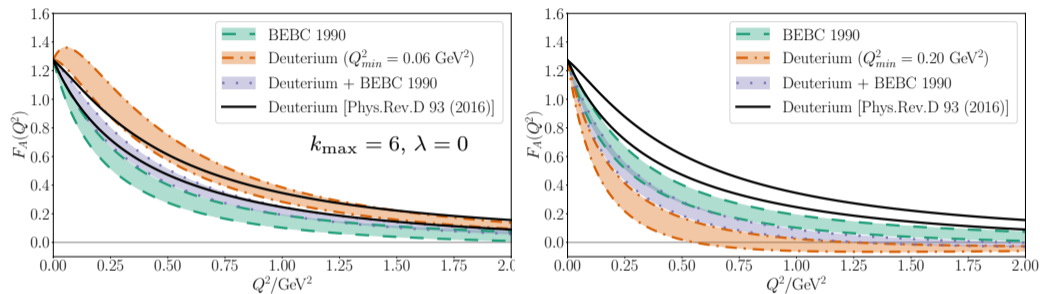


Use χ_{data}^2 vs χ_{penalty}^2 to inform prior width (not assumptions from unitarity)

$$\chi_{\text{penalty}}^2 = \lambda \sum_{k=1}^{k_{\text{max}}} \left(a_k / a_0 \sigma_k \right)^2 \quad (\lambda = 1 \text{ in [Phys.Rev.D 93]})$$

Strong dependence on λ , manifests as dependence on Q^2 cuts
Degeneracy between free normalization, axial form factor

Adding BEBC limits variations from degeneracy



Normalization of BEBC restricted to 1 ± 0.1 (flux uncertainty)

Other deuterium pulls BEBC to limit of 1σ uncertainty on normalization